



Changing urban forms and carbon dioxide emissions in China: A case study of 30 provincial capital cities



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HIGHLIGHTS

- The relationship between urban form and CO₂ emissions is investigated.
- A panel data model is used, taking the period 1990–2010.
- The growth of urban areas correlates positively with CO₂ emissions.
- Increases in urban continuity has an inhibitory effect on CO₂ emissions.
- Increased urban shape complexity exhibits a positive influence in relation to CO₂ emissions.

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ABSTRACT

Urban form is increasingly being recognised by scientists for the potential role it might play in the coordination of sustainable urban development and the reduction of CO₂ emissions. However, despite increasing interest in the morphology of cities in climate change science, few quantitative estimates have been made of the effects of urban form on CO₂ emissions. The goal of this study is to quantify this relation, using panel data for China's 30 provincial capital cities from 1990 to 2010. In order to meet this aim, we first selected a series of urban form indicators, which we quantified by applying spatial metrics to remotely sensed data. We then estimated CO₂ emission levels using a unified standard method recommended by the IPCC Guidelines, and subsequently performed a panel data analysis. The results of the study demonstrated a positive correlation between the growth of urban areas and CO₂ emission levels. Further, it was also found that increased “urban continuity” led to reductions in CO₂ emissions and that, conversely, increased “urban shape complexity” exerted a positive influence in relation to CO₂ emissions. The findings of this study indicate that measures to make existing cities in China more compact may in fact help to reduce levels of CO₂ emissions, just as increasing fragmentation or increased irregularity with respect to urban form may contribute to increased CO₂ emissions. If serious about achieving meaningful reductions in CO₂ emissions, decision makers and planners should take urban form into consideration when developing low-carbon cities in China.

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1. Introduction

Carbon dioxide (CO₂) is the greatest known contributor to climate change, and the global warming we are currently witnessing

Abbreviations: CE, CO₂ emissions; E, fossil fuels; F, CO₂ emissions coefficient; TA, total area; LPI, largest patch index; AWMSI, area weighted mean shape index; AWMPFD, area weighted mean patch fractal dimension; PARA_MN, mean perimeter area ratio; PLADJ, percentage of like adjacencies; COHESION, patch cohesion index; AI, aggregation index; LSI, landscape shape index; CONTIG, contiguity.

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is a result of rising CO₂ levels in the Earth's atmosphere [1]. Human emissions of the gas, which have been increasing globally since at least the Industrial Revolution (that is, the late 18th century), have now reached levels not seen for at least three million years [2]. Concomitantly, the past century has also seen the gradual transition of the global population towards urban living, a shift which has resulted in spectacular levels of urbanisation, with the global urbanisation level rising from 10% in 1900 to 52.6% in 2011 [3,4]. Linking these two developments, current scientific research indicates that human activities in urban areas now constitute the primary source of anthropogenic CO₂ emissions [4], and cities, whilst covering less than 3% of the Earth's surface, consume 75% of the

world's energy and produce 80% of global greenhouse gas emissions [5]. At the same time, cities and towns can also promote global economic growth and improve living standards. Whilst city administrations and national governments struggle to support economic development, they therefore also face increasing pressure to address the impacts of climate change associated with such growth. Curbing fossil-energy use and emissions in urban areas while concurrently continuing to maintain urban development therefore constitutes a key challenge for governments internationally [6–9]. In the context of this complex issue, decision makers and urban planners concerned with sustainable development are required to pay great attention to the formulation of measures that can effectively reduce CO₂ emissions and mitigate climate change. In addition to traditional emission reduction measures that rely on technology and policy solutions, it is recognised that urban form (that is, the spatial patterns and structural features of urban land use) is implicated in urban CO₂ emission levels [9,10]. Despite this recognition, only a limited number of studies have empirically evaluated the direct impacts of different urban form patterns on CO₂ emissions. This deficiency in the current research motivates the present study and its aim to quantify the relationship between urban form and CO₂ emissions.

Although many factors affect CO₂ emissions (for instance, industrial production, transportation, local climates and the burning of fossil fuels, to name but a few), the spatial evolution of urban sprawl is highlighted as a particularly important influencing factor [11–15]. Urban form can be defined as the spatial organisation and arrangement of human activities – it affects how cities grow and expand and how efficiently they are able to configure resources, land use, transport and infrastructure [10,12,16]. Previous studies have addressed a number of influencing factors which begin to explain the relation between urban form and CO₂ emissions [12,17–22], particularly in terms of the effects of urban form on urban infrastructure [23], urban transportation [24], urban heat inland effects [25], carbon taxes [26], the energy efficiency of buildings [11] and residential energy demand [27], in addition to local climatic conditions. Pursuant to these previous studies, the impact of urban form on CO₂ emissions appears to be both significant and profound. Taking this link as the basis for their work, a number of scholars have concluded that designing more compact and more complex cities could decrease CO₂ emissions. For instance, using Helsinki city as an example, Harmaajarvi et al. [28] found that a compact urban development pattern could save as much as 35% of the study district's 2010 total energy usage, through changes in urban transport and district heating. In their analysis of the relationship between the urban form patterns of China's fastest growing cities and CO₂ emissions, which used panel data analysis, Ou et al. [10] similarly found that compact, multiple-nuclei development patterns (rather than dispersed, single-nuclei development patterns) help to reduce CO₂ emissions. These results are supported by the findings of studies undertaken in relation to the U.K. by Banister [29], in Canada by Christen et al. [30], in Japan by Makidoo et al. [31] and in China by Wang et al. [22]. Using Beijing as an example, Ma et al. [32] investigated how urban form impact individual's daily travel behaviour and subsequent CO₂ emission from work and non-work trips, respectively. They found that residents living in neighbourhoods with higher job density emitted less CO₂ from work related trips, and people resident in neighbourhoods with higher retail density tended to travel shorter distance and emitted less CO₂ emission from non-work trips. From the viewpoint of energy consumption and CO₂ emissions to assess the sustainability of urban form, Ye et al. [33] found that urban sprawl aspects of compactness were positively correlated with urban household energy use CO₂ emissions. Using 125 largest urbanised areas in the U.S., Lee and Lee [34] examined how urban form influence an individual household's CO₂ emissions. They

found that doubling population-weighted density was associated with a reduction in CO₂ emissions from household travel and residential energy consumption by 48% and 35%, respectively. They suggested that smart growth policies to build more compact cities were useful to mitigate CO₂ emissions. Similarly, using the Greater Dublin Region as an example, Liu and Sweeney [27] estimated the relationship between CO₂ emissions and urban form. They found that the energy-related CO₂ emissions could be significantly decreased by building compact cities.

These existing studies generally indicate that low-carbon energy solutions and energy conservation are important emission reduction measures. However, urban planning and spatial optimisation methods are also required to reduce CO₂ emissions [2]. Studies addressing the nature of the link between urban form and CO₂ emissions have, as a result, become increasingly important. As a factor associated with spatial urban planning, urban form could in fact constitute the basis for a new rationale in the coordination of urban sustainable development and the reduction of CO₂ emissions. It is therefore quite remarkable that such a limited number of studies have engaged in the task of quantitatively estimating spatiotemporal changes in urban form, or have quantified the impact of urban growth and sprawl on CO₂ emissions. Although some studies have attempted to quantify urban form patterns by calculating ratios between two related variables (for instance, through the use of compactness ratios, elongation ratios and urban population density measures) [21], such research denies the process-based character of urban sprawl – which in fact evolves spatially – and further, it neglects the fundamental role played by the basic statistical unit (in terms of landscape metrics). These omissions are evident in the study of CO₂ emissions in Beijing conducted by Qin and Shao [35], who, whilst presenting a new method based on questionnaire data in relation to building and travel (a method which enabled them to estimate the direct CO₂ emissions of the residents of a given community), did not consider the land-use patterns and characteristics of their study area. Although previous studies have certainly enriched our understanding of the relationships between CO₂ emissions and urban form, they have concurrently failed to provide systematic and explicit evidence in relation to how urban form affects those emissions.

The design of the present study attempts to address many of these deficiencies. As such, we first calculated energy-related CO₂ emissions using a unified standard method recommended by the IPCC Guidelines [36]. We then analysed and compared the urban form patterns of various cities using pre-existing sprawl indexes and spatial metrics based on remotely sensed land-use and land-cover data. Based on these calculations, and by employing a range of analysis techniques, we generated a number of quantitative measures in relation to the spatial and temporal characteristics of CO₂ emissions, of urban built-up areas and of various urban form patterns. Finally, we attempted to quantify the relationship between CO₂ emissions and urban form using a panel data analysis. The panel data model was chosen because of its many advantages over conventional cross-sectional or time series models [37,38]. China's 30 provincial capital cities (Beijing, Changchun, Changsha, Chengdu, Chongqing, Fuzhou, Guangzhou, Guiyang, Harbin, Haikou, Hangzhou, Hefei, Hohhot, Jinan, Kunming, Lanzhou, Nanchang, Nanjing, Nanning, Shanghai, Shenyang, Shijiazhuang, Taiyuan, Tianjin, Wuhan, Urumqi, Xi'an, Xining, Yinchuan, and Zhengzhou) constituted the study area in this research. As provincial capital cities, these cities suffer from a series of environmental problems and their CO₂ emissions continue to grow as a result of their rapid urban growth and sprawl. Addressing the panel of these 30 cities, the study attempted to explore the relationships between urban form and CO₂ emissions using time series data for the period 1990–2010. Our findings not only offer a scientific model for analysis, but also suggest a rational path

for future urban expansion strategies, thereby providing guidance for the formulation of future urban planning principles.

