

Permian diamictites in Northeastern Asia: their significance concerning the bipolarity of the late Paleozoic ice age

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1 **ABSTRACT**

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Despite a lack of detailed sedimentologic analyses, diamictites in the Middle Permian Atkan Formation were previously interpreted as glaciomarine and glacially-influenced marine deposits. This interpretation allowed this unit to play a prominent role in paleoclimatic and biogeographical reconstructions associated with presumed bipolar glaciation during the late Paleozoic ice age (LPIA). In this sense, the LPIA is considered to be a close analogue to bipolar glaciation and climate change during the Cenozoic. Here, results are presented that challenge the glacial interpretation for these strata and negate interpretations of the bipolar nature of the LPIA. The 400 to 1500-m-thick Atkan Formation was deposited in back-arc basins associated with activity of the Okhotsk-Taigonos volcanic arc along the leading edge of Pangea as it drifted across the North Polar Circle. The occurrence of tuffs, volcanic clasts, and glass shards indicate derivation from a nearby arc. Cooling and solidification of some clasts during sedimentation is suggested by the occurrence of clasts with embayments and protrusions that extend into the surrounding matrix, clasts with columnar-like jointing, and alteration of the matrix surrounding some clasts. CA-TIMS dating of tuff zircons indicate a late Capitanian age, which is consistent with fossils within the strata. Bedded diamictites deposited as debrites dominate. These diamictites, which occur as tens of m thick downlapping packages that thicken then thin upward, were deposited as prograding and abandoning sediment gravity-flow fans. Chaotic and folded strata formed as slumps. Graded sandstones and conglomerates were deposited as turbidites, and mudstones were deposited as mudflows, low-density turbidites, and hemipelagic deposits. Striated clasts and oversized clasts piercing bedding were not observed in the study area. Strata above and below the Atkan Formation contain abundant graded beds and deep-water trace fossils

24 indicating deposition as turbidites. The combination of debrites, turbidites, slumps, volcanic
25 grains (clasts, glass, and tuffs), and an absence of glacial indicators suggest that Atkan strata
26 were deposited in deep-water basins associated with the development of the volcanic arc rather
27 than due to glacial activity. These findings are significant as they require reconsideration of
28 current views of LPIA glaciation and suggest that ice sheets were limited to Gondwana.

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30 **Keywords**

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32 late Paleozoic ice age; diamictites; Siberia; Permian; Northeastern Russia; Capitanian;

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34 **1. Introduction**

35

36 The late Paleozoic Ice Age (LPIA) is one of Earth's most important climatic
37 events as it represents the longest, most widespread glacial interval of the Phanerozoic (Crowley
38 and North, 1991; Frakes et al., 1992; Eyles, 1993). This dynamic ice age played an important
39 role in driving linked oscillations in climate, eustasy, and floral and faunal restructuring during
40 the Carboniferous and Permian (e.g., Waterhouse, 1976; Heckel, 1994, 2008; Raymond and
41 Metz, 2004; Cleal and Thomas, 2005; Dimichele et al, 2005; Falcon-Lang and Dimichele, 2010;
42 Horton et al., 2012). However, despite an evolving understanding of the LPIA (Isbell et al., 2003,
43 2012, 2013; Fielding et al., 2008a, 2008b, 2008c; Montañez and Poulsen, 2013; Frank et al.,
44 2015), the size, distribution, paleogeography, timing, and depositional settings of its glacial
45 events remain unresolved. Although Gondwana glaciation is well established (Crowell and
46 Frakes, 1970; Crowell, 1978, 1983, 1999, Veevers and Powell, 1987; López-Gamundí, 1997;

47 Visser 1997a, 1997b; Isbell et al., 2003, 2012; Fielding et al., 2008c), reported glaciation in the
48 Northern Hemisphere is less well defined (e.g., Ustritsky and Yavshits, 1971; Epshteyn 1981a,
49 1981b; Chumakov, 1994; Zharkov and Chumakov, 2001; Chumakov and Zharkov, 2003; Biakov
50 and Shi, 2010; Biakov et al., 2010; Shi and Waterhouse, 2010). Nonetheless, a growing body of
51 literature invokes bipolar glaciation for the LPIA and the development of ice centers in
52 northeastern Asia to explain trends found elsewhere around the globe (e.g., Waterhouse, 1976;
53 Stanley, 1988; Raymond and Metz, 2004; Fielding et al., 2008c; Rygel et al., 2008). Despite the
54 potential significance of strata in northeastern Asia, little attention has been focused on deposits
55 from this region and their potential to provide information that can be tied directly into the LPIA
56 record.

57 Carboniferous and Permian strata in Northeast Russia (Figs. 1, 2, and 3) figure
58 prominently in paleo-environmental, paleoclimatic, and biogeographical reconstructions for the
59 LPIA in helping to explain changes in late Paleozoic sea level, paleobiogeography, biotic
60 reorganization (diversity and abundance), chemical signatures within strata derived from low
61 paleolatitudes, and climate model results (e.g., Waterhouse, 1976; Stanley, 1988; Davydov et al.,
62 1996; Crowley et al., 1989; Zharkov and Chumakov, 2001; Beauchamp and Baud, 2002;
63 Chumakov and Zharkov, 2003; Stanley and Powell, 2003; Raymond and Metz, 2004; Horton et
64 al., 2007, 2010; Fielding et al., 2008c; Rygel et al., 2008; Birgenheier et al., 2010; González and
65 Díaz Saravia, 2010; Koch and Frank, 2012; Chen et al., 2014; Frank et al., 2015). However,
66 conflicting reports of whether this region was ever glaciated, how extensive glaciation was, or
67 the conditions under which glaciation could occur make LPIA reconstructions in the Northern
68 Hemisphere problematic (cf. Shi and Waterhouse, 2010). Diamictites, and lonestones have been
69 reported from Carboniferous and Permian strata in the Kolyma and adjacent river basins (Fig. 1),

70 and have been interpreted as the result of glaciomarine and/or glacially-influenced marine
71 deposits resulting from sea ice (shore ice), river ice, and iceberg rafting; ice proximal; ice
72 contact/subglacial processes; and sediment gravity flows (Andrianov, 1966; Ustritsky and
73 Yavshits, 1971; Frakes et al., 1975; Epshteyn, 1981a, 1981b; Chumakov, 1994). Current climate
74 models also simulate land-based ice in Northern Pangea during the LPIA, although, in general,
75 simulated ice sheets are small and likely had a negligible impact on global ice volume (Horton et
76 al., 2007, 2010). However, work by Biakov and Vedernikov (1990), and Biakov et al. (2010)
77 suggests, that the diamictites are not of glacial origin, but instead, the result of marine slumping
78 and debris flows associated with the development of the Okhotsk-Taigonos Volcanic Arc.

79 The diamictite-bearing successions in northeastern Asia are poorly dated and
80 reports appear primarily in obscure summary papers lacking detailed analyses. Only the Middle
81 Permian diamictites have received modest attention (Epshteyn 1981b; Chumakov, 1994;
82 Grinenko et al., 1997; Biakov et al., 2010; Shi and Waterhouse, 2010). Therefore, these strata
83 require further scrutiny to ascertain the occurrence, distribution, and timing of possible bipolar
84 glaciation during the LPIA. Because of the general poor constrains on descriptions and
85 interpretations of Carboniferous and Permian deposits in northeastern Asia and their importance
86 to global reconstructions of the late Paleozoic, systematic analyses of these strata are warranted.
87 A robust near-field data set, from North Polar Pangea, which is currently lacking, is needed to
88 rigorously test models for the LPIA and to constrain processes and environmental conditions
89 responsible for the initiation, maintenance, and demise of the glaciation and to determine the
90 LPIA's influence on the evolution of Earth's natural systems.

91 Herein, we report the results of field work in the Kolyma River region (Fig. 1) of
92 the Magadan Oblast to investigate the occurrence of glacial signatures within the Middle

93 Permian Atkan Formation (Figs. 2 and 4). Fieldwork was conducted over a three week period
94 during the summer of 2013. These strata were accessed by driving overland up braided river
95 systems using a Kamaz 6x6 expedition truck capable of driving through 2.5 m of water and a
96 tracked vehicle. Steeply dipping strata of the Khuren/Forel, Atkan, and Druzhba/Omchak
97 formations (Fig. 2) along Druzhba Creek (60°54.056'N; 146°49.628'E) and the Khuren River ice
98 fields in the northeastern part of the Okhotsk Basin (61°04.775'N; 147°21.716'E), and the
99 Nelkoba River in the Ayan-Yuryakh Anticlinorium (61°19.784'N; 148°49.532'E; Fig. 1) were
100 studied for their lithofacies content, facies associations, clast contents, occurrence of tuffaceous
101 materials, and fossil content (Figs. 2 and 4). Samples were sectioned and polished to conduct
102 process sedimentology on representative sedimentation units and thin sectioned for petrographic
103 and micromorphologic analysis at the University of Wisconsin-Milwaukee. Chemical analysis of
104 diamictites and tuffs contained within the diamictites were run at the North-East Interdisciplinary
105 Scientific Research Institute and Institute of Tectonics and Geophysics (ICP-MS analysis of rare
106 earth elements in tuffs and matrix of the diamictites) of the Far East Branch of the Russian
107 Academy of Sciences. At Boise State University, tuffaceous materials, volcanic clasts, and the
108 matrix of the diamictites were processed for zircons and analyzed for isotopic dates using the
109 chemical abrasion isotope dilution thermal ionization mass spectrometry (CA-TIMS) method on
110 single zircon grains (Davydov et al., 2015).

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112 **2. Diamictites in Northeast Asia**

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114 In northeastern Asia (Fig. 1), upper Paleozoic diamictites and/or lonestone-
115 bearing mudrocks are identified from Lower and Middle Pennsylvanian (Fig. 3; Bashkirian-

116 Moscovian; Ustritskiy and Yavshits, 1971; Epshteyn, 1981a), Lower Permian (Sakmarian;
117 Ustritsky, 1973) and Middle Permian strata (Kungurian--Raymond and Metz, 2004; Kungurian
118 and Early Capitanian--Grinenko et al., 1997; Capitanian--Mikhaylov et al., 1970; Epshteyn,
119 1981b Chumakov, 1994; Biakov et al., 2010) exposed in the Lena, Kolyma, Khuren and Omolon
120 river watersheds (Fig. 1). The Carboniferous and Middle Permian diamictites are best described
121 from outcrops along the Kolyma, Khuren, and Omolon River systems to the north and northwest
122 of Magadan, while the Lower Permian diamictites are exposed in the Verkhoyansk-Okhotsk
123 region between the Lena and Yana Rivers, and between the Aldan and Kolyma Rivers (Fig. 1;
124 Ustritskiy and Yavshits, 1971; Epshteyn, 1981a, 1981b; Chumakov, 1994; Biakov et al., 2010).

125 The Carboniferous units, consisting of massive sandstone/siltstone with rare
126 pebbles and cobbles in beds up to 10 m thick, are attributed to deposition due to sea-ice rafting
127 (Epshteyn, 1981a); whereas, Sakmarian diamictites, which occur as 2- to 30-m-thick successions
128 of pebble to boulder-bearing sandstones and mudrocks, are interpreted as products of sea-ice
129 and/or iceberg rafting (Andrianov, 1966; Utritsky, 1973). However, purported Sakmarian
130 diamictites in Northeast Russia are problematic as Epshteyn (1981a) indicates that these deposits
131 may actually be Middle Carboniferous (Bashkirian-Moscovian) in age.

132 The Middle Permian (Capitanian) diamictites, the subject of this paper, are
133 complex and are contained in brachiopod and bivalve-bearing marine successions in the
134 Okhotsk, Ayan-Yuryakh, Balygychan, Gizhiga, Taigonos, and Omolon basins. The thickest and
135 most widespread diamictite-bearing strata, up 1500 m thick, are found in the Atkan Formation of
136 the Okhotsk and Ayan-Yuryakh basins and to a lesser extent as discontinuous lenses in the
137 Omchak Formation (Ayan-Yuryakh Basin; Frakes et al., 1975; Biakov, 2007; Biakov et al,
138 2010). Such rocks occur, but are rare in the lower part of the Balygychan Formation of the

139 Balygychan Basin, and macroscopically similar rocks also occur in the Gizhiga Formation of the
140 south-eastern part of the Omolon basin and in the Aulanzha Formation of the Gizhiga basin
141 (Ganelin, 1984; Kashik et al., 1990).

142 The best know Middle Permian diamictites occur in the Atkan Formation (Figs. 2,
143 3, and 4) and are reported to consist of laterally continuous horizons of stratified and massive
144 diamictites, and/or mudstone (gritty to pebbly) with dispersed clasts (Epshteyn, 1981b;
145 Chumakov, 1994). Clasts range from sand to pebble size (2-3 cm). However, rare boulders up to
146 40 cm in diameter have been described (Epshteyn, 1981b). Although the vast majority of the
147 clast are reported as rounded (Mikhaylov et al., 1970; Epshteyn, 1981b), Chumakov (1994) also
148 reported bladed, faceted, and striated pebbles and boulders, as well as sediment aggregates
149 similar to diamictite pellets (till pellets). Both Epshteyn (1981b) and Chumakov (1994) reported
150 penetration of lonestones into fine-grained deposits, and those authors, as well as Biakov et al.
151 (2010), noted the occurrence of soft-sediment folds within sandstone interbeds. Such features
152 lead Chumakov (1994) to interpret the diamictites in the Atkan Formation as deposits from sea-
153 ice and iceberg rafting, sediment gravity flows (turbidites, debris flows, and slumps), and
154 possible ice-marginal conditions. However, agreement on depositional processes for these strata
155 is not unanimous as some authors favor sea ice or sediment gravity flow processes as the prime
156 mode of deposition rather than glacial processes (cf., Frakes et al., 1975; Epshteyn, 1981b;
157 Biakov et al., 2010). Clasts and sand grains in these units are primarily of igneous and volcanic
158 origin (Epshteyn, 1981b). Chumakov (1994) considered these clasts to have been derived from
159 Devonian acidic and intermediate volcanic rocks, which are widespread throughout the present
160 southern portion of the Okhotsk massif. However, the matrix in these diamictites is composed of
161 volcanic glass and grains displaying relic ash structures. Therefore, Biakov et al. (2010)

162 suggested that these units resulted from sediment gravity flows associated with concurrent
163 development of the Okhotsk-Taigonos Volcanic Arc. It should also be noted, that Grinenko et
164 al., (1997) identified boulders and large limestone blocks (mixtites and olistostromes) contained
165 in, and surrounded by, fine-grained Tumarinian and Lower Dulgalakh (Kungurian and Early
166 Capitanian strata according to recent data) base-of-slope deposits. They interpreted these features
167 to have accumulated as avalanche deposits derived from the collapse of self-edge carbonate reefs
168 along the margin of the North Asian Craton (Siberian Craton).