The remainder of this paper is organised as follows. Section 2 briefly describes the study area. Section 3 focuses on methodology and data, presenting the methods used to estimate CO₂ emissions and urban form aspects, the parameter estimation of the econometric model and the data used within the study. Results and discussion are given in Section 4, and the conclusions and policy implications of the study are summarised in Section 5.

2. Study area

As sites for rapid urban development and for sprawl, built-up areas were considered the most suitable objects of study for estimating the relationship between urban form and CO₂ emissions. As such, China's 30 provincial capital cities (that is, all of China's provincial cities except Lhasa, an exclusion that was performed due to limited data), which constitute the fastest growing areas in their respective provinces, were selected to constitute the study area. Their spatial distributions are shown in Fig. 1. These cities both maintain a number of similarities and deviate strongly from each other. On the one hand, they each have long histories of urban development, large populations and high GDPs. On the other, they significant differences exist in their urban spatial patterns and CO₂ emissions trends. These differences reflect their different population densities and locations, as well as the impact of different economic scales and development policies. As China's leading powerhouses in the past two decades, the 30 Chinese provincial capitals are considered representative of the rapid growth witnessed in urban China.

As the most rapidly growing cities in China, the cities which comprise the study area all suffer from different levels of environmental pressure, and their CO₂ emissions continue to grow due to the rapid

development of their economies, which in turn has also demanded vast volumes of natural resources. Despite the fact that urban development can lead to increases in the incomes of residents and to the improvement of people's living standards, it must also be acknowledged that such growth can also lead to increases in energy consumption and consequently bring about a number of environmental problems [9,10]. This becomes particularly clear when one considers that the total urban built-up area in the 30 cities, which was approximately 4314.54 km² in 1990, measured over 8429.14 km² in 2010, an increase which has not only led to the conversion of natural ecosystems, farmland and water into urban area, but has also resulted in many environmental changes, leading to increased global warming and the urban heat island effect [10,39]. Thus, on the path towards developing low-carbon cities through the realisation of CO₂ emission intensity targets for mitigating climate change effects, it is necessary to identify the important influencing factors which lie behind the production of CO₂ emissions in fast-growing cities [6]. In addition, a better understanding of this relationship is also required in order to enable policy makers and urban planners to curb CO₂ emissions while fostering sustainable urban development. The quantification of the relationship between urban form and CO₂ emissions in the 30 Chinese provincial capitals which constitute the study area for this research is hoped to contribute to the development of precisely such an understanding.

3. Materials and methods

3.1. Estimating energy-related CO₂ emissions

Due to the lack of city-level anthropogenic CO₂ emissions statistics in China, it is difficult to acquire precise data officially. Previous studies have conclusively demonstrated that human activities such

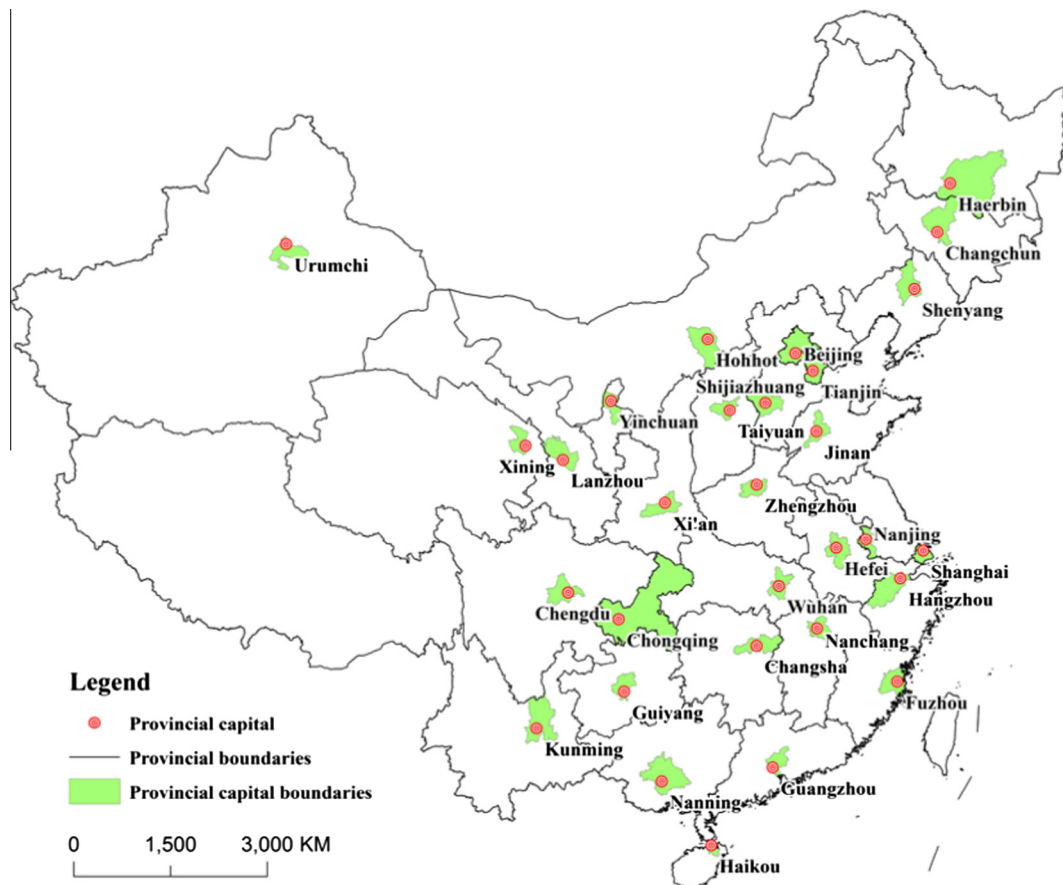


Fig. 1. Spatial distributions of 30 Chinese provincial capital cities in China.

Table 1
Calorific value and CO₂ emission coefficients of fuel types.

Type of fossil energy	Low calorific value of energy	CO ₂ emissions coefficient
Coal	20,934 kJ/kg	94,600 kg/TJ
Coke	28,470 kJ/kg	107,000 kg/TJ
Crude oil	41,868 kJ/kg	73,300 kg/TJ
Fuel oil	41,868 kJ/kg	77,400 kg/TJ
Gasoline	43,124 kJ/kg	70,000 kg/TJ
Coke oven gas	17,375 kJ/cu m	44,366 kg/TJ
Kerosene	43,124 kJ/kg	71,900 kg/TJ
Diesel oil	42,705 kJ/kg	74,100 kg/TJ
Liquefied petroleum gas	50,241 kJ/kg	63,100 kg/TJ
Natural gas	38,979 kJ/cu m	56,100 kg/TJ
Electricity	–	10,069 (t/B kW h)

as the burning of fossil fuels and deforestation are the primary cause of the increased CO₂ concentrations in the atmosphere; in fact, 87% of all human-produced CO₂ emissions have been shown to come from the consumption of fossil fuels like coal, natural gas and oil [40]. As such, the use of energy-related statistical data offers a useful method to estimate emissions and thereby obtain approximate CO₂ emissions data [9,10,40,41]. Using the coefficients published by the IPCC [36], the calculation of energy-related CO₂ emissions can be undertaken on the basis of the following formula:

$$CE_{it} = \sum E_{ijt} \times F_j \times \alpha_j \quad (1)$$

where CE_{it} represents the energy-related CO₂ emissions of the i -th city in the t -th year; E_{ijt} denotes the j -th fossil fuels of the i -th city in the t -th year; F_j is the CO₂ emissions coefficient of j -th fossil fuels

(Table 1); and α_j is the low calorific value of j -th fossil fuels (Table 1). According to the energy balance tables derived from the China Energy Statistical Yearbook, fossil energy is subdivided into ten categories: coal, coke, crude oil, fuel oil, gasoline, kerosene, diesel oil, liquefied petroleum gas, natural gas and electricity. Unfortunately, limited data are available for these categories at the scale of urban built-up areas. Rather than using the built-up area scale, fossil energy use data was extracted from China City Statistical Yearbook (1991, 2001 and 2011) at the municipal district scale. Municipal districts, which are administrative units used in the China City Statistical Yearbook and which typically cover built-up areas and their urban fringes, offer an alternative scale for data collection [42]. Because of different rule-based statistical methods used between the 1990s, the 2000s and the 2010s, the ten categories of energy consumption could not be identified completely [43]. Under such circumstances, the only alternative method for calculating C_{it} is through analysis of existing data and by making estimations where necessary [44]. In this study, C includes the consumption of coal, gas, electricity, and liquefied petroleum gas. Both the low calorific value and CO₂ emissions coefficient of each fuel type were collected from the IPCC Guidelines [36].

3.2. Urban forms

In order to characterise the spatial and temporal dynamics of the urban form of each of China's 30 provincial capital cities, we used Landsat Thematic Mapper (TM) and Enhanced Thematic Mapper (ETM) to identify the boundaries of urban built-up areas ("urban built-up area" in this paper refers to the fully developed area of a central city and its suburbs that includes no rural land [44]) for three time periods: 1990, 2000 and 2010, at a mapping scale of

Table 2
Remote sensing imagery data sources for extraction of urban built-up area.

Cities	1990	2000	2010
Beijing	P123R032_5X19920907	P123R032_7X19990701	L512303220090922
Shanghai	P118R038_5DT19890811 P118R039_5X19870518	P118R038_7DT20010703 P118R039_7X20000614	L511803820090919 L511803920090717
Tianjin	P122R033_5X19930615	P122R033_7X20010901	LT51220332009242
Chongqing	P127R040_5X19880604 P128R039_5X19880915	P128R039_7X20010522 P128R040_7X20010522	LT51280402010223 LT51280392010143
Shijiazhuang	P124R034_5X19931019	P124R034_7X20000507	LT51240342010227
Taiyuan	P125R034_5X19900916	P125R034_7X20000701	LT51250342010266
Hohhot	P126R032_5X19870915	P126R032_7X20020714	LT51260322009222
Shenyang	P119R031_5X19921013	P119R031_7X20010811	LT51190312010224
Changchun	P118R030_5X19930907	P118R030_7X2000102	LT51180302010153
Haerbin	P118R028_5X19890912	P118R028_7X20010921	LT51180282010265
Nanjing	P120R038_5DT19880705	P120R038_7DT20011106	L512003820100819
Hangzhou	P119R039_5X19910723	P119R039_7X20001011	LE71190392010264
Hefei	P121R038_5X19900920	P121R038_7X20011012	LT51210382009123
Fuzhou	P119R042_5X19890615	P119R042_7X20010304	LT51190422009157
Nanchang	P121R040_5X19890715	P121R040_7X20000923	LE71210402010278
Jinan	P122R035_5X19870919	P122R035_7X20020531	LT51220352009242
Zhengzhou	P124R036_5DT19880514	P124R036_7DT20010510	L512403620090625
Wuhan	P123R039_5X19910719	P123R039_7X20020709	LT51230392009249
Changsha	P123R040_5X19931012 P123R041_5X19930825	P123R040_7X20010924 P123R041_7X20011229	LT51230402009249 LT51230412009233
Guangzhou	P122R044_5X19901013	P122R044_7X20000914	LT51220442009306
Nanning	P125R044_5X19900916	P125R044_7X20001224	LT51250442009279
Haikou	P124R046_5X19911030	P124R046_7X20010729	LT51240462010083
Chengdu	P129R039_5X19920816	P129R039_7X20001102	LT51290392009083
Guiyang	P127R042_5X19931227	P127R042_7X20011123	LE71270422010304
Kunming	P129R043_5DT19920816	P129R043_7DT20001102	L7112904320091111
Xi'an	P127R036_5X19880823	P127R036_7X20020603	LT51270362009181
Lanzhou	P130R035_5X19930826	P130R035_7X19990718	LT51300352009218
Xining	P132R035_5X19960816	P132R035_7X19990801	LE71320352010211
Yinchuan	P129R033_5DT19910830	P129R033_7DT19990812	L7112903320100911
Urumchi	P142R030_5X19901009	P142R030_7X19990823	LT51420302009206

Note: "P123R032_5X19920907": path 123, row 32, acquired date: September 7, 1992; "L512303220090922": L5 = Landsat-ETM5, path123, row 32, acquired date: September 22, 1992.

1:100,000. A total of 99 Landsat TM and ETM imageries (acquired by Global Land Survey 1990, 2000 and 2010) were applied to extraction of urban built-up area, including 33 scenes from Landsat TM for 1990, 33 scenes from Landsat TM for 2000 and 33 scenes from Landsat-ETM5/ETM7 for 2010. Table 2 shows a detailed summary of our data sources.

Using the above-mentioned remote sensing imagery database, we developed an urban built-up area database for China's provincial capital cities at a spatial scale of 1:100,000, using visual interpretation and vectorisation [45] with technical support from ENVI/IDL 5.1 software and ArcGIS 10.1 (ERSI) software. A detailed workflow of imagery processing and urban built-up area boundary extractions is shown in Fig. 2. Before engaging in interpretation, a band composition was implemented in relation to the remotely sensed images. These images were then geo-referenced using 1:50,000 relief maps. For each TM/ETM scene, at least 20 evenly distributed sites, selected on the basis of a calibrated image and relief map, served as Ground Control Points (GCPs), which were applied in order to correct the image. The Root Mean Squared Error (RMS error) of geometric rectification was less than 1.5 pixels (or 45 m) [45]. We then used ArcGIS10.1 software in order to identify the urban built-up area on the computer screen, based on our understanding of urban spectral reflectance, structure and other information. Boundaries were then drawn around the urban built-up areas, and a polygon attribute was added to these in order to produce the digital map. Finally, we edited and compiled the vector digital maps and implemented strict quality control. Fig. 3 details the footprints of urban expansion (obtained by using above methods) of five representative cities in different parts of China.

Urban form can affect economic functions and efficiency and bring about social impacts in the urban environment, ultimately

affecting both the design and regulation of the uses of urban space. Based on previous studies [46–53], we selected ten pattern metrics to characterise urban form changes: total area (TA), the largest patch index (LPI), the area-weighted mean shape index (AWMSI), the area-weighted mean patch fractal dimension index (AWMPFDI), perimeter area ratio distribution (PARA_MN), the percentage of like adjacencies (PLADJ), the patch cohesion index (COHESION), the aggregation index (AI), the landscape shape index (LSI) and contiguity (CONTIG). TA equals the sum of urban built-up areas of all patches of the corresponding patch type; this metric helps to reveal the process of sprawl in a given urban built-up area. The LPI indicator equals the area of the largest patch of the corresponding patch type divided by the total landscape area, and represents the degree of urban dominance in the landscape [54]. The AWMSI is a robust metric used to describe landscape structure across spatial scales by calculating the complexity of urban patches according to their size [55]. The AWMPFDI measures the degree of irregularity of urban patch shapes, which implies the presence of unplanned growth in a given urban area [55]. The higher the fractal dimension index, the more irregular the shape of the urban area. PARA_MN is a simple measure of shape complexity. The PLADJ is an absolute measure of the aggregation of an urban landscape. COHESION measures the physical connectedness of the urban land patch. Patch cohesion increases as the patch type becomes more clumped or aggregated in its distribution and hence more physically connected. The AI is computed simply as an area-weighted mean class aggregation index. The LSI measures the perimeter-to-area ratio for the landscape. CONTIG indicates the spatial aggregation of urban patches. Ten spatial pattern metrics were calculated using FRAGSTATAS 4.2 [56]. To meet the needs of the calculation, all the vector data for the urban built-up areas were converted to

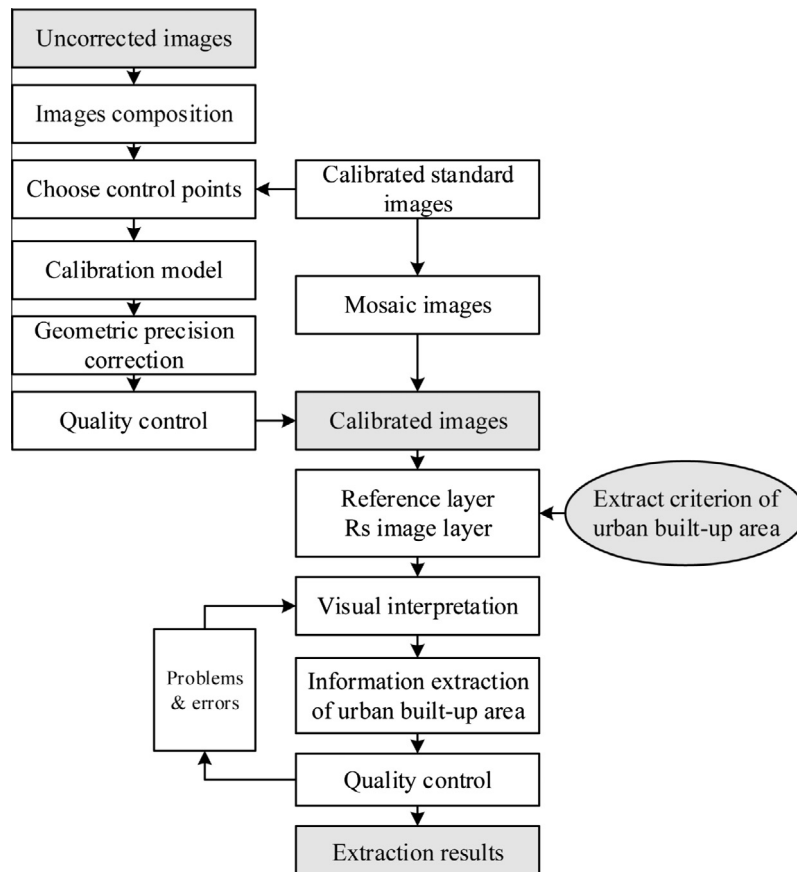


Fig. 2. The interpretation workflow of remote sensing imagery for extraction of urban built-up area.