169 In northeastern Asia, diamictites and mudrocks with dispersed clasts disappear at
170 the top of the Gizhigina Horizon strata (Mikhaylov et al., 1970; Ustritskiy and Yavshits, 1971;
171 Utritsky, 1973; Epshteyn, 1981b; Chumakov, 1994; Biakov et al., 2010), which are considered
172 here as the Capitanian. Until the last decade, the age of these strata were considered as Late
173 Permian (Kazanian or Early Tatarian) sensu old Russian Stratigraphic Scale.

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175 **3. Late Paleozoic paleogeography of NE Asia**

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177 Throughout the Carboniferous and Permian, the northern margin of Pangea
178 drifted from ~60°N in the Middle Mississippian (Viséan) to the North Pole by the end of the
179 Permian (Fig. 5; Scotese, 1997; Torsvik and Cocks, 2004; Blakey, 2008). During this time, the
180 leading edge of the continent was marked by an expansive system of subduction zones and
181 volcanic arcs (the Okhotsk-Taigonos Arc and the Alazeya-Oloi Arc), which extended along the
182 North-Asian Craton across the Eastern Boreal Realm (Kolyma-Omolon Province, the
183 Verkhoyan-Okhotsk Province) to the Western Boreal Realm (west coast of North America).
184 Volcanic rocks of the calc-alkaline Okhotsk-Taigonos Arc (also referred to as the Koni-Taigonos

185 or Uda-Murgal Volcanic Arc) formed along the northern edge of the supercontinent during the
186 Middle to Late Carboniferous and reached their maximum development during the Capitanian
187 (late Middle Permian; Umitbaev, 1963; Ustritsky, 1975; Zaborovskaya, 1978; Nekrasov, 1976;
188 Parfenov, 1984; Biakov, 2003; Biakov et al., 2005, 2007; Shi, 2006).

189 A complex series of accreted terranes, back-arc basins and marginal seas/passive
190 margins were located between the Okhotsk-Taigonos Arc, the Alazeya-Oloi Arc, and the North-
191 Asian Craton (Shi, 2006). These features formed both shallow- and deep-water marine basins
192 (Biakov et al., 2005, 2010; Ganelin and Biakov, 2006; Klets et al., 2006; Shi, 2006).

193 Relatively shallow-water basins included: the Verkhoyansk passive margin or
194 marginal-epicontinental sea located along the North-Asian Craton, and the central part of the
195 Okhotsk, Penzhina, and Omolon Basins, which were located adjacent to the Okhotsk-Taigonos
196 Arc (Parfenov et al., 2003; Klets et al., 2006; Biakov et al., 2007). However, terrestrial and
197 shallow marine areas on the Okhotsk Microcontinent were likely part of the arc and contain
198 substantial volumes of volcanic rocks (cf., Umitbayev, 1963; Ustritsky, 1975). These shallow
199 water regions were bordered by deep-water basins, which included the Ayan-Yuryakh,
200 Balygychan, and northeastern parts of the Okhotsk, Taigonos, and Gizhiga basins. It is in these
201 deep water basins, along with limited occurrences in the Verkhoyansk, Omolon and the Penzhina
202 basins, where late Paleozoic diamictites occur.

203

204 **4. Age of the Atkan Formation**

205

206 Fossils are rare in strata of the Atkan Formation. However, identified taxa
207 include: brachiopods (*Canocrinelloides* ex gr. *ochotica* (Zavodowsky), *C. obrutshewi* (Licharew),

208 *Cleiothyridina?* sp. indet.), bivalves (*Maitaia bella* Biakov, *Maitaia* aff. *bella* Biakov, *Phestia*
209 *cumboides* (Lutkevich et Lobanova), *Myonia* vel *Praeundulomya* sp. indet., *Conocardium* sp.),
210 gastropods (*Mourlonia* sp. no. 4, *Mourlonia* aff. *yabeshigerui* (Kobayashi)), crinoids
211 (*Neocamptocrinus groschini* (Scoropisceva)), foraminifers (*Froncina* sp., *Rectoglandulina* sp.
212 indet.), tabulate corals (*Cladochonus* sp.), and fragments of reticulate bryozoan colonies. These
213 taxa make up the *Cancrinelloides obrutshewi*–*Maitaia bella* Regional lone in the lower half of
214 the *Maitaia bella* bivalve zone (Fig. 2; Biakov, 2007; Biakov et al., 2010). This zone corresponds
215 to the lower Gizhigian Regional Stage in Northeast Russia (Ganelin and Biakov, 2006; Biakov et
216 al., 2010), which, due to the occurrence of the ammonoid *Timorites* and Gizhigian bivalves in the
217 Transbaikal Region (Kotlyar et al., 2004), correlates with the Capitanian of the International
218 Time Scale (Ganelin and Biakov, 2006, 2007; Biakov et al., 2010).

219 More recently, Davydov et al. (2015) dated zircons obtained from a tuff bed in the
220 Atkan Formation and from a rhyolite boulder contained within one of the diamictite beds. Using
221 CA-TIMS analysis, they identified the youngest population of zircons in the volcanic ash as
222 262.45 ± 0.21 Ma, which is late Capitanian in age. Zircons within the boulder identify a latest
223 Roadian crystallization age of 269.80 ± 0.08 Ma (cf. Henderson et al., 2012). The age of the
224 boulder is significant as it indicates that clasts were derived from an adjacent Middle Permian
225 volcanic arc (Davydov et al., 2015) rather than reworking of Devonian volcanic deposits as
226 suggested by Chumakov (1994).

227

228 **5. Description and interpretation of lithofacies**

229

230 Strata of the Atkan Formation are composed of 3 main lithofacies associations.
231 These are (1) bedded diamictites; (2) interstratified diamictites, conglomerates, sandstones and
232 mudrocks; and (3) thick, fossil-bearing mudrocks. Characteristics of these lithofacies are given in
233 Table 1 and described below. All of the strata in the study area dip at high angles. The
234 interstratified sandstone, mudstone, and diamictite associations occur at the top of the Khuren
235 Formation and correlative units and into the base of the Atkan Formation, and at the top of the
236 Atkan Formation and into the overlying Druzhba Formation and correlative units. Thick
237 successions of diamictite and fossil-bearing mudstone associations occur throughout the Atkan
238 Formation and are interstratified on an approximately 50m scale. Hummocky cross-stratification
239 and wave ripples were not observed in any of the facies associations. Therefore, the occurrence
240 of marine fossils and an absence of wave reworked strata indicate that these strata were
241 deposited in a marine setting well below wave base.

242

243 ***5.1 Bedded diamictites***

244

245 *5.1.1. Bedded diamictites description*

246

247 In the Atkan Formation, cm- to m-thick diamictites occur as massive tabular beds
248 (Fig. 6A) with sharp, non-erosive basal and sharp to gradational upper contacts. They also occur
249 as chaotic beds of diamictite, sandstone, and mudstone (Fig. 6B). The diamictites occur in
250 successions up to 50 m thick, which display coarsening- (largest clast within individual units)
251 and thickening-upward beds overlain directly by thinning- and fining-upward diamictite beds
252 (Figs. 6C, 6D, and 6E). In areas of extended lateral exposures, these diamictites display

253 downlapping stratal patterns onto underlying beds (Fig. 7A). Chaotic bodies, up to 10 m thick, of
254 diamictite, sandstone, and mudstone are common and consist of either internally folded (soft
255 sediment folds) bodies, or occur as admixtures of diamictite and mudstone with stringers of
256 sandstone with irregular diffuse and sharp boundaries. Rare tuff beds (cm to dm thick) also occur
257 within bedded diamictites and as admixtures within chaotic diamictites (Figs. 7B and 7C).

258 The diamictites are matrix supported and occur as both clast-rich (Fig. 8A) and
259 clast-poor deposits (Fig. 8B) as determined using the classification scheme of Hambrey and
260 Glasser (2003) as modified from Moncrieff (1989) for poorly sorted sediments. The coarsening
261 and fining upward successions described above show up-section changes from mudstone, to
262 clast-poor, to clast-rich diamictites returning to class poor diamictites and mudstones at the top
263 of individual successions. The diamictite matrix is silicified, but consist of clay (50-95%), and
264 silt and sand-sized (5-50%) particles. The matrix maybe mud rich, contain higher proportions of
265 sand and silt, or consist of admixtures of the two with separate mud-rich and more sand/silt-rich
266 domains that may display diapir-like structures with highly irregular and diffuse boundaries that
267 cross-cut one another (Fig 8B). Microstructures within the diamictites include: rotational
268 structures (turbate or galaxy-like structures; Fig. 8C), necking of matrix between grains, multiple
269 diamictite domains (Fig. 8D), water escape structures, and an absence of oriented plasma fabric
270 (Table 2; cf. Menzies et al., 2010). No brittle deformational structures (i.e., shear planes, stacked
271 grains) were observed in thin section, and where grain-on-grain contacts occur, no evidence of
272 crushed grains were observed (Fig 8C).

273 Clasts range in size from granules to boulders. However, boulders up to 70 cm in
274 diameter are rare and the vast majority of clasts fall within the granule to pebble size range.
275 Striated and bullet shaped clasts were not observed. In general, the clasts are randomly dispersed

276 throughout the diamictite and do not display preferred orientations or clast clustering (Fig. 8A).
277 However, rare thin-bedded diamictites may show preferred horizontal orientation of clasts. Using
278 the roundness chart of Powers (1953), clasts range from very angular to rounded (Figs. 4 and 8A)
279 with larger grains tending to be better rounded than smaller grains (Fig. 8C). However, over 71%
280 of the clasts are subangular to very angular (Fig. 4). Irregular shaped clasts with embayments and
281 protrusions are common in the diamictites, while some clasts also show diffuse boundaries with
282 the surrounding matrix (Fig. 9).

283 Clasts within the diamictites and conglomerates are composed almost exclusively
284 of volcanic clasts. However, intraformational mudstone clasts are also present, but are not
285 abundant. The volcanic clasts are acidic (65%) and intermediate (35%) in composition and
286 consist of dacite (56%), andesite (34%), pumice/altered glass (6%), and rhyolite (4%). Euhedral
287 granule-sized grains of hornblende also occur. Sand and silt grains consist of volcanic rock
288 fragments, euhedral and embayed quartz, feldspar (laths and tablets), carbonate grains, and
289 altered glass shards (Fig. 10). Tectonic setting discrimination plots of chemical compositions of
290 strata in the Atkan Formation are given in (Fig 11).

291 Although strata in the Atkan Formation display fracture cleavage that cross-cuts
292 both clasts and the surrounding matrix, there are many examples of intra-clast fractures that end
293 at grain boundaries. These intra-clast fractures have a variety of joint-spacings and are typically
294 at near orthogonal to slightly oblique angles to the long-axis of the clasts. As such, when the
295 clasts in a deposit show no preferred orientation, these intra-clast fractures or joints have
296 different orientations from one clast to another, and the joints are not aligned with cleavage
297 planes of the rock unit as a whole. These clasts display a relationship between the length of a

298 clast's long axis and the average spacing of joints within the clasts. This relationship can be
299 expressed by the equation: $spacing\ length = e^{(clast\ length/0.2911 - 4.2284)}$ (Fig. 12).