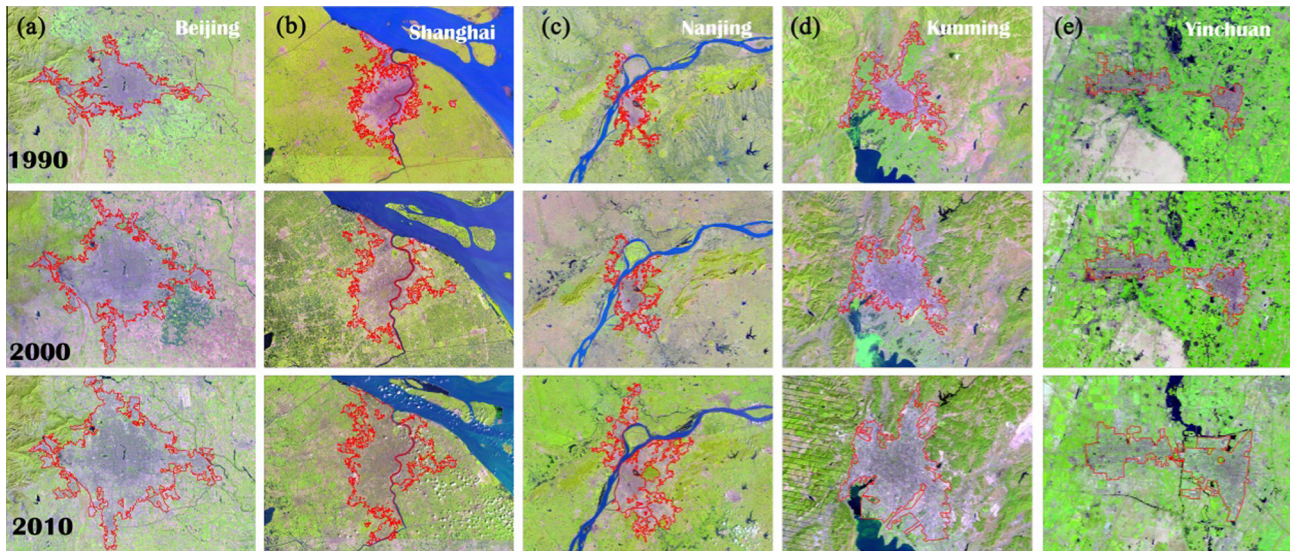


Fig. 3. Landsat TM/ETM imageries show the footprints of urban expansion in Beijing, Shanghai, Nanjing, Kunming and Yinchuan. The images are a composite of Band 5 (Red), 4 (Green), 3 (Blue). Using this composite, bluish violet indicates urban built-up area. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

30 m * 30 m raster data. Table 3 sets out a more detailed description of the above, including the specific mathematical equations of each of the metrics.

3.3. Econometric models

A panel data model, which took the period of 1990–2010 into consideration, was utilised in this study. The panel model was chosen because it has several major advantages over conventional cross-sectional or time series models [37,38]. For example, panel data usually has a high power to control individual heterogeneity. More importantly, it can help reduce the effects of multicollinearity among the variables and increase the degrees of freedom [37].

This study aimed to quantitatively estimate the relationship between urban form and CO₂ emissions in 30 Chinese provincial capital cities, and as such a CO₂ model needed to be built. The specific equation for that model was as follows:

$$CE_{it} = \beta_i + \mathbf{Z}_{it}\boldsymbol{\varphi} + \mu_i + \varepsilon_{it} \quad (2)$$

where CE_{it} is the CO₂ emissions of city i in year t ; β_i is a scalar coefficient; $\boldsymbol{\varphi}$ is a vector of parameters; μ_i denotes the individual effect, capturing the idiosyncratic characters of each city; ε_{it} denotes the random error; and \mathbf{Z}_{it} is a vector of exogenous variables, including TA, LPI, AWMSI, AWMPFD, PARA_MN, PLADJ, COHESION, AI, LSI and CONTIG. All data must undergo natural logarithm transformation to avoid non-stationarity and heteroskedasticity phenomena in the time series variables. Because Eq. (3) is a combination form, several specific regression models needed to be intensified through the inclusion of different exogenous variables [38]. Generally, if T denotes the number of time points and K represents the number of independent variables, the panel data model has to meet the requirement that $T > K + 1$.

Before conducting the panel data model, we needed to decide whether the fixed effects or random effects model should be used. The fixed effects model is able to intensify the differences between individuals. Furthermore, it permits correlations between the exogenous variables \mathbf{Z}_{it} and the individual specific effect μ_i ; however, it has relative small degrees of freedom resulting from its many scalar coefficients. The random effects model allows greater degrees of freedom, however its high power (when compared to the fixed effects estimator) is conditional on \mathbf{Z}_{it} and μ_i having no

correlated relationship. Whether the model would be accepted was based on the result of a Hausman test, a test which examines whether the covariance estimators of $\boldsymbol{\varphi}$ are obviously different [57].

To solve the stationarity problem, the panel unit root test Levin, Lin and Chu (LLC) was utilised [58]. A panel unit root test was chosen on the basis of its higher power when compared to the normal time series and cross section data [38]. Generally, the LLC test is based on the following autoregressive model [37]:

$$\Delta y_{it} = \rho y_{it-1} + \sum_{j=1}^{k_t} r_{ji} \Delta y_{it-1} + \mathbf{Z}_{it}\boldsymbol{\varphi} + \varepsilon_{it} \quad (3)$$

where \mathbf{Z}_{it} denotes the column vector of exogenous variables (deterministic variables); and $\boldsymbol{\varphi}$ represents the column vector of regression coefficients. The alternative and the null hypothesis can be written as follows:

$$\begin{aligned} H_1 : \rho < 0 \\ H_0 : \rho = 0 \end{aligned}$$

Under the null hypothesis, there is a unit root; under the alternative hypothesis, no unit root exists.

4. Results and discussion

4.1. Analysis of energy-related CO₂ emissions

The levels of energy-related CO₂ emissions in Chinese provincial capitals were calculated for the selected years using Eq. (1), as shown in Fig. 4. Fig. 4 demonstrates that CO₂ emissions have increased in all cities in the study area during the studied period, and the increases witnessed in the second period (2000–2010) were much larger than those which characterise the first period (1990–2000). In addition, whilst the annual growth rate for 1990–2000 was 8.56%, from 2000 onwards this growth rate accelerated significantly, reaching 9.90% in 2010. In terms of the CO₂ emissions produced by each city, Haikou was found to be the smallest emitter, with its emissions rising from 75.02 thousand tons in 1990 to 584.42 thousand tons in 2010. In contrast, Shanghai proved the largest emitter, with its emissions increasing from 3.34 million tons in 1990 to 18.87 million tons in 2010. Fig. 4 also

Table 3
Description of landscape metrics.

Indicators	Abbreviation	Equation	Description
Total area	TA	$TA = \sum_{j=1}^n a_{ij} (1/10000)$	a_{ij} = area (m ²) of patch ij
Largest patch index	LPI	$LPI = \frac{\max_j(a_{ij})}{TA} (100)$	a_{ij} = area (m ²) of patch ij TA = total landscape area (m ²)
Area weighted mean shape index	AWMSI	$AWMSI = \sum_{i=1}^m \sum_{j=1}^n \left[\left(\frac{p_{ij}}{\min p_{ij}} \right) \left(\frac{a_{ij}}{TA} \right) \right]$	m = number of patch types n = number of patches of a class p_{ij} = perimeter of patch ij measured in number of cell
Area weighted mean patch fractal dimension	AWMPFD	$AWMPFD = \sum_{i=1}^m \sum_{j=1}^n \left[\left(\frac{2 \ln(0.25 p_{ij})}{\ln(a_{ij})} \right) \left(\frac{a_{ij}}{TA} \right) \right]$	p_{ij} = perimeter of patch ij , a_{ij} = area of patch ij TA = total landscape area (m ²)
Mean perimeter area ratio	PARA_MN	$PARA_MN = \frac{\sum_{i=1}^m \sum_{j=1}^n (p_{ij}/a_{ij})}{mn}$	p_{ij} = perimeter (m) of patch ij a_{ij} = area (m ²) of patch ij
Percentage of like adjacencies	PLADJ	$PLADJ = \left(\frac{\sum_{i=1}^m \sum_{k=1}^m g_{ik}}{\sum_{i=1}^m \sum_{k=1}^m g_{ik}} \right) (100)$	g_{ii} = number of like adjacencies between pixels of class i based on the double-count method g_{ik} = number of adjacencies between class i and k based on the double-count method
Patch cohesion index	COHESION	$COHESION = \left[1 - \frac{\sum_{i=1}^m \sum_{j=1}^m P_{ij}^2}{\sum_{i=1}^m \sum_{j=1}^m P_{ij} \sqrt{a_{ij}}} \right] \cdot \left[1 - \frac{1}{\sqrt{Z}} \right]^{-1} (100)$	P_{ij} = perimeter of patch ij in terms of number of cell surfaces, a_{ij} = area of patch ij in terms of number of cells, Z = total number of cells in the landscape
Aggregation index	AI	$AI = \left[\frac{g_{ii}}{\max(g_{ii})} \right] (100)$	g_{ii} = number of like adjacencies between class i based on the single-count method
Landscape shape index	LSI	$LSI = \frac{0.25 \sum_{i=1}^m e_{ik}^*}{\sqrt{TA}}$	e_{ik}^* = total length of edge in landscape between classes i and k TA = total landscape area (m ²)
Contiguity	CONTIG	$CONTIG = \frac{\left[\sum_{i=1}^x c_{ij} \right] - 1}{v - 1}$	c_{ij} = contiguity value for pixel i in patch ij v = sum of the values in a 3×3 moving window a_{ij} = area of patch ij in terms of number of cells

displays the distribution overlay of CO₂ emissions data in the form of a box chart, with the bottom and top of the box representing the 25th and 75th centiles for the selected years (1990, 2000 and 2010); the corresponding statistical descriptions are shown respectively in Table 4.

Once we had estimated the emissions for each city for the selected years, it was necessary to perform further analyses (of emission trends, spatial agglomeration of emissions, etc.).

Fig. 5 reviews the kernel density evolution path of CO₂ emissions for the selected years. From Fig. 5, we find that, if the distribution of CO₂ emissions can be considered to be highly concentrated at 1 million tons, it was mainly dispersed from 0.1 t to 3 million tons in 1990. This indicates that the differences in CO₂ emissions evidenced at city level were not particularly large in 1990. The kernel densities of 2000 and 2010 show that both the mean and the variance of CO₂ emissions have been on the increase since 1990. In 2010, emissions were distributed from 1 to 4 million tons, with the most concentrated emission reading at 2 million tons.

Fig. 6 plots the distributions of a Moran scatter of CO₂ emissions in Chinese provincial capital cities according to the temporal characteristics of global Moran's I,¹ showing the local spatial correlation or spatial agglomeration of CO₂ emissions geographically. An increasing trend of the autocorrelation can be clearly observed in Fig. 6, whereby Moran's I rises from 0.1784 in 1990 to 0.2779 in 2010 (where all are significant at 95% confidence level via the randomisation assumption). This finding clearly reveals a trend of spatial concentration taking place in Chinese provincial capital cities. From the viewpoint of scatter distribution, HH and LL clusters constitute the main types of agglomeration seen over the studied period.

4.2. Analysis of urban form aspects

The estimated urban built-up areas of each city are displayed in Table 5 for the selected years. As indicated in Table 5, the built-up areas of each city rapidly expanded between 1990 and 2010. In

1990, the smallest total built-up area (Haikou) and the largest total built-up area (Shanghai) were 14.01 km² and 502.43 km² respectively, whereas in 2010 the smallest and largest areas increased to 50.67 km² (Xining) and 1037.97 km² (Beijing) respectively. In terms of the volume of expansion experienced by the cities that form the study area, Xining was found to experience the least expansion, with its area increasing from 37.16 km² in 1990 to 50.67 km² in 2010 (an increase of 13.51 km²); Beijing, in contrast, demonstrated the greatest expansion, with its area increasing from 415.87 km² in 1990 to 1037.97 km² in 2010 (an increase of 622.10 km²). From Table 5, we also find that the built-up areas of cities with large economies and high incomes were much higher than their counterparts (i.e., the less-developed areas). Fig. 7 displays the spatial patterns of urban built-up expansion from 1990 to 2010. Fig. 7 clearly identifies the dynamic sprawl path of the built-up areas in the 1990s, the 2000s and the 2010s.

With the support of pre-identifying urban sprawl indexes and spatial metrics applied to remotely sensed land cover data, a range of indicators (such as, TA, LPI, AWMSI, AWMPFD, PARA_MN, PALDJ, COHESION, AI, LSI and CONTIG) were calculated individually for each city using a computer software program (FRAGSTATS) designed to compute a wide variety of landscape metrics for categorical map patterns. The results of the calculation of these aspects of urban form indicate significant differences between cities in the changing trends and magnitudes of the indicators. In order to achieve clear descriptive statistics, a boxplot (a convenient way of graphically depicting groups of numerical data through their quartiles) was generated. Fig. 8 displays the variation of statistical urban form data without making any assumptions about the underlying statistical distribution. The spacing between the different parts of the box indicates the degree of dispersion (spread) and skewedness in the data, and shows outliers. Form the points distributed in the figure, we can visualise various L-estimators, notably the interquartile range, mean, median, minimum and maximum.

4.3. Estimation results of the panel model

Prior to conducting parameter estimations of panel data, the multicollinearity between regression models should be tested.

¹ Moran's I is a local indicator of spatial autocorrelation for the analysis of spatial clustering.

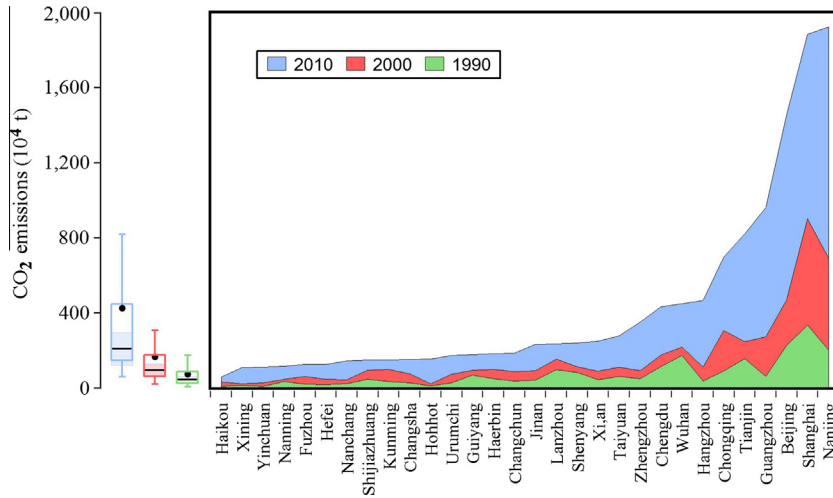


Fig. 4. CO₂ emissions of provincial capitals with box chart distribution overlay for selected years.

Table 4
Summary statistics of CO₂ emissions for selected years.

Variable	Units	Mean	Median	Min	Max	Std. Dev	Skewness	Kurtosis
CO ₂ emissions (1990)	10 ⁴ t	72.877	44.856	7.502	334.39	75.536	1.895	6.297
CO ₂ emissions (2000)	10 ⁴ t	165.762	95.249	21.611	902.512	199.266	2.461	8.655
CO ₂ emissions (2010)	10 ⁴ t	426.007	208.990	58.442	1925.362	503.910	2.032	6.058

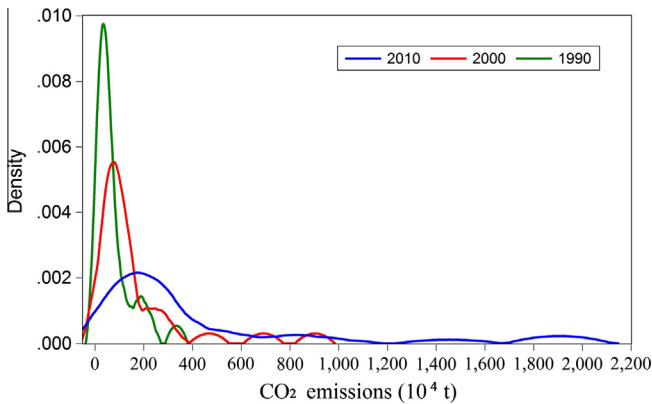


Fig. 5. Kernel density evolution of CO₂ emissions for selected years.