300

301 5.1.2. *Bedded diamictites interpretations*

302

303 Diamictites in the Atkan Formation were originally interpreted to have had, at
304 least in part, a glacial origin (e.g., Epshteyn 1981b; Chumakov, 1994) as diamictites are
305 common products of glacial and glacial marine processes (Powell and Domack, 2002; Benn and
306 Evans, 2010). However, Biakov et al. (2010) recently challenged this interpretation as
307 diamictites are also common products of mass transport and sediment gravity flows especially
308 cohesive debris flows (Mulder and Alexander, 2001; Talling et al., 2012). Our results found no
309 glacial indicators within strata in the study area. The absence of bullet-shaped clasts, striated
310 clasts, and a lack of grooved and striated surfaces beneath diamictites precludes interpretation of
311 the diamictites as resulting from subglacial abrasion and deposition, or due to iceberg turbate
312 processes (cf. O'Brien and Christie-Blick, 1992; Woodworth-Lynas and Dowdeswell, 1994;
313 Eyles et al., 2005; Vesely and Assine, 2014). We also found no evidence for deposition in a
314 glacial marine setting. Glacial marine diamictites are produced by ice contact processes (i.e., rock fall,
315 grounding line fans, glacial shove), deposition from a two component system where fines settle
316 out of buoyant meltwater plumes and sand and gravel are released as ice-rafted debris, and by
317 reworking of ice proximal deposits as sediment gravity flows. Glacial marine deposits are
318 characterized by thick massive and stratified diamictites that typically display gradational bases
319 and tops, structures indicating clasts "dropped" through a water column, bullet-shaped and
320 striated clasts, wedge-shaped sandstone bodies, thrust faulted sediment bodies, and grooved

321 surfaces (cf. Thomas and Connell, 1985; Carr, 2001; Powell and Domack, 2002). None of these
322 features were observed in strata from the Atkan Formation. However, a number of macroscopic
323 features suggest that these units are the deposits of subaqueous cohesive debris flows. These
324 features include: 1) the stacked bedded (less than 2 m thick beds) nature of the diamictites, 2)
325 sharp non-erosive bases, 3) sharp to gradational tops, 4) an absence of preferred orientation of
326 the clasts, and 5) the occurrence of clasts randomly distributed throughout individual units (cf.
327 Mulder and Alexander, 2001; Haughton et al., 2009; Carto and Eyles, 2012). The non-erosive
328 bases maybe the result of hydroplaning of individual flows which may have resulted in reduced
329 friction and hence reduced erosion along the base of flows (Mohrig et al., 1998; Harbitz et al.,
330 2003; Iltad et al., 2004; De Blasio et al. 2004, 2006). Gradational upper contacts, where present,
331 suggest that these flows mixed with ambient waters in the basin and that sediment was stripped
332 from the tops of flows possibly forming linked debris and turbulent flows (cf. Amy and Talling,
333 2006; Haughton et al., 2009). Chaotic diamictites are sediment bodies that are highly deformed
334 with intense internal deformation including folding and mixing of individual sedimentary units.
335 These units are interpreted as slump blocks (cf. Martinsen, 1989).

336 Structures and associated microstructures contained within the Atkan diamictites
337 indicate that deformation within the depositing fluids was ductile in nature (rotational structures,
338 necking of matrix between grains; cf. Menzies et al., 2010). Such structures are consistent with
339 deposition of the diamictites as cohesive subaqueous debris flows rather than due to glacial
340 processes (cf. Menzies and Zaniewski, 2003; Phillips, 2006; Kilfeather et al., 2010; Henry et al.,
341 2012). Microstructures resulting from subglacial deposition are characterized by both ductile and
342 brittle deformational structures. However, they are dominated by structures produced by
343 shearing, brittle deformation, and grain crushing. Microscopically, glacial marine diamictites may

344 contain dropstone trails, brittle deformation structures (thrusting do to glacial shove), grains and
345 clasts showing evidence for glacial abrasion, and dewatering structures (cf. Thomas and Connell,
346 1985; Carr, 2001; Powell and Domack, 2002; Menzie et al., 2006; Kilfeather et al., 2010).
347 Although diamictites in the Atkan Formation show structures produced by post-depositional
348 water escape processes (i.e., multiple plasma domians, diapirs/flame structures that cross-cut
349 individual domains; cf. Menzies et al., 2010), these features are also common in debrites.
350 Cohesive subaqueous debris flow deposits typically have high pore-water pressures at the time of
351 their emplacement due to a combination of low permeability of the clay-rich matrix, trapping of
352 water beneath the deposits during hydroplaning, and/or trapping of water incorporated into the
353 flow due to mixing with ambient basinal waters (cf. Sohn, 2000; Ilstad et al., 2004; Elverhøi et
354 al., 2005; De Blasio et al., 2006). Escape of water within debris flow deposits results in
355 hydrofractures and water escape-structures (cf. Phillips, 2006).

356 Strata of the Atkan Formation contains stacked diamictite beds that occur in
357 multiple thickening/coarsening followed by thinning/fining upward successions. These
358 successions along with the downlapping of diamictite beds onto underlying beds within the
359 successions suggest deposition within prograding and abandoning sediment gravity-flow fans
360 (cf. Nemeč and Steel, 1984; Carto and Eyles, 2012).

361 The abundant of clasts with embayments and finger-like protrusions are evidence
362 that little modification to the original grain shape occurred during transport, which is more
363 characteristic of debris flow transport where high energy grain to grain interactions are greatly
364 reduced due to the presence of a matrix rather than the intense grain abrasion and grain crushing
365 that occurs during subglacial transport (cf. Benn and Evans, 2010; Caballero et al., 2012).

366 Roundness values for the vast majority of clasts (subangular to very angular) also suggest minor
367 shape modification of clasts during transport.

368 The predominance of volcanic clast, volcanoclastic sand grains, grains containing
369 altered volcanic glass, and an abundance of glass shards in the Atkan diamictites indicate that
370 these strata were derived from a volcanic provenance (Biakov et al., 2010) dominated by dacite
371 and andesite with lesser amounts of pumice and rhyolite. The chemical composition of the
372 diamictites is equivalent to material derived from dacitic-andesitic tuffs, and tectonic setting
373 discrimination plots using chemical compositions indicate that sediment was derived primarily
374 from an oceanic volcanic arc. However, some plots indicate that a continental volcanic arc also
375 supplied some sediment to strata in the Atkan Formation (Bhatia and Crook, 1986). Diffuse
376 boundaries between some clasts and the surrounding matrix raise the possibility that some of the
377 volcanic material may have cooled within the diamictite following deposition. The presence of
378 tuff deposits indicates the occurrence of contemporaneous volcanism (Davydov et al., 2015).

379 Numerous clast within the Atkan Formation display intra-clast fractures that are
380 oriented nearly perpendicular to the long axis of the clasts. Although rocks in the Atkan
381 Formation display fracture cleavage, these intra-clast fractures are not aligned with regional
382 cleavage as the orientation of fractures within the clasts varies from one particle to another as the
383 orientation of the clasts A axis varies. The relationship between joint spacing and the length of a
384 clast's long axis suggest that these joints may have formed due to differential cooling, thus they
385 may represent a form of columnar jointing. It is difficult to determine when these clasts cooled.
386 However, a lack of glass coatings on the outer surface of large columnar jointed clasts deposited
387 by subaqueous, volcanoclastic, gravity-flows from the Miocene of New Zealand lead Balance and
388 Gregory (1991) to conclude that cooling of such clasts may have occurred in a subaerial setting

389 prior to transport of particles into deep marine environments. Glass coatings were not observed
390 on the columnar jointed Atkan clasts.

391

392 ***5.2. Interstratified diamictites, conglomerates, sandstones and mudrocks***

393

394 *5.2.1. Interstratified diamictites, conglomerates, sandstones and mudrocks description*

395

396 Interstratified clast-rich diamictite, conglomerate, sandstone, and/or mudrock
397 occur as coarse- and fine-grained couplets and triplets (diamictite/conglomerate, sandstone,
398 mudstone) in the upper Khuren, Atkan, and lower Druzhba formations (Figs. 2, 4, and 13).
399 However, they make up only a small portion of the strata in the Atkan Formation. Whereas, in
400 the Khuren and Druzhba Formations, much of the succession is composed of graded sandstone
401 beds alternating with thin beds of mudstone. In this facies association, coarse members are mm
402 to m scale in thickness and alternate with approximately equal thicknesses of mudrock, or in
403 some cases, clast-poor diamictite (Fig. 13). In the Atkan Formation, conglomerates and
404 diamictites are typically cm to dm thick. However, mm thick diamictite layers also occur where
405 individual clasts may have diameters larger than the thickness of individual units (Fig. 13C). The
406 diamictites and conglomerates rest on sharp to erosional basal contacts and in turn are bounded
407 above by erosional, sharp, and gradational upper contacts (Fig. 13). Granules and pebbles
408 comprise the clasts in both the conglomerates and diamictites. Neither cobbles, boulders, nor
409 striated clasts were observed in this facies association. The conglomerates display normal
410 grading with pebbles and granules grading upward into coarse- to fine-grained sandstone.
411 However, reverse grading occasionally occurs (Fig. 13A). Diamictites are typically ungraded,

412 but normal and inverse graded units occur, and some units contain clasts sticking out of the tops
413 of beds. The diamictites occur as both matrix and clast supported units with the matrix composed
414 of equal proportions of silt and mud. Rotational structures occur both at a macroscopic and
415 microscopic scales and consist of alignment of sand and silt grains around clasts (Fig. 13C). In
416 outcrops and in thin section, some diamictites also display multiple diamictite domains, flame
417 and diapir structures, and admixtures of sandstone and diamictite (Figs. 13C and 14; Table 1; cf.
418 Menzies et al., 2010). The clast display the same composition and shape as clast in the bedded
419 diamictite facies association, (i.e., volcanic clasts and volcanic sand grains), However, rare
420 diamictite clasts, which are composed of volcanic sand grains floating in a mudstone matrix, also
421 occur. Some pebbles are loaded into underlying mudstone as are small lobes of diamictite (Figs.
422 13C and, 14A). These loads are associated with small mudstone flame structures (mm to cm
423 scale), which intrude upward into the base of the diamictites. Diamictites also form flame
424 structures that cross cut overlying sandstones (Figs. 13C and 14). Clast clusters and clast
425 penetrating stratification were not observed in these units. The diamictites may also be overlain
426 by graded sandstone or grade upward into mudstone. Sandstones are mm to cm thick (Fig. 13).
427 However, sandstones in the Khuren and Druzhba Formations, are cm to m scale in thickness
428 (Fig. 13B). Sandstones have sharp to erosional bases with some sandstones containing small sole
429 marks (i.e., flute, prod, and bounce marks) and mudstone rip-up clasts. The sandstones are
430 normally graded and may contain horizontal laminations near the tops of units where they grade
431 into mudstone (Figs. 13A and 13C). Some sandstones contain multiple mud/diamictite and sand
432 domains, diamictite and mudstone diapirs, and sandstone pipes. Some sandstones also contain
433 *Nereites missouriensis* and *Zoophycos* trace fossils (Fig. 15). Interstratified mudstones may

434 display internal laminations and may contain scattered sand grains, granules and granule sized
435 diamictite clasts. Soft sediment folds occur within this facies association.

436

437 *5.2.2. Interstratified diamictites, conglomerates, sandstones and mudrocks interpretations*

438

439 The absence of wave ripple and hummocky cross-stratification, suggest that this
440 facies was deposited below storm wave base. This is supported by the occurrence of *Nereites*
441 *missouriensis* and *Zoophycos* trace fossils, which are typically deep-water indicators (Buatois
442 and Mángano, 2011).

443 The composition of the clasts and matrix in the thinly stratified diamictites are
444 identical to those in the thicker diamictite facies association indicating that diamictites in these
445 two facies association share a common origin. Micromorphology of the diamictites indicates that
446 they were characterized by ductile-like deformation (rotational structures) during emplacement
447 and that dewatering (multiple diamictite domains, and water escape structures) of the deposits
448 occurred after deposition (cf. Menzies et al., 2010). Such structures are consistent with
449 emplacement by debris flows (cf. Lachniet et al., 1999; Menzies and Zaniewski, 2003; Phillips,
450 2006; Kilfeather et al., 2010; Henry et al., 2012). Different types of grading (non-graded, normal,
451 or inverse) within debrites are the result of changing flow dynamics within the depositing flows,
452 which include: changes in the rheology of the flow (i.e., water content, viscosity); changes in the
453 thickness of the plug do to incorporation or expulsion of water, clay, and silt; thickness and
454 degree of basal shearing; surges within the flow; grain interactions; development of transport
455 lags; reworking of the top of the flow due to flow transformations; and/or conveyor-belt like
456 overturning at the snout of flows as faster moving material in the upper portions out ran slower

457 moving basal portions of the flows (Naylor, 1980; Nemec and Steel, 1984; Suwa et al., 1984;
458 Broster and Hicock, 1985; Hand, 1997; Sohn 2000).

459 The occurrence of interstratified diamictites, graded conglomerates, graded
460 sandstones with sole marks, and mudstones suggest that these units were deposited from
461 sediment gravity flows as debrites, turbidites, and suspension deposits (cf. Talling et al., 2012).
462 While the diamictites are interpreted as debrites; graded conglomerates, graded sandstones, and
463 mudstones are interpreted as turbidites. Mudstones also likely formed as hemipelagic deposits
464 and as mudflows. The relationship between diamictites, overlying graded sandstones, and
465 mudstones, or diamictites that grade into mudstone suggest that these couples and triplets may
466 have been linked at the time of deposition as composite/co-genetic debris flows and turbidity
467 currents (Hampton, 1972; Sohn, 2000; Haughton, 2000; Haughton et al., 2003, 2009; Amy and
468 Talling, 2006). Low density turbidity currents are produced by flow transformation as sediment
469 is stripped from the head and body of subaqueous debris flows (cf. Fisher, 1983). Such flows
470 may be deposited as debrite-turbidite couplets, or, if the faster moving turbidity current outruns
471 the slower moving debris flow, debrites maybe sandwiched between turbidites as the turbidite
472 generating debris flow moves out over sediment left behind by the faster flowing portions of the
473 turbidity current (Sohn, 2000; Haughton et al., 2003; Talling et al., 2004; Amy and Talling,
474 2006). Typically, deposits from co-genetic sediment gravity flows are dm to m scale or more in
475 thickness. However, mm to cm-scale debrites and turbidites may be the result of material shed
476 laterally away from the margins of thicker flows, derived from the head of slow moving flows, or
477 reworked from debris flows that have stopped moving (Nemec and Steel, 1984; Postma, 1984;
478 Carto and Eyles, 2012). Soft sediment folding resulted from slumping associated with instability
479 of deep-marine slope deposits. Such slumping could have been initiated by a number of factors,

480 which included: 1) over steepening of the slope due to rapid sedimentation, 2) cyclic wave
481 loading, 3) seismic events, and 4) over pressurization and fluid expulsion of rapidly deposited
482 sediment (Posamentier and Martinsen, 2011; Pickering and Hiscott, 2016).