Table 6 reports the results of correlations among the variables in this study. As indicated in Table 5, no high correlations existed in these variables. In addition, the results of the multicollinearity tests show that multicollinearity among all regression models was low, with variance inflation factors (VIF) no greater than 10 and condition indexes (CI) less than 30, indicating the independent variables did not suffer from the problem of severe multicollinearity. On the basis of these results, we were able to proceed in conducting the panel data analysis.

First, the stationarity of the dependent variable CO₂ emissions needed to be tested. A type of panel unit root test, the LLC test, was utilised. The results from this test showed the variable to be stationary at the first difference, rejecting the null hypothesis at 5% level of significance. As such, the research could be undertaken without any specifications in difference. Given the condition that $T > k + 1$ and $T = 3$, the maximum value of k was 1, which implies that the regression model had at most one explanatory variable. The explanatory variables were separated into ten regression

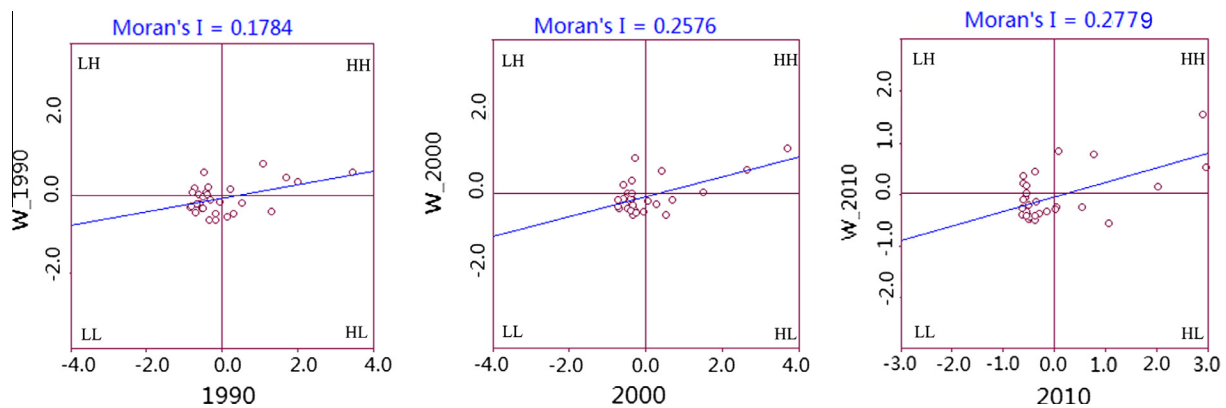


Fig. 6. Moran scatter plot of CO₂ emissions for selected years. Note: HH means high values surrounded by high values; LH means low values surrounding by high values; LL means low values surrounded by low values; HL means high values surrounded by low values.

Table 5
Urban built-up areas of 30 Chinese provincial capital cities.

City	Built-up area (km ²)			City	Built-up area (km ²)		
	1990	2000	2010		1990	2000	2010
Beijing	415.87	877.80	1037.97	Lanzhou	90.85	92.15	117.86
Changchun	163.93	180.75	279.32	Nanchang	53.16	63.37	136.75
Changsha	83.21	92.75	168.26	Nanjing	169.62	246.87	583.74
Chengdu	138.36	226.22	608.53	Nanning	70.40	128.71	151.83
Chongqing	129.04	165.08	195.54	Shanghai	502.43	680.33	837.39
Fuzhou	75.71	93.46	130.74	Shenyang	218.89	228.80	329.74
Guangzhou	174.47	313.44	414.53	Shijiazhuang	84.77	130.58	151.73
Guiyang	64.96	68.67	78.61	Taiyuan	176.58	221.52	247.44
Harbin	137.40	146.10	190.70	Tianjin	339.14	380.80	542.36
Haikou	14.01	54.03	67.75	Wuhan	220.10	243.81	440.63
Hangzhou	91.76	133.11	256.07	Urumqi	185.17	221.70	245.48
Hefei	93.36	110.49	247.63	Xi'an	149.23	182.74	210.67
Hohhot	85.92	124.19	121.23	Xining	37.16	38.93	50.67
Jinan	111.81	163.32	223.02	Yinchuan	32.67	47.35	84.54
Kunming	91.48	143.82	243.11	Zhengzhou	113.10	163.91	235.29

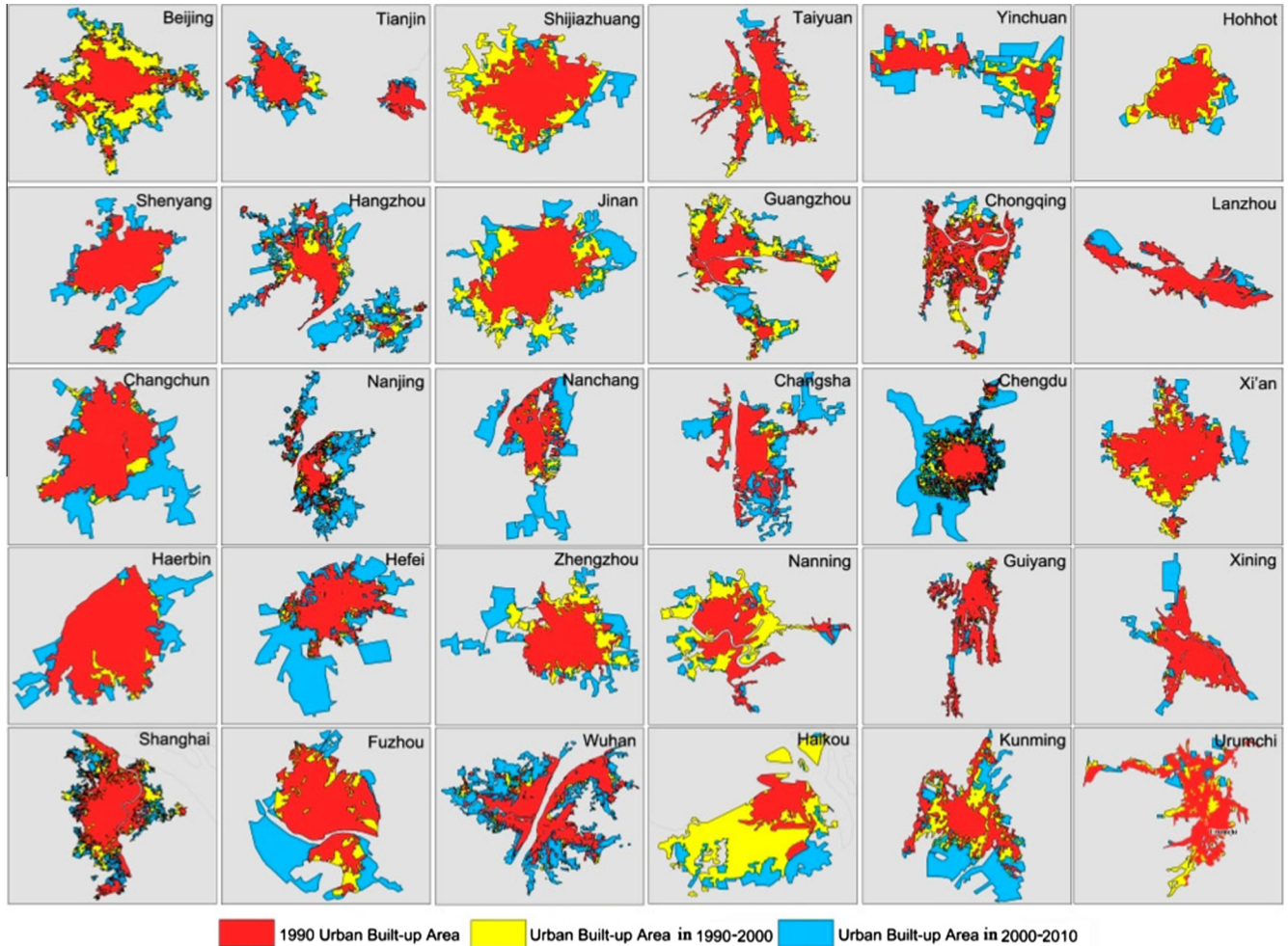


Fig. 7. Spatial patterns of urban built-up areas of 30 Chinese provincial capital cities.

models in order to properly estimate the relationship between CO₂ emissions and urban form. Since models I–X are static panel models, they could be estimated using either the fixed effects estimator or the random effects estimator. Hausman tests were further conducted, with results rejecting the null hypothesis of random effects, indicating that the fixed effects estimator was suitable for

the ten models developed. Table 7 displays the coefficients estimated from the panel data analysis. These results identify several associations between urban form and CO₂ emissions, thereby suggesting that the nature of the links between urban form and CO₂ emissions are in fact discernible at the provincial capital city level in China. Organised in terms of urban form aspects, we describe

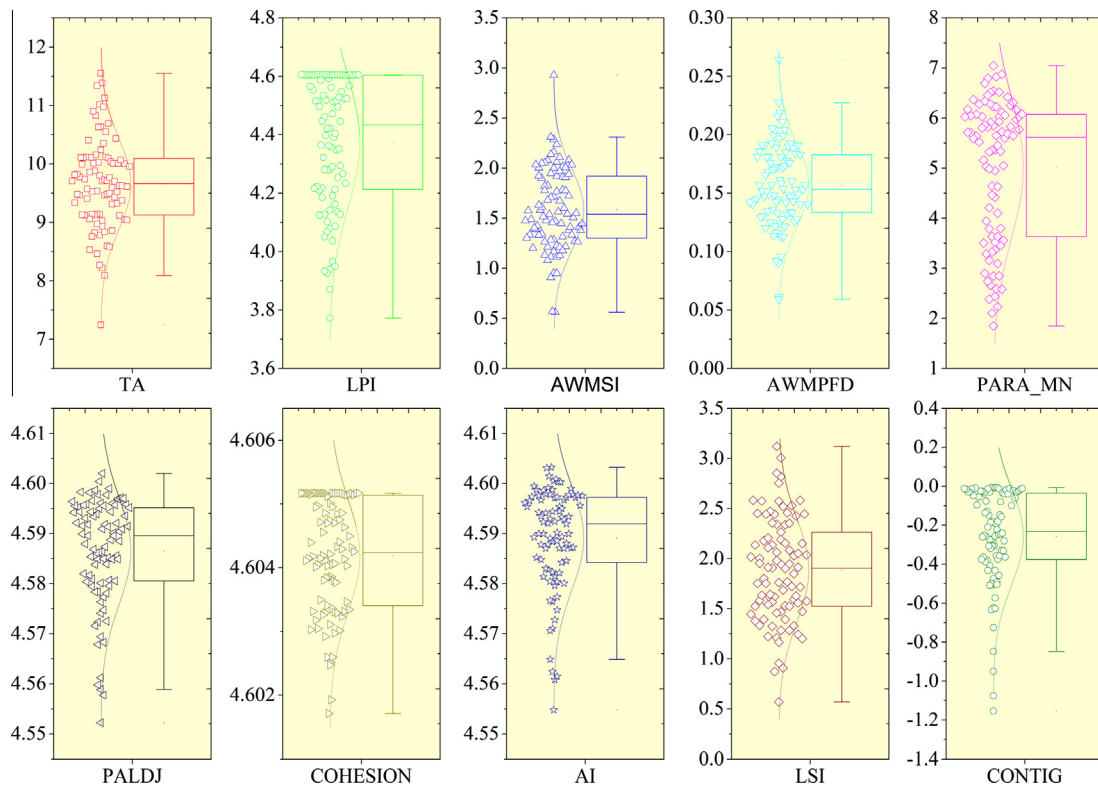


Fig. 8. Box chart of urban form aspects with scatter plot and distribution overlay.

Table 6
Correlation test results.

	TA	LPI	AWMSI	AWMPFD	PARA_MN	PALDJ	COHESION	AI	LSI	CONTIG
TA	1.000									
LPI	-0.003 ^{***}	1.000								
AWMSI	0.284 ^{***}	-0.081 ^{***}	1.000							
AWMPFD	0.125 ^{**}	-0.147 ^{***}	0.483 ^{**}	1.000						
PARA_MN	0.326 ^{**}	-0.169 ^{***}	0.445 ^{**}	0.410 ^{**}	1.000					
PALDJ	0.426 ^{***}	0.338 ^{***}	-0.604 ^{***}	-0.712 ^{***}	-0.225 ^{***}	1.000				
COHESION	0.340 ^{**}	0.561 ^{**}	-0.101 ^{***}	-0.214 ^{***}	-0.160 ^{***}	0.662 ^{**}	1.000			
AI	0.344 ^{***}	0.350 ^{***}	-0.654 ^{***}	-0.701 ^{***}	-0.260 ^{***}	0.695 ^{**}	0.653 ^{***}	1.000		
LSI	0.242 ^{***}	-0.480 ^{***}	0.583 ^{**}	0.597 ^{**}	0.486 ^{**}	-0.717 ^{***}	-0.504 ^{***}	-0.468 ^{***}	1.000	
CONTIG	-0.355 ^{***}	-0.081 ^{***}	-0.304 ^{***}	-0.244 ^{***}	-0.703 ^{**}	-0.019 ^{***}	-0.143 ^{***}	0.012 ^{***}	-0.211 ^{***}	1.000

Note: All values are taken the natural logarithm.

** Denotes significance at 5% level.

*** Denotes significance at 1% level.

here only those relationships between urban form and CO₂ emissions that were statistically significance at less than 5% level (TA, COHESION, AI, CONTIG, AWMSI, AWMPFD and LSI).

Model I was used to test the effects of urban expansion on CO₂ emissions. The coefficient of TA in logarithmic form was expected to be positive and the estimation result obtained in relation Model I was consistent with that expectation. With the implementation of its “Reform and Opening-up” policy in the late 1970s, China has witnessed (and is still witnessing) fast-paced urban development. Over the past two decades, urbanisation levels in China’s 30 provincial capitals have increased spectacularly. This has resulted in several effects. Firstly, the expansion of urban areas for living and infrastructure has reduced vegetation and lead to a decrease in the size of carbon sinks – an effect which can be expected to pose negative consequences for carbon storage and result in many environmental changes such as the urban heat island effect and global warming. Secondly, rapid urbanisation in these 30 provincial capitals has brought millions of people from rural areas to

cities and towns every year. This migration has led to increases in the demand for urban energy supplies and the production of a large volume of CO₂ emissions. In addition, the growth of population directly has also resulted in tremendous increases in consumption (daily living, working), which accelerate the process of industrial production and resource consumption, leading to further growth in CO₂ emissions. Thus, unsurprisingly, the findings of this study support the conclusion that the rapid growth of urban areas has brought about a corresponding increase in CO₂ emissions.

The other six landscape metrics used in this study described two components of urban form [12]: urban continuity and urban shape complexity. The landscape metrics belonging to urban continuity included COHESION, AI and CONTIG; those used to describe urban shape complexity included AWMSI, AWMPFD and LSI.

Urban continuity denotes the degree to which the urban landscape is aggregated and connected. Higher urban continuity means that urban areas are less scattered, with more continuous and less interspersed development. The higher the value of urban continuity,

Table 7

Coefficients estimated from panel data analysis.

Model	Independent variables	Coefficient	Constant	R-square	F-statistic	Prob (F-statistic)	AIC	N
Model I	<i>lnTA</i>	1.9680*** (0.1544)	−14.304*** (1.4923)	0.8885	15.6765	0.0000	1.6248	90
Model II	<i>lnLPI</i>	0.5297 (1.0212)	2.3826 (4.4688)	0.5837	2.7570	0.0004	2.9426	90
Model VI	<i>lnPALDJ</i>	−0.6372 (0.4504)	5.7113*** (0.7209)	0.6955	2.8951	0.0002	2.9138	90
Model VII	<i>lnCOHESION</i>	−1.4883** (0.7190)	6.3454*** (0.7460)	0.7141	3.1292	0.0000	1.8667	90
Model VIII	<i>lnAI</i>	−0.7999*** (1.2641)	3.6324*** (0.5801)	0.8508	5.9271	0.0000	2.9241	90
Model X	<i>lnCONTIG</i>	−2.0580** (0.8338)	4.1680*** (0.2348)	0.8209	3.2210	0.0000	2.8489	90
Model III	<i>lnAWMSI</i>	0.7876*** (1.1161)	−3.5645*** (0.5325)	0.7650	2.4024	0.0000	2.3706	90
Model IV	<i>lnAWMPFD</i>	0.9617*** (1.7383)	−4.4223*** (0.8004)	0.8246	5.1750	0.0000	2.5292	90
Model V	<i>lnPARA_MN</i>	0.1971 (0.1515)	3.7132*** (0.7646)	0.5934	2.8705	0.0002	2.9188	90
Model IX	<i>lnLSI</i>	0.9889* (0.3902)	−4.5631*** (0.7411)	0.8288	3.2471	0.0000	2.8438	90

Note: Robust standard errors in parentheses for models I to X.