483 These interstratified deposits show evidence of high pore-water pressures
484 following deposition as indicated by the occurrence of multiple sandstone and diamictite
485 domains, dewatering pipes, flame/diapir structures, and load structures. Such structures suggest
486 rapid deposition of both coarse clastic and mudstone members within this facies association, and
487 that overlying layers were deposited as either linked co-genetic flows or that sediment
488 compaction had not occurred prior to deposition of the overlying layers.

489 Ice rafting by either icebergs or sea ice was originally hypothesized as an
490 important depositional process (Frakes et al., 1975; Epshteyn, 1981b; Chumakov, 1994). For
491 example, in his paper, Chumakov (1994) included a description of “till” (diamictite) pellets and
492 outsized clasts including a drawing of a pebble with “splash structures” (ruck structures of
493 Thomas and Connell, 1985) that he interpreted as dropstones. Although rare diamictite “pellets”
494 and outsized clasts (clast larger than surrounding sediment particles) occur within Atkan strata in
495 the study area, deposition of these clast are better explained by processes other than ice rafting.
496 Rare diamictite pellets within the studied strata appear to have formed due to loading at the base
497 of debrites, detachment of the load structures, and the formation of pellets as the diamictite sank
498 into underlying water-saturated mudstones (cf. Phillips, 2006). Outsized clasts easily mistaken
499 for “dropstones” with ruck structures within thin diamictites and mudstone laminae include: 1)
500 clasts at the base of diamictites loaded into underlying mudstones, which deform but do not
501 pierce stratification; 2) clasts protruding from the tops of debrites that were carried in suspension
502 due to the viscosity/yield strength of the flow (Talling et al., 2012); and 3) clast within thin

503 debrites that are larger than the surrounding diamictite lamina, which resulted when clast were
504 stranded on a soft substrate by thinning debris flows that continued on down slope (cf. Carto and
505 Eyles, 2012), and 4) sand and granule clast in mudstone that were deposited by mudflows. Some
506 of the outsized clast are core stones within rotational structures indicating that they were
507 transported and deposited by ductile viscous flows (cf. Phillips, 2006) rather than having been
508 “dropped” into the succession. Pumice sand grains and clasts are also common. Due to their
509 density, such particles can be “floated in” and deposited as dropstones when they become water-
510 logged and settle through the water column following volcanic eruptions (cf. Manville et al.,
511 1998, 2002; Bryan et al., 2004). Chumakov (1994) also showed photos of a striated and faceted
512 pebble and a cobble from the Atkan Formation in the Kolyma River drainage basin that he
513 interpreted as glacially abraded clasts. No striated or faceted clasts were observed during the
514 course of this study. However, it should be noted that numerous processes can striate clasts
515 including: 1) abrasion during glacial transport, 2) abrasion by sea and lake ice, 3) tectonic
516 deformation, 4) abrasion by high-velocity water flow, 5) wind abrasion, 6) mass movement (i.e.,
517 slides, slumps, debris flows, rock fall) and 7) volcanic activity including volcanic blasts and
518 pyroclastic flows (Judson and Bark, 1961; Zamoruev, 1974; Dionne, 1985; Atkins, 2003).

519 Although ice rafting of some particles cannot be completely ruled out, the absence of exotic far-
520 traveled clasts, an absence of glacially abraded clast (bullet-shaped and striated clasts), and an
521 absence of structures produced by “dropped” particles other than pumice clasts indicates that
522 iceberg rafting of particles was not an important process during Atkan deposition in the study
523 area (cf. Thomas and Connell, 1985; Gilbert, 1990; Eyles, 1993; Benn and Evans, 2010; Menzies
524 et al., 2010).

525

526 **5.3. Fossil-bearing mudrocks**

527

528 **5.3.1. Fossil-bearing mudrocks description**

529

530 Mudrocks in the Atkan Formation vary in thickness from cm to several tens of m

531 in scale (Figs. 2, 4, and 13). Thicker successions are typically highly cleaved and poorly

532 exposed. Thin mudrocks are interstratified with graded sandstone, graded conglomerate, and

533 diamictites. Thicker mudrocks are interstratified with thick diamictites successions. The

534 mudrocks occur both as massive units and as laminated and thinly bedded deposits. These

535 mudrocks are classified as mudstones/sandy mudstones and mudstones/sandy mudstones with

536 dispersed clasts (<1% granule and larger sized particles) using the classification scheme of

537 Hambrey and Glasser (2003) as modified from Moncrieff (1989) for poorly sorted sediments.

538 These strata form a continuum with the diamictites described above in that the diamictites have a

539 higher percentage of clasts. Sand grains and granules found in the mudstones are identical to

540 those found in the diamictites. Laminated and thin bedded mudstones/sandy mudstones with

541 dispersed clasts (Sand grains and granules) occur directly beneath and overlying the thick

542 diamictite successions. Carbonate grains are common in the mudstone, but many of these grains

543 appear to be the result of alteration and replacement of original detrital grains. Fossils contained

544 in these mudrocks include brachiopods (*Canocrinelloides* ex gr. *ochotica* (Zavodowsky),

545 *Cleiothyridina?* sp. indet.), bivalves (*Maitaia bella* Biakov), gastropods (*Mourlonia* sp. no. 4),

546 and crinoids (*Neocamptocrinus groschini* (Scoropisceva)).

547

548 **5.3.1. Fossil-bearing mudrocks interpretation**

549

550 The presence of marine fossils in the mudrock facies association and an absence
551 of deposits and structures produced by wave activity indicate this lithofacies association was
552 deposited in marine waters below wave base. The thick mudrocks are interpreted as hemipelagic
553 deposits. However, the thin-bedded and laminated mudstones/sandy mudstones containing rare
554 dispersed clasts, which are interstratified or grade upward into diamictites, and which have a
555 similar clast composition as those in the diamictites, are likely deposited by mud-rich, clast poor
556 debris flows (mudflows; Talling et al., 2012). Because these units tend to occur near the base and
557 at the top of thick diamictite successions, they likely represent the distal deposits of the
558 prograding/abandoning debrite fans described above.

559

560 **6. Discussion**

561

562 Detailed sedimentology presented here confirms conclusions by Biakov et al.
563 (2010) that strata in the upper Khuren, Atkan and lower Druzhba formations along Druzhba
564 Creek, the Khuren River, and the Nelkoba River were deposited in a deep-water basin by
565 sediment gravity flows and hemipelagic sedimentation during the Capitanian. The presence of
566 marine fossils, deep-water trace fossils and an absence of wave indicators within these strata
567 indicate deposition within a marine basin well below storm wave base. Thick diamictites were
568 deposited by debris flows within prograding and abandoning debrite fan complexes. Features
569 that substantiate these interpretations include: 1) stacked diamictites beds with sharp lower and
570 sharp to gradational upper contacts indicating that the strata were deposited by fluid flow events;
571 2) clast randomly distributed throughout individual beds and an absence of preferred clast

572 orientations indicating that the fluid did not segregate or orient sedimentary particles during
573 transport or deposition; 3) macro and microstructures that indicate that the depositing flows
574 behaved in a ductile viscous manner; 4) thickening/coarsening upward and thinning/fining
575 upward diamictite successions along with downlapping stratal patterns within the diamictite
576 successions indicating progradation and abandonment of the sediment systems through time; and
577 5) the occurrence of interstratified turbidites and debrites indicating that the depositional system
578 was dominated by sediment gravity flows (cf. Mulder and Alexander, 2001; Haughton et al.,
579 2009; Menzies et al., 2010; Carto and Eyles, 2012). Interstratified debrite and turbidite couplets
580 suggest that sediment was stripped off of debris flows by the process of flow transformation
581 producing linked co-genetic debris flows and turbidity currents (cf. Sohn, 2000; Haughton et al.,
582 2003; Talling et al., 2004; Amy and Talling, 2006).

583 The preponderance of volcanic particles (clast, sand grains, and glass shards)
584 contained within the Atkan diamictites indicate that these strata were derived from a volcanic
585 provenance, primarily from volcanic island arcs with lesser amounts of detritus coming from an
586 arc situated on continental crust (cf. Bhatia, 1983; Bhatia and Crook, 1986; Biakov et al., 2010).
587 Although previous reports suggested that the clast were derived from Devonian volcanic rocks
588 (Chumakov, 1994), zircon ages obtained from an Atkan tuff and a clast contained in a diamictite
589 indicate that the source of the detritus was that of an active Middle Permian volcanic arc
590 (Davydov et al., 2015). This explains the occurrence of clasts with embayments and finger-like
591 protrusions, grains containing altered volcanic glass, and an abundance of glass shards, all of
592 which would be unlikely to survive reworking due to their low chemical or physical stability
593 during weathering and abrasion. The presence of possible incandescent clasts within the debris
594 flows suggest that these flows resulted from contemporaneous eruptions. Therefore, these

595 debrites represent subaqueous volcanic debris flows or lahars (Fisher, 1984) in a back-arc basin
596 associated with development and continued activity of the Okhotsk-Taigonos Volcanic Arc
597 (Figs. 5 and 16). The chemical composition indicates that most of the material was derived from
598 an island arc system, but some material derived from a continental arc is consistent with small
599 continental fragments being imbedded in the arc like that of the Okhotsk Microcontinent (Figs. 1
600 and 5; cf., Umitbayev, 1963; Ustritsky, 1975).

601 In regards to depositional processes and plate tectonic setting, the Grenada back-
602 arc basin located behind the Lesser Antilles volcanic arc in the Caribbean Sea provides a modern
603 analogue to late Paleozoic deposition of the Atkan Formation within a deep-water basin located
604 behind the Okhotsk-Taigonos Volcanic Arc. The Grenada basin has an average depth of 2,900 m
605 and contains up to 12,000 m of sediment (Picard et al., 2006). Based on shallow cores within the
606 Grenada Basin, recent volcanogenic sediment consist of 99% pyroclastic debris flow and ash-
607 turbidite deposits while less than 1% is composed of air-fall tephra layers (Sigurdsson et al,
608 1980). Sediment gravity flows within this basin are initiated by pyroclastic flows and debris
609 avalanches that occur during contemporaneous eruptions and large-scale flank collapses of active
610 volcanoes within the arc (Picard et al., 2006). One of the better studied debris flow deposits in
611 this system is the Roseau subaqueous pyroclastic debris flow. This deposit is 1-3 m thick 250 km
612 away from its source on the island of Dominica (Sigurdsson et al, 1980, Carey and Sigurdsson,
613 1980), which indicates that volcanic debris flows can extend long distances into back arc basins.

614 Atkan diamictites were previously reported as the products of glacimarine,
615 glacially influenced marine, sea ice, subglacial and mass transport processes (Andrianov, 1966;
616 Ustritsky and Yavshits, 1971; Frakes et al., 1975; Epshteyn, 1981b; Chumakov, 1994). However,
617 within the study area, an absence of glacial features (i.e., striated and bullet-shaped clasts,

618 grooved and striated surfaces, microstructures within the diamictite indicating subglacial
619 conditions) contained in strata of the upper Khuren, Atkan and lower Druzhba formations
620 exposed along Druzhba Creek, the Khuren River, and the Nelkoba River precludes a subglacial
621 origin for deposits in the study area, or an origin as iceberg-turbate features (cf. O'Brien and
622 Christie-Blick, 1992; Woodworth-Lynas and Dowdeswell, 1994; Eyles et al., 2005; Vesely and
623 Assine, 2014). Ice rafting by either icebergs or sea ice was also hypothesized as an important
624 depositional process as indicated by the occurrence of diamictite pellets and dropstones (Frakes
625 et al., 1975; Epshteyn, 1981b; Chumakov, 1994). Although we cannot rule out the presence of
626 ice rafted debris within the Atkan strata, ice rafting either by icebergs or sea ice was not an
627 important process for the strata in this study owing to a paucity of features indicating the
628 occurrence of dropstones or clast clusters contained in strata from the study area (cf. Thomas and
629 Connell, 1985; Gilbert, 1990; Isbell et al., 2013), and diamictite pellets are also a product of
630 subaqueous debris flow activity (Phillips, 2006). However, Chumakov (1994) reported
631 lonestones piercing stratification and the occurrence of striated clasts elsewhere in the Kolyma
632 River region, which suggest that perhaps ice rafting either by sea ice or icebergs may have
633 played a local depositional role in Northeast Russia. Although evidence for glaciation is lacking
634 within our study area, we calculated what maximum changes in eustasy would have resulted if
635 glaciers occurred on the Okhotsk-Taigonos Arc, which was the source of the Atkan detritus. We
636 assumed complete waxing and waning of these hypothetical glaciers and that the ice completely
637 covered all of the land surface within the arc. Because the total land surface of the arc is
638 unknown, we took the exposed area of modern volcanic island arcs to provide a range of values.
639 Eustatic changes were calculated using equations for determining ice volume and sea level
640 equivalence (Crowley and Baum, 1991; Paterson, 1994; Isbell et al., 2003). Results (table 3)

641 indicate that between 0.00012 and 0.118 m of sea level change could have been produced by
642 compete waxing and waning of a single glacier covering the combined area of a single modern
643 arc systems. Although these calculations are a gross over estimate as the calculations assume that
644 the land area is a single land mass that would produce a single glacier rather than individual ice
645 masses located over each individual island. To provide a more realistic calculation, we split the
646 exposed land area equally between all of the islands in the given modern arcs and calculated the
647 size of glaciers that could form on each island, which gives values for glacioeustatic change of
648 between 0.000069 and 0.095 m. Included in these calculations is the Aleutian Islands-Alaskan
649 Peninsula arc. This system may be more in line with the Okhotsk-Taigonos Arc, which appears
650 to have had small micro-continental fragments imbedded in the arc. From these calculations, it is
651 apparent that island arc glaciers would have had little impact on global systems including
652 eustasy. Regardless, we found no evidence for glacial activity within the late Paleozoic strata in
653 the study area.

654 The question of bipolar glaciation during the late Paleozoic is based primarily on
655 interpretations of strata in the Atkan Formation being glacial in origin and then extending that
656 explanation to other poorly documented strata in Northeast Russia. Our results do not support the
657 presumption that Pangea experienced bipolar glaciation during the Middle or Late Permian.
658 Certainly there is no evidence from our study area for the occurrence of ice sheets in north polar
659 Pangea during the Capitanian. In fact, a number of recent developments suggest that the
660 deposition of the Atkan Formation occurred during a global warm interval. These include: 1) the
661 late Sakmarian (2012 time scale; Gradstein et al., 2012; Henderson et al., 2012) end of glaciation
662 in South Polar Gondwana and the end of widespread glaciation across most of Gondwana (Isbell
663 et al., 2008, 2012, 2013; Henderson et al., 2012); 2) new age constraints on mid-latitude

664 glaciation in eastern Australia, which places the P3 and P4 glaciations of Fielding et al. (2008a,
665 2008b) as Roadian-Wordian and Wuchiapingian respectively and that much of the Capitanian
666 was a non-glacial interval (Frank et al., 2015; Metcalfe et al. 2015); 3) a warming interval during
667 the Capitanian suggested by Waterhouse and Shi's (2011, 2013) estimated brachiopod and
668 bivalve species diversity chart; 4) the occurrence in the Transantarctic Mountains (located within
669 a few degrees of the South Pole) of thick coal seams, fossil forest, and structurally preserved
670 fossil wood that shows no evidence of frost damaged cells during the Middle and Late Permian,
671 which suggest temperate climatic conditions and an absence of ice sheets in Polar Gondwana
672 (Antarctica; Taylor et al., 1992; Isbell and Cuneo, 1996; Isbell et al., 1997, 2008, 2012; Isbell,
673 2010; Gulbranson et al., 2012; 2014; Miller et al., 2015); 5) increased diversification and the
674 migration of fusulinids and corals (including massive colonial rugose corals) into temperate
675 North American shelves from the early to late Capitanian (Davydov , 2014; Kossovaya and
676 Kropacheva, 2013); and 6) isotopic data from low, middle and high latitudes that suggest a
677 warming trend during the Capitanian (Korte et al., 2008; Chen et al., 2013).

678

679 **7. Conclusions**

680

681 The late Paleozoic ice age is one of the most significant and important climatic
682 events of the Phanerozoic. It had profound influences on Earth systems. Although many
683 researchers consider it to have had a bipolar distribution and that Northern Hemisphere
684 glaciation influenced much of the Middle and Late Permian, results presented here concerning
685 diamictites in the Atkan Formation challenges this assumption and suggest that ice sheets only
686 occurred in Gondwana. Therefore, our findings constrain boundary conditions such that future

687 climate modeling can better determine factors that allowed Gondwana glaciation to occur while
688 inhibiting the development of land-based ice in Northeastern Asia.

689 Sedimentology of the Capitanian Atkan Formation indicates that the strata were
690 deposited by sediment gravity flows associated with volcanic activity in the Okhotsk-Taigonos
691 Volcanic Arc and that the strata were not deposited by glacial activity as previously reported.
692 These strata, which consist primarily of diamictites, were deposited in deep-water, back-arc
693 basins by debris flows, mudflows, turbidity currents, slumps, and settling from suspension. Due
694 to an absence of glacial indicators within the strata, the occurrence of bipolar glaciation during
695 the LPIA cannot be confirmed for northern Pangea.

696 The fossil record of fusulinids and corals along with isotopic data derived from
697 biogenic apatite of conodonts agrees with results presented here. The combination of these data
698 coupled with the non-glacial record in Antarctica and the record from eastern Australia suggest
699 that the Capitanian was a global, non-glacial interval with climate warming occurring from the
700 early-middle Guadalupian to the end of the Capitanian.

701 Evidence in the literature for the mid Carboniferous and Early Permian (which
702 may have also been mid Carboniferous) glaciations in Northeast Russia are even sparser than the
703 previously reported evidence for a glacial Atkan Formation. The few reports that are
704 available for these units suggest that diamictites and other pebbly deposits were most likely the
705 result of deposition from sea ice (Utritsky, 1973; Epshteyn, 1981a). Although sea ice requires
706 cold winter conditions, the presence of sea ice should not be construed as an indicator of glacial
707 conditions. Today, sea ice forms in many areas of the Arctic and mid- to high latitudes without
708 the occurrence of glaciers.

709 Despite the findings presented here, northeastern Russia is an immense area and it

710 contains thick successions of strata deposited during the Carboniferous and Permian. Our work is
711 only one small piece of the story and further work needs to be conducted on strata in this region
712 to better understand the environments, the biota, and the processes that occurred in the North
713 Polar regions of Pangea during the late Paleozoic.

714

715 **Acknowledgements**

716

717 The University of Wisconsin-Milwaukee's Research Grant Initiative (RGI)
718 program, the Russian Foundation for basic Research (grants N 14-05-00217 and 15-55-10007),
719 and grants (1444181 and 0943935) from the National Science Foundation funded this work. The
720 research was also funded, in part, by the subsidy of the Russian Government to support the
721 Program of Competitive Growth of Kazan Federal University among the World Leading
722 Academic Centers. Their support is greatly appreciated. Logistic support in Russia was provided
723 by the North-East Interdisciplinary Scientific Research Institute of the Far East Branch of the
724 Russian Academy of Sciences. We also thank Mark Schmidts of Boise State University for
725 access to his geochronology lab. We are forever grateful to Y.Y. Ivanov, E.V. Kolesov, and S.
726 Kuznetsov (tracked vehicle driver) for their help in the field. Luis Buatois aided in identifying
727 trace fossils from Northeast Russia, and Lindsay McHenry and Barry Cameron provided advice
728 in dealing with volcanic clasts within the Atkan Formation. We also thank Daniel Horton and an
729 anonymous reviewer for their comments on an earlier draft of this manuscript.

730

731 **Figure Captions**

732

733 Fig. 1. A and B). Distribution of Carboniferous and Permian strata in Northeast Russia (modified
734 after Epshteyn, 1981b). C) Generalized tectonic map of North East Russia (modified from
735 Shpikerman, 1998; Biakov, 2006; Davydov et al., 2015).

736

737 Fig. 2. Permian stratigraphic successions and biostratigraphic subdivisions in the Okhotsk
738 Massif and the Ayan-Yuryakh Anticlinorium study area (modified from Biakov, 2007). See
739 Figure 1 for the location of the measured sections.

740

741 Fig. 3. Summary diagram showing previous (Mikhaylov et al., 1970; Ustritskiy and Yavshits,
742 1971; Utritsky, 1973, Epshteyn, 1981a, 1981b; Chumakov, 1994; Raymond and Metz, 2004) and
743 emerging (Biakov et al., 2010; Davydov et al., 2015; This Paper) views of the distribution of
744 diamictites and their interpreted origins in North East Russia (Siberia). This is in comparison
745 with emerging views for Gondwana glaciation from three different selected sites of crustal
746 blocks located in eastern Australia (deposited at mid to high southern latitudes; Fielding et
747 al., 2008a, 2008b; Frank et al., 2015; Metcalfe et al. 2015), Tasmania (high to polar southern
748 latitudes; Truswell, 1978; Clarke & Forsyth, 1989; Price, 1997; Briggs, 1998; Mantle et al.,
749 2010; Isbell et al., 2013), and Antarctica (located over the South Pole during much of the
750 Pennsylvanian and Permian; Isbell et al., 2008).

751

752 Fig. 4. Stratigraphic section of Middle Permian strata of the Khuren and Atkan formations
753 exposed along Druzhba Creek (60°54.056'N; 146°49.628'E) and a plot of clasts roundness based
754 on Power's (1953) classification scheme.

755

756 Fig. 5. Paleogeographic maps of northern Pangea during the Late Permian (modified from
757 Lawver et al., 2008; Davydov et al., 2015) and North East Asia during the Capitanian (modified
758 from Biakov et al., 2005, 2010, Biakov and Shi, 2010).

759

760 Fig. 6. Bedded diamictites in the Atkan Formation. A) Massive diamictite bed exposed along
761 Druzhba Creek. B) Chaotic diamictites represented by soft-sediment folds exposed along the
762 Khuren River. C-E) Thickening and thinning upward diamictite successions exposed along
763 Druzhba Creek.

764

765 Fig. 7. A) Diamictite succession exposed along Druzhba Creek displaying downlapping stratal
766 patterns. B) Bentonite bed exposed along Druzhba Creek. C). Chaotic admixture of tuff and
767 diamictite exposed along Druzhba Creek.

768

769 Fig. 8. Features and structures contained within Atkan Diamictites. A) Cut and polished bed of
770 clast-rich diamictite from Druzhba Creek showing the lack of internal stratification (massive),
771 random clast orientations, angularity of clasts, and the distribution of clast throughout the bed.
772 All clast are igneous clasts. B) Clast-poor diamictite exposed along Druzhba Creek showing
773 sand-rich and mud-rich domains and the cross cutting diapiric nature of the mud-rich domains.
774 C) Diamictite photomicrograph showing alignment of small grains around a large core stone
775 (rotational structure). Sample is from a diamictite exposed along Druzhba Creek. D)
776 Photomicrograph of cross-cutting sand rich and mud-rich domians from a diamictite exposed
777 along Druzhba Creek.

778

779 Fig. 9. Irregular-shaped volcanic clasts displaying embayments and protrusions. Clast are from
780 diamictites exposed along Druzhba Creek. Note that clast in photos 9D and 9E have diffuse
781 boundaries between the volcanic clasts and the surrounding matrix.

782

783 Fig. 10. Volcanic grains (granule, sand and silt) contained within the matrix of diamictites
784 exposed along Druzhba Creek include: A) quartz grain displaying euhedral shape and
785 embayment, B) ignimbrite clasts showing flow banding, C) andesite grain, D) altered glass
786 shards, E) dacite grain, and F) Pumice grain.

787

788 Fig. 11. Tectonic setting discrimination plots showing Th-La-Sc, Th-Zr/10-Co, Th-Zr/10-Sc and
789 La-Th plots. The data points are for diamictite matrix, tuff beds and clasts contained within the
790 Atkan Formation exposed along Druzhba Creek, NE Russia. The fields for various tectonic
791 settings are from Bhatia (1983) and Bhatia and Crook (1986) and include fields for material
792 derived from (A) oceanic island arc, (B) continental island arcs, (C) active continental margins,
793 and (D) passive continental margins.

794

795 Fig. 12. A) Volcanic clasts (arrows) in Atkan diamictites that display intra-clast fractures not
796 aligned with regional cleavage and where the fractures end at grain boundaries. B) Plot of the
797 spacing of intra-clast fractures or joints relative to the long axis of clasts.

798

799 Fig. 13. Interstratified clast-rich diamictite, conglomerate, sandstone, and mudrock facies in the
800 Atkan and Druzhba Formation. A) Interstratified beds and laminations of diamictite,
801 conglomerate, sandstone, and mudstone exposed along the Khuren River. Note that the

802 conglomerates and sandstones display graded beds. Note that one part of the lower conglomerate
803 displays reverse grading. B) Thickening upward succession of graded sandstone beds with thin
804 mudrock interbeds exposed along Druzhba Creek. C) Cut and polished section of
805 interstratification of thinly stratified diamictite, sandstone, and mudstone collected from Atkan
806 strata exposed along the Khuren River. Note the occurrence of load structures, flame structures,
807 outsized clast as part of a rotational structure (core stone), outsized clasts within the mm-scale
808 thick diamictites, and the graded sandstone unit.

809

810 Fig. 14. A) Diamictite load and mudstone flame structure in Atkan strata exposed along the
811 Khuren River. B) Cross-cutting admixture of sandstone and diamictite in the Atkan Formation
812 exposed along the Khuren River.

813

814 Fig. 15. Trace fossils from the transition zone between the Khuren and Atkan Formations along
815 Druzhba Creek. A) *Zoophycus* trace fossil on the top of a sandstone bed in the Atkan Formation.
816 B and C) *Nereites missouriensis* on the upper surface of a sandstone bed at the top of the Khuren
817 Formation/Base of the Atkan Formation.

818

819 Fig. 16. Model for the deposition of Middle and Upper Permian strata of the Khuren, Atkan, and
820 Druzhba formations as deep-water debrites, slumps, and turbidites within a back-arc basin
821 associated with the Okhotsk-Taigonos volcanic arc (modified from a model for volcanic
822 sediment gravity flows deposited in the Grenada back-arc basin off of the Lesser Antilles
823 volcanic arc; Sigurdsson et al., 1980).