** Denotes significance at 5% level.

*** Denotes significance at 1% level.

the less fragmented an urban area is. Urban development with high aggregation and connectedness should lead to shorter commuting distance between residences and places of work. As described previously, the variable COHESION estimates the connectedness of urban areas; AI and CONTIG are measures of the aggregation of urban areas. The lower the values of COHESION, AI and CONTIG, the more compact the development pattern of an urban area is. From Table 7, we find that the variables COHESION, AI and CONTIG all demonstrated significant negative correlations with respect to CO₂ emissions. These results are in line with the results of a number of other recent studies. For instance, Bereitschaft and Debbage [12] employed a similar measure in their study and found that more contiguous urban areas experienced, on average, significantly lower levels of CO₂. Similarly, Ou et al. [10] also found urban expansion within an aggregated and continuous pattern to positive correlate with the reduction of CO₂ emissions. In addition, using Beijing as an example, Wang et al. [22] found that a more decentralised urban form is conducive to the increase of transport-related CO₂ emissions. The results of similar studies have also demonstrated that more compact urban areas can lead to less use of private automobiles, shorter travel distances, a higher efficiency of city operation, higher urban land-use intensity and less consumption of energy resources. The findings of this study indicate that a compact and continuous urban area is highly beneficial for fast-growing cities, in order for them to reduce CO₂ emissions and realise urban sustained development. The results are also useful for urban planners, assisting them in identifying effective strategies for low-carbon urban planning and spatial optimisation.

Urban shape complexity was utilised in this study in order to estimate the potential links between special attributes (for instance, shape of boundary or landscape) of urban form and CO₂ emissions. Urban shape complexity provides a measure of the regularity or the “jaggedness” of the shape of an urban boundary. In general, the higher the value of shape complexity is, the more irregular the urban landscape is. Less compact urban landscapes with highly complex, irregular boundaries can be expected to increase the time and distance of common commuting. As indicated in Table 7, the variables AWMSI, AWMPFD and LSI were found to exert significant positive impacts on CO₂ emissions. AWMSI is used to reflect the landscape structure by calculating

the complexity of urban patches according to their size. AWMPFDI measures the irregularity of urban patches' shapes, which implies the unplanned growth of an urban area. The shape of the urban area will become more irregular if the fractal dimension index increases. LSI can be interpreted as a measure of the overall geometric complexity of the landscape. The results of estimating AWMSI, AWMPFD and LSI indicate that CO₂ emissions increased as the urban landscape within these 30 provincial capital cities exhibited more complex and irregular spatial patterns. The main reason for this phenomenon may be that irregular urban landscapes significantly increase the number and duration of automobile trips by increasing the movements of people from living areas to the working areas. Given the above, the study identified a significant association between urban shape complexity (which indicates more complex urban spatial patterns) and CO₂ emissions.

5. Conclusions and policy implications

Global warming is an indisputable fact, and it has become an inevitable threat to our lives and environment. Further, it is urban areas that are primarily responsible for the rising temperatures resulting from the effects of today's high levels of CO₂ emissions [59–62]. Currently, it is unanimously recognised that urban form can strongly impact on a fast-growing city's contribution to global climate change through the production of CO₂ emissions [10], and as such, it is clearly necessary to undertake appropriate strategic spatial planning and urban design measures in order to reduce CO₂ emissions and thereby address the anticipated impact of global warming. Despite this urgent imperative, existing literature engaging in the task of quantifying the impacts of urban forms on CO₂ emissions is limited. In order to deal with this deficiency, this study has aimed to explore the nature of links between urban form and CO₂ emissions using panel data for 30 provincial capital cities in China, remotely sensed data, and socioeconomic data from 1990 to 2010.

In this study, we calculated CO₂ emissions for the urban areas of 30 provincial capital cities in China taking the period 1990–2010 into consideration, and then proceeded to identify the built-up area of each city using remotely sensed images. In addition, quantitative indicators relating to urban form were selected and quan-

tified using spatial (landscape) metrics for the urban built-up areas of each provincial capital city. Panel data analysis was subsequently utilised in order to estimate the associations between urban form and CO₂ emissions.

From the analysis of CO₂ emissions, we found the emissions for all the cities to have increased during the study period, and that regional inequality in CO₂ emissions among provincial capital cities also increased (albeit gradually) from 1990 to 2010. In addition, on the basis of results obtained using global Moran's I, the study revealed an increasing trend towards autocorrelation (spatial dependence) taking place in Chinese provincial capitals. The urban areas of each city were also shown to have undergone rapid expansion from 1990 to 2010, with significant differences being presented in terms of both the character and magnitude of changes evident in the urban form of each city.

Parameter estimations made using the panel data model indicated that the individual variable coefficients exhibited important but different impacts with respect to their effect upon CO₂ emissions. In order to obtain a clearer analysis, ten indicators relating to urban forms were initially classified in accordance with three key aspects [12]: urban expansion (TA), urban continuity (COHESION, AI and CONTIG) and urban shape complexity (AWMSI, AWMPFD and LSI). Urban sprawl was found to inevitably accelerate the increase of CO₂ emissions. This is because, on the one hand, the growth of urban areas leads to a reduction of carbon sinks and an increase in resources consumption, and, on the other, it incites millions of people to move from rural areas moved to cities and towns across China every year through rapid urbanisation processes. This migration and the lifestyle changes it necessitates lead to an increase in CO₂ emissions. Urban continuity (high COHESION, AI and CONTIG) was found to be negatively correlated with CO₂ emissions, indicating that an aggregated and continuous urban development pattern can in fact help reduce CO₂ emissions. Conversely, urban shape complexity (high AWMSI, AWMPFD and LSI) was found to exert a positive influence on CO₂ emissions, meaning that fragmented or irregular urban areas (and patterns of land use) contribute to the increase of CO₂ emissions.

The findings of this study represent a contribution to the existing literature and suggest a series of meaningful theoretical and policy implications. The study found that, given the significant contribution urban areas make to global climate change, the impact that different urban form patterns exert in relation to CO₂ emissions intensifies in contexts of rapid urbanisation. The results of this study clearly point out that individual urban form indicators exert important but different influences in relation to CO₂ emissions. In order to formulate effective emission-reducing policies, in the future urban planning practice should consider the impacts of different urban form patterns on CO₂ emissions. It is, however, also recognised that cities are required to make substantial contributions to economic development, and that steady and fast economic growth must always be the primary goal of Chinese decision makers. As such, a prerequisite to realising emission reduction targets must be the maintenance of economic development, characterised by gross domestic product (GDP). Given these conditions, the Chinese government faces the significant challenge of both reducing urban CO₂ emissions while also maintaining economic growth in cities where rapid development is still necessary and important. On the basis of previous research, we now know that reducing energy use and improving energy efficiency are the most effective measures to reduce CO₂ emissions. However, whilst energy use is the direct driving force behind economic development in China's current stage of development – and it is thus not the most feasible alternative to reduce CO₂ emissions at the cost of sacrificing economic growth in the future [6,9,10] – it must also be recognised that energy technology equipment and policy management are both still relatively backward present. Moreover, a

bottleneck still exists in terms of technology and money for energy conservation and emission mitigation [63]. As such, in addition to reducing energy use and improving energy efficiency, the results of this study support the design of rational urban form through spatial planning and urban management, and indicate that such measures may in fact constitute an effective alternative in addressing the issue of emission reductions in relation to Chinese cities. In support of such a development, a better understanding of the quantitative relationships between urban forms and CO₂ emissions is important and indeed necessary for Chinese policymakers at various levels (both central and local) when formulating future urban development plans. We identify the major results of the presented study, and propose a three-pronged strategy to get Chinese cities onto the low-carbon pathway. First, China must control the rapid expansion of major cities. Under the context of rapid urbanisation, Chinese cities should increase the green areas and, in turn, increase carbon sinks. Urban sprawl incites millions of people to move from rural areas to cities and towns across China every year. Thus, it should also make more effort to improve the public low-carbon awareness, strengthen the generalisation of a low-carbon economy. Second, Chinese cities should increase urban compactness to mitigate CO₂ emissions. According to our conclusions, lower CO₂ emissions level can be achieved through cities that are more compact. However, various environmental problems resulting from increased compactness may appear when public service investment is limited and the income distribution system is distorted. Thus, a key focus should be that policy should identify an optimum degree of urban compactness that, on the one hand, will prevent disadvantages associated with overcrowding, and, on the other, mitigate the CO₂ emissions effectively. Third, Chinese cities should optimise the patterns of land use because of the fragmented or irregular urban areas contribute to the increase of CO₂ emissions. Urban planners should consider the urban shape complexity when formulate future urban development strategies. Shape complexity (perimeter-to-area ratio) can be interpreted as the “jaggedness” of the urban boundary as well as the porosity (i.e., the intermixing of urban and nonurban land cover) of the urban landscape. Therefore, decrease shape complexity when controlling urban development can reduce urban CO₂ emissions effectively. Ultimately, this knowledge will allow them to address emission reductions and to achieve more sustainable economic growth [64]. Based on the analysis set out in this paper, the empirical findings of this study hold important implications for action on the path towards developing low-carbon cities in China.

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