824

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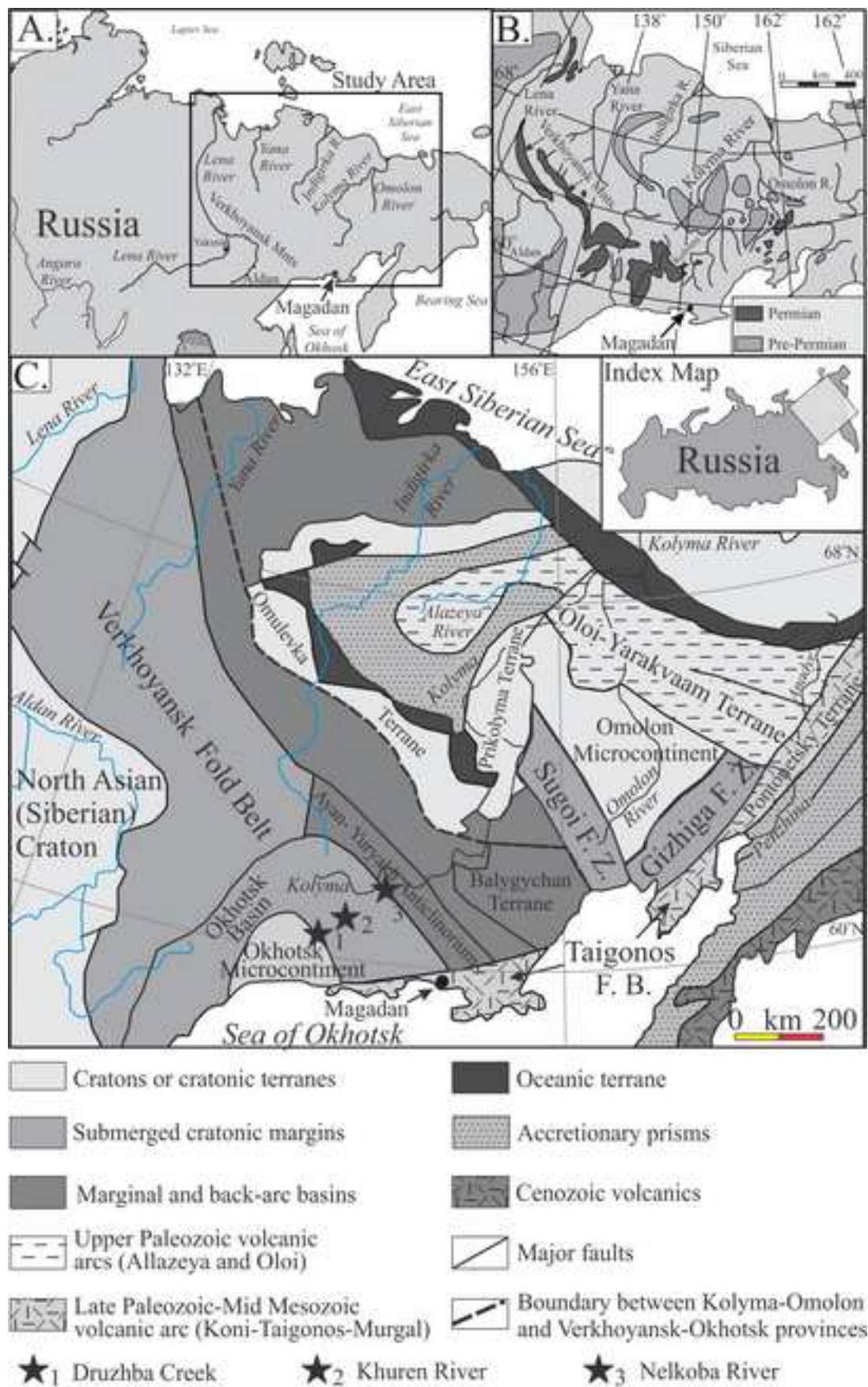


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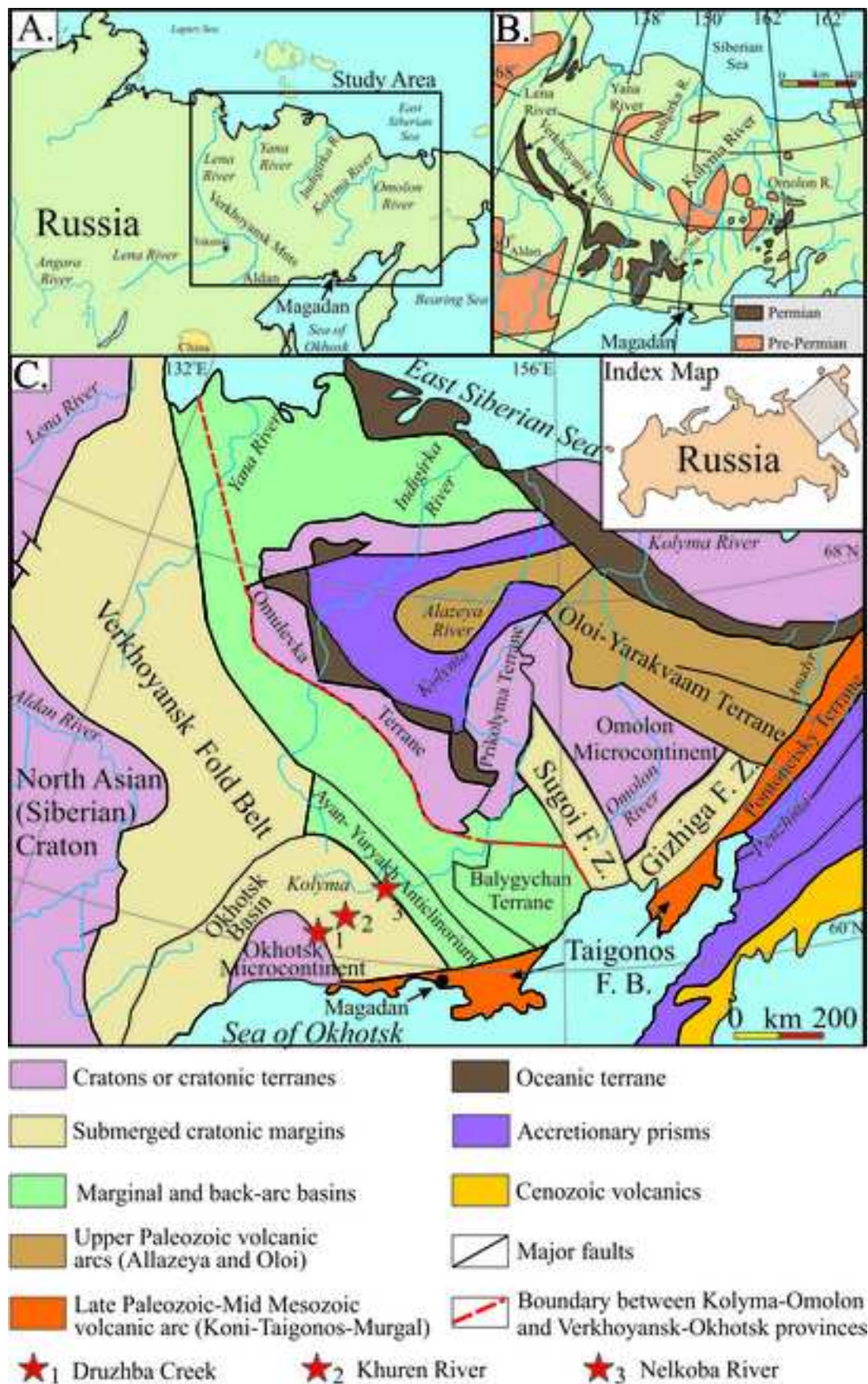


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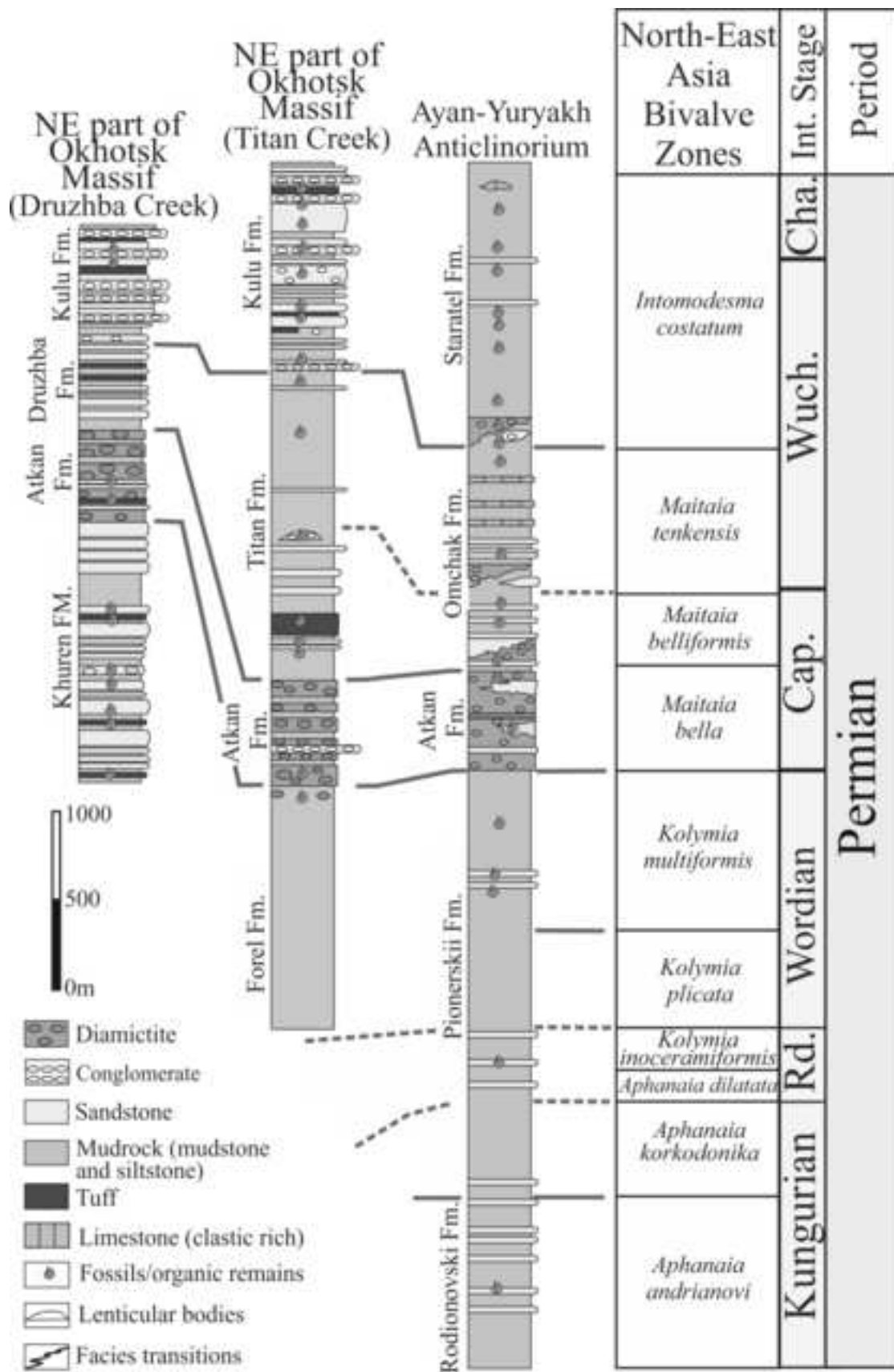


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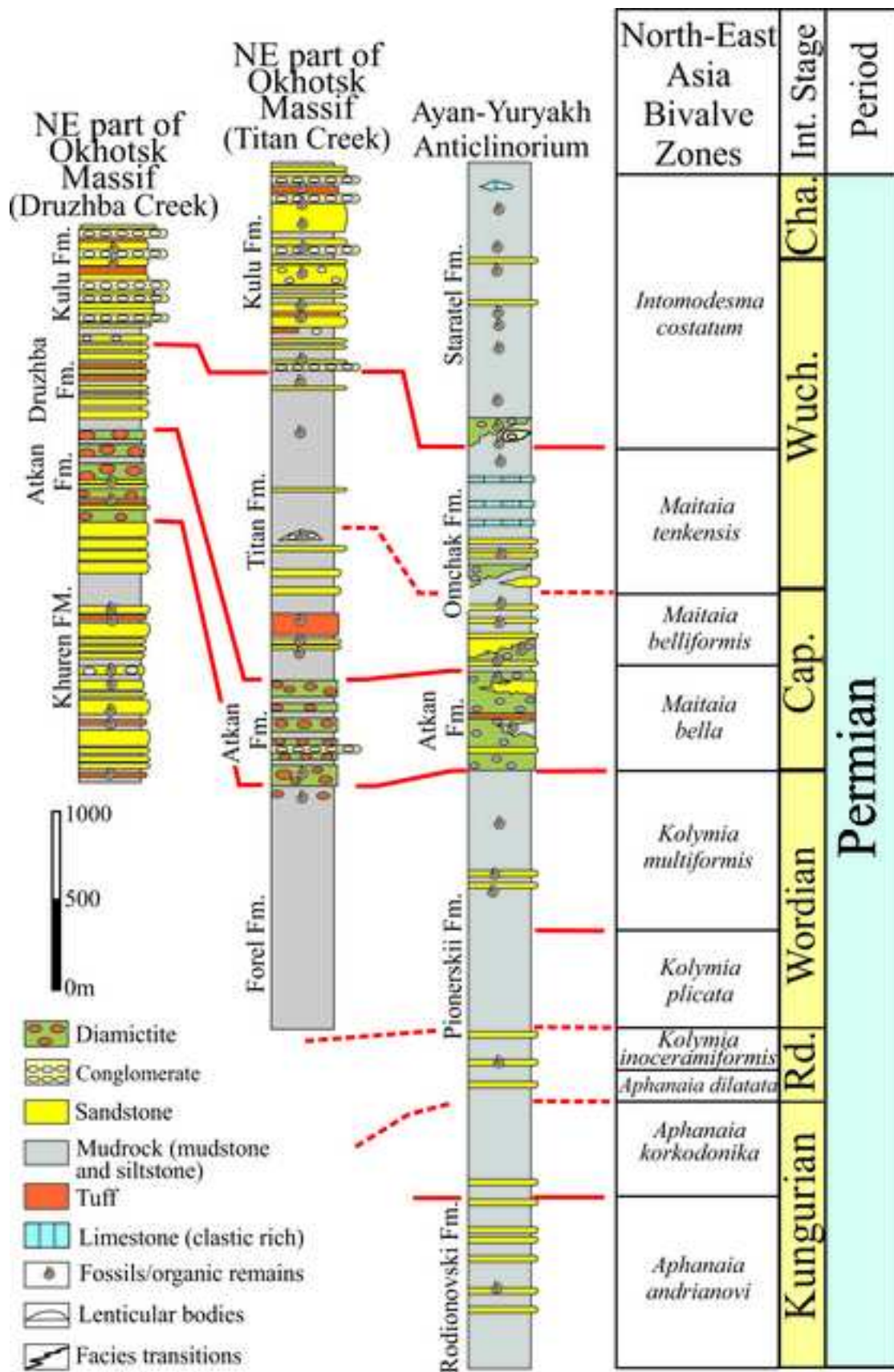


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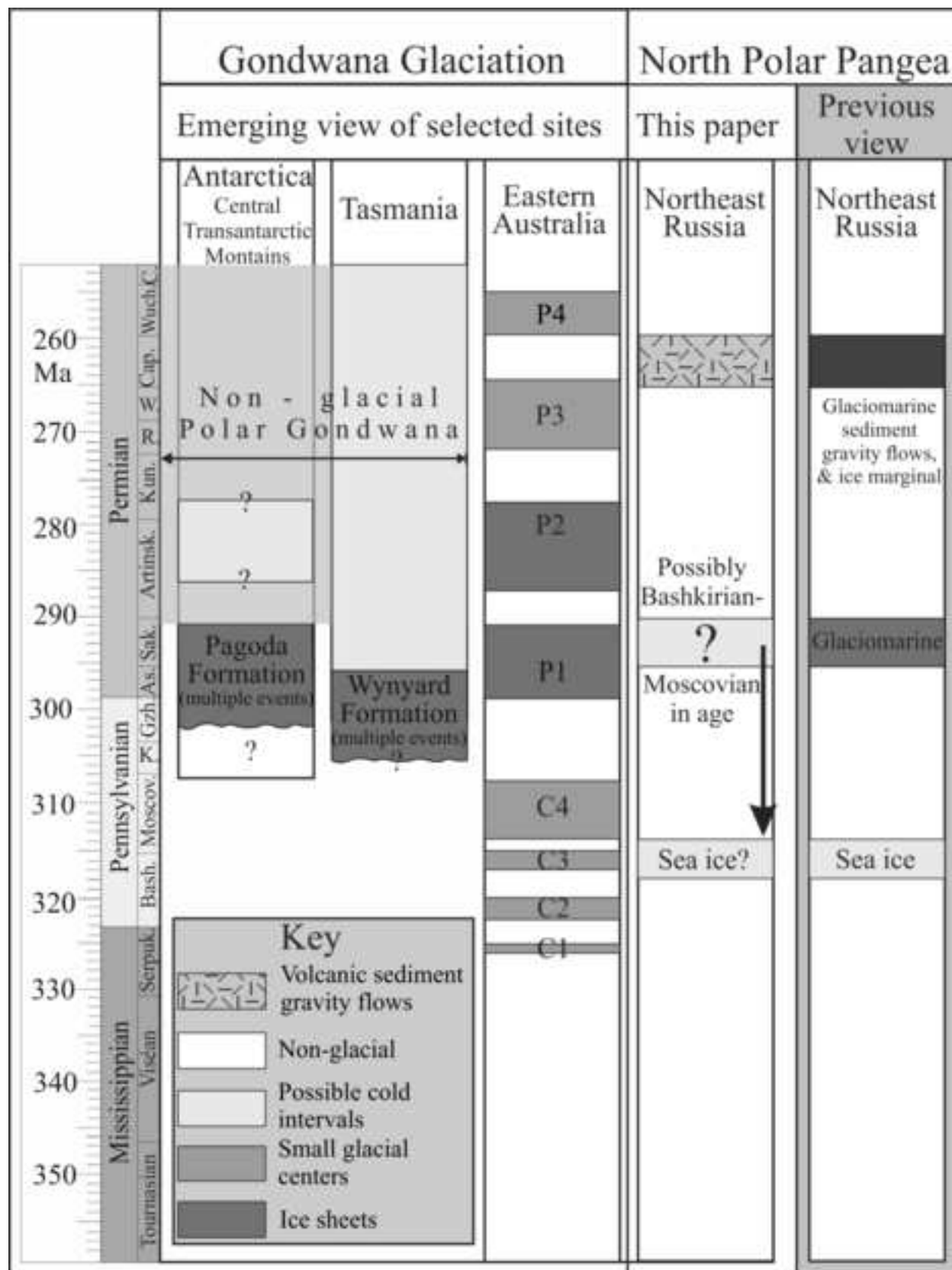


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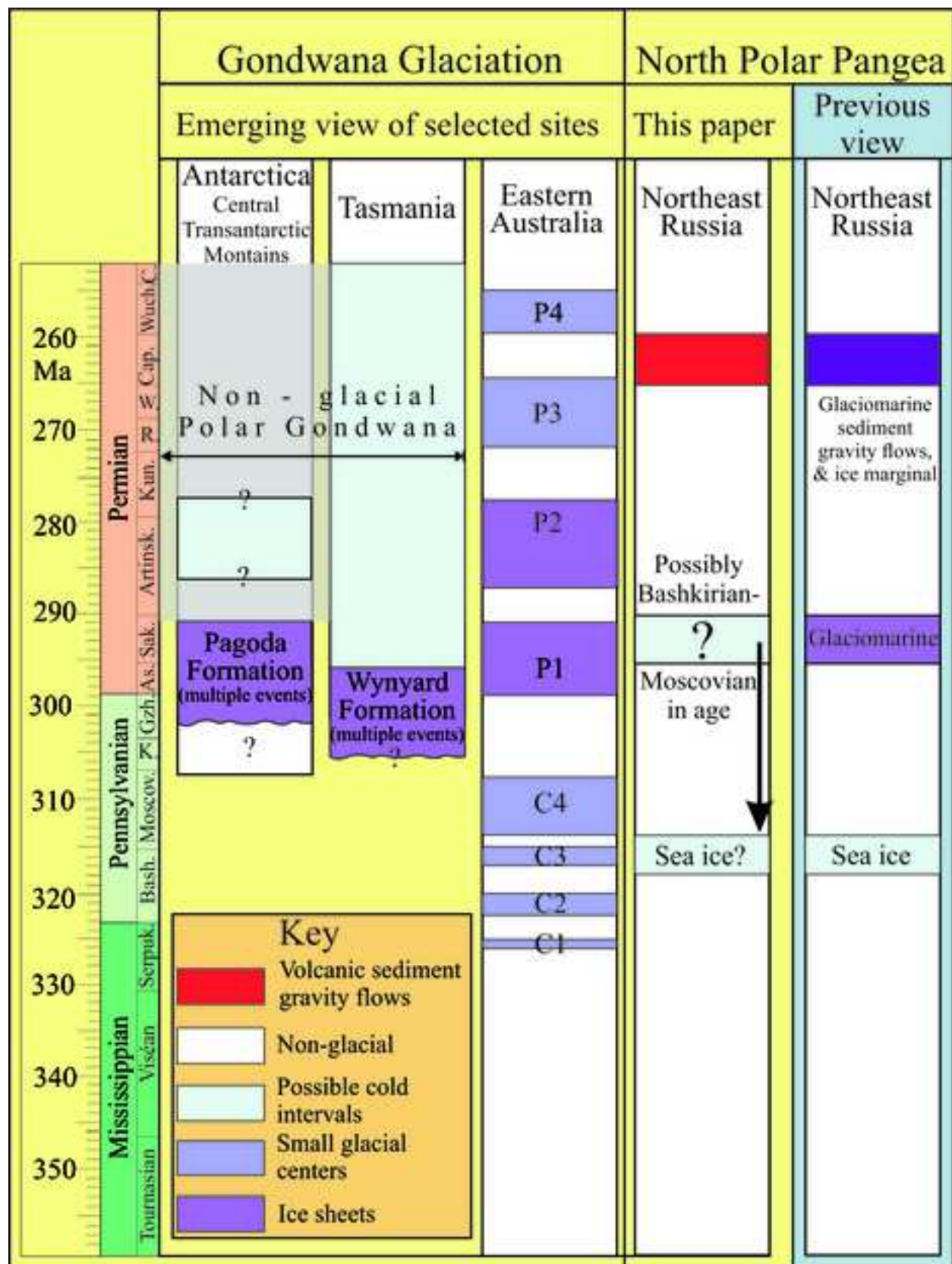


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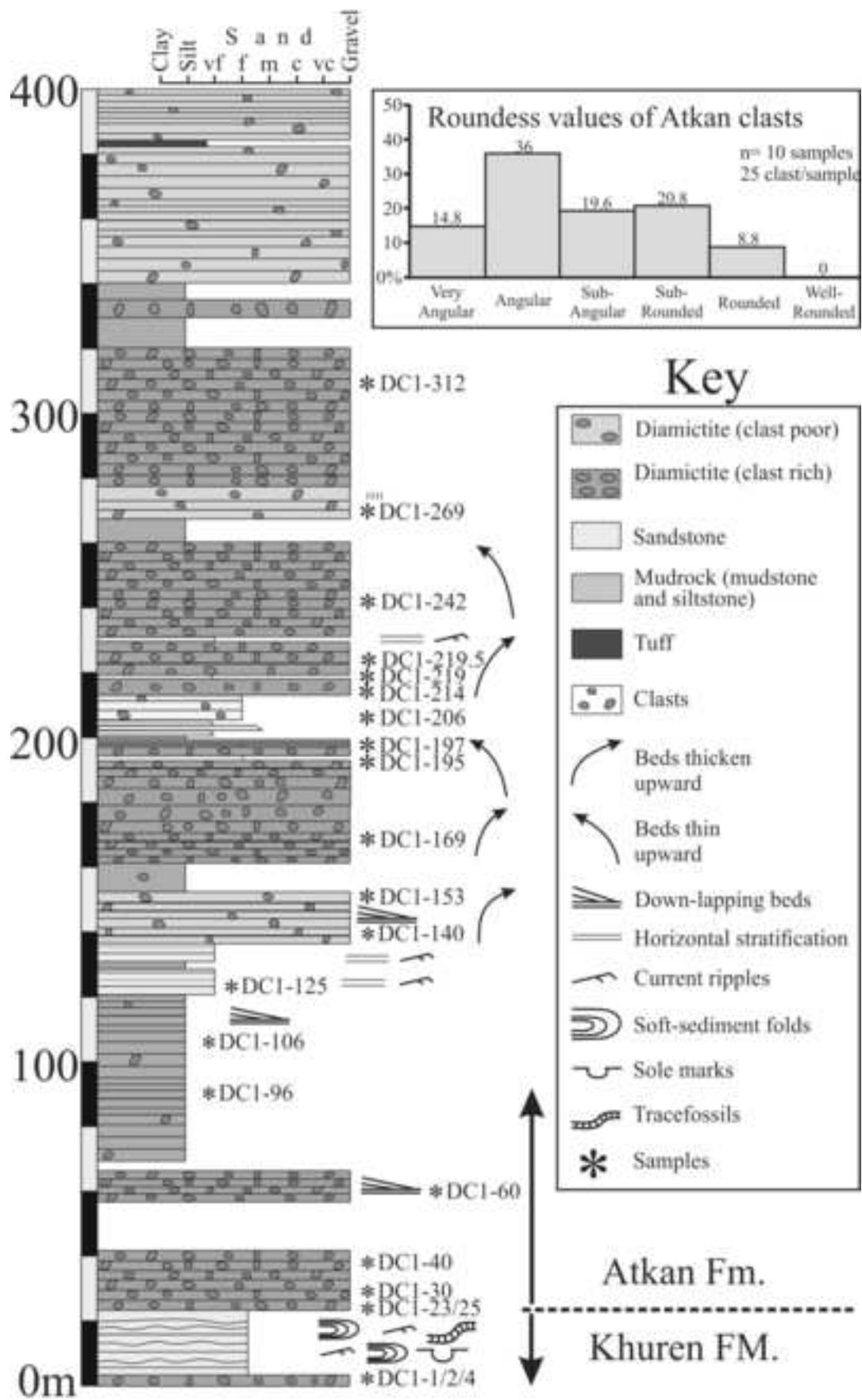


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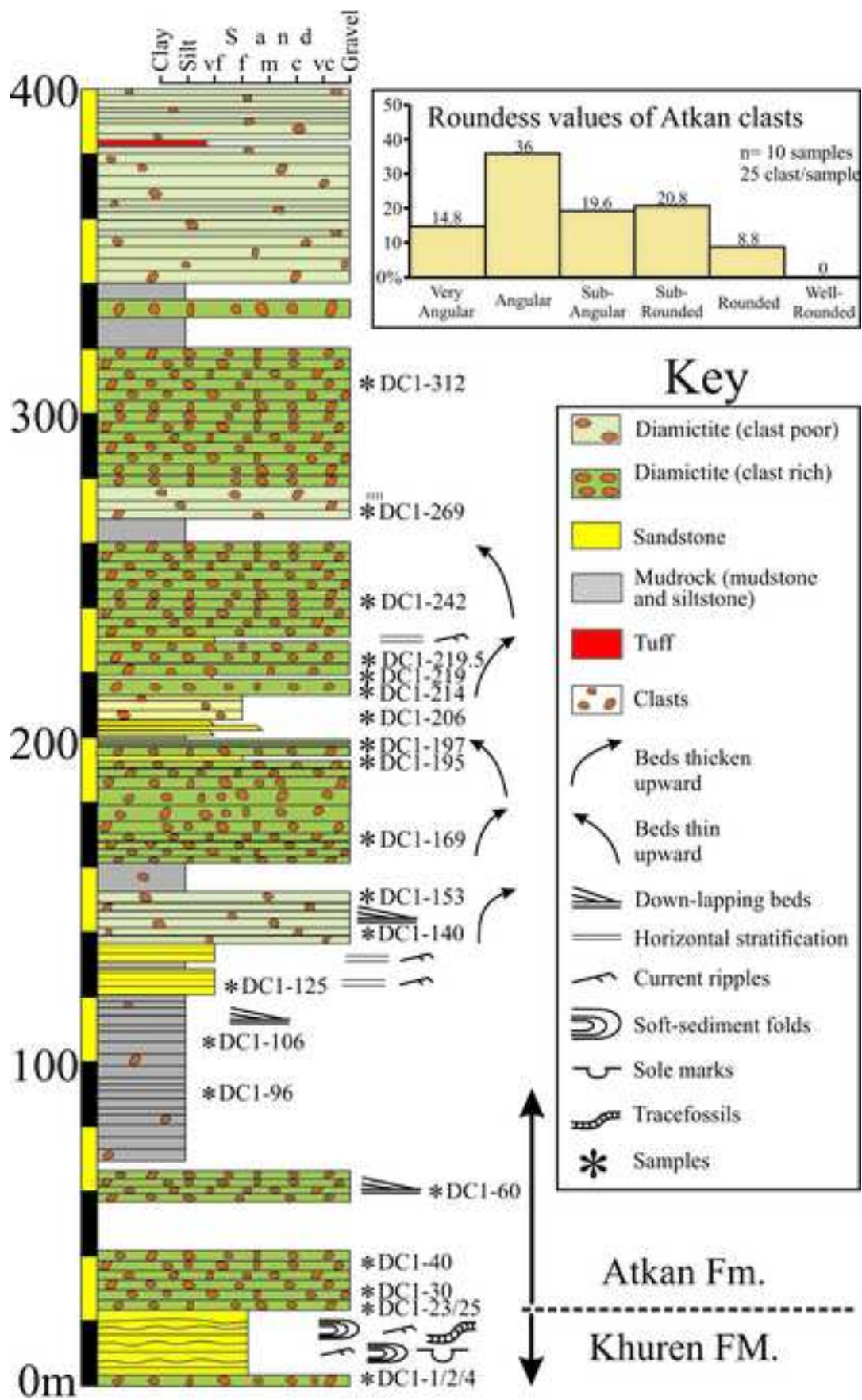


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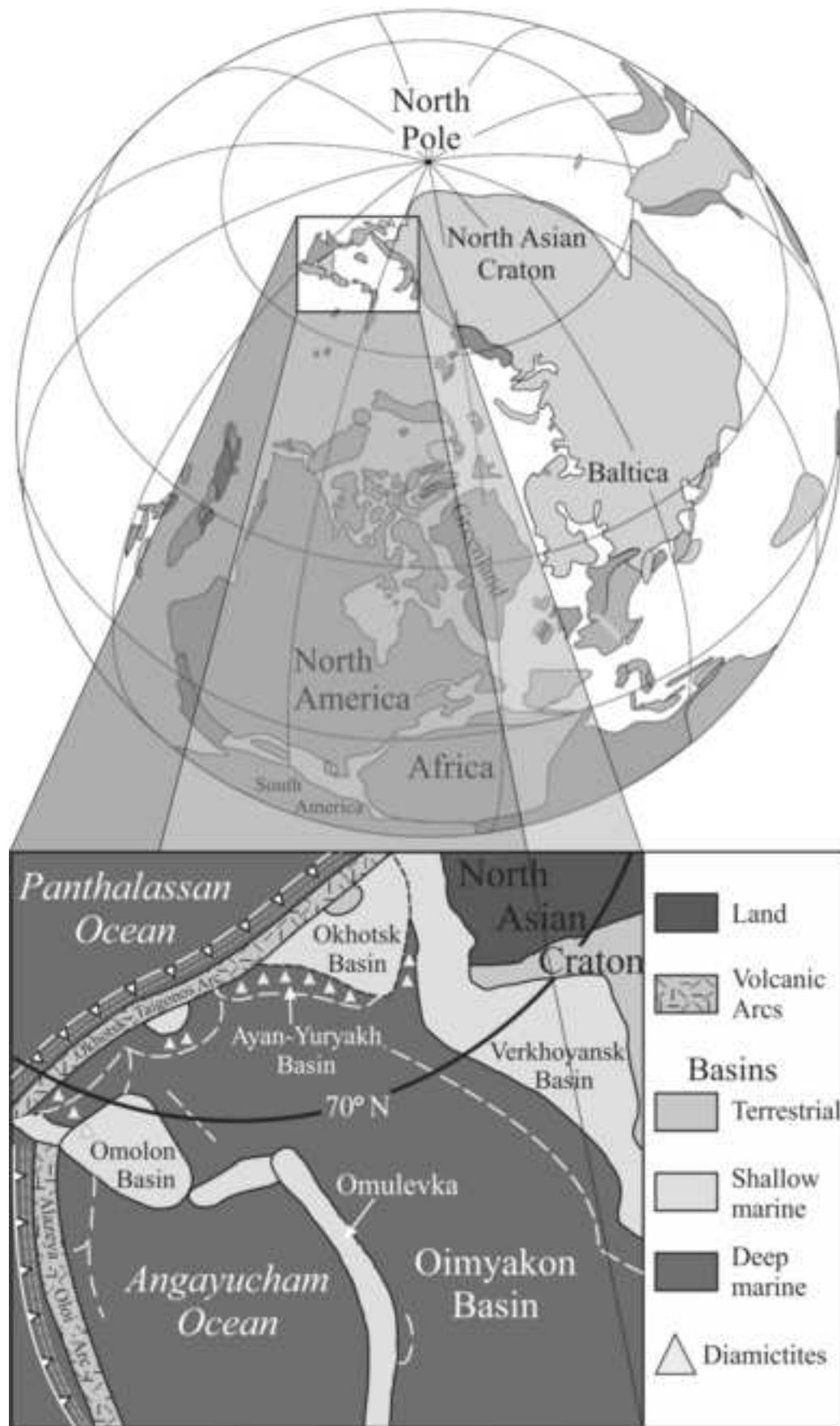


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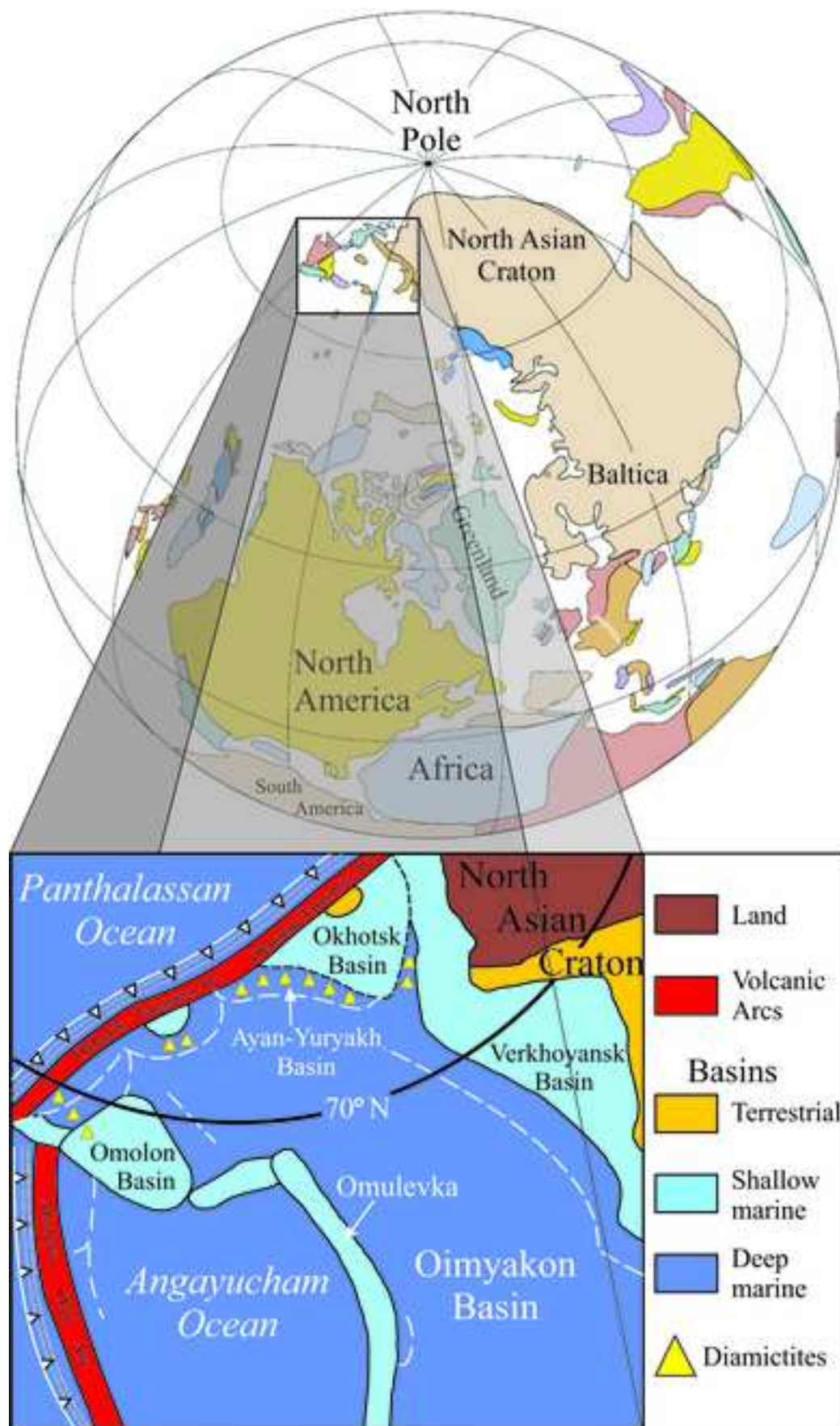


Figure 5. Isbell et al.
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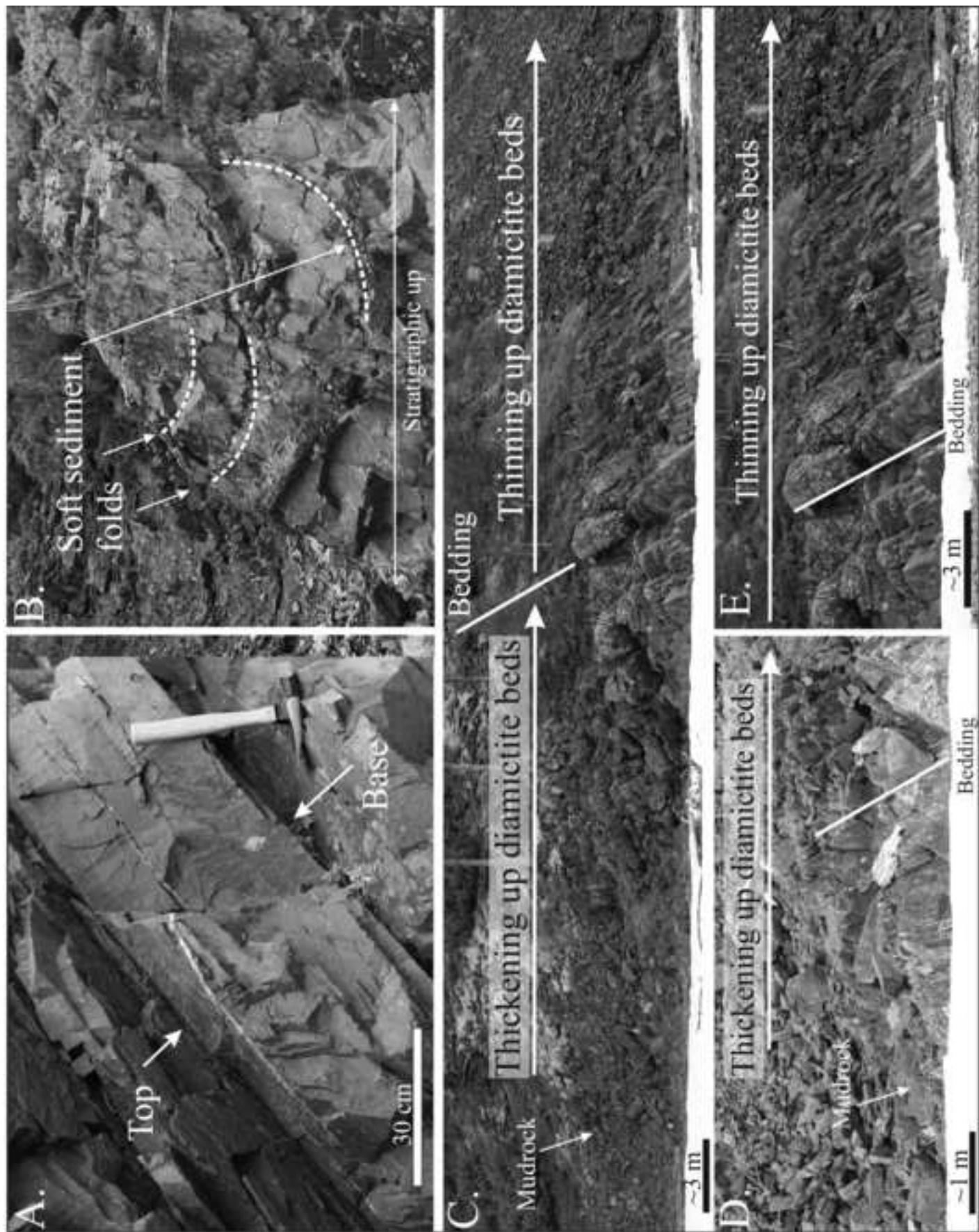


Figure 6. Isbell et al.
2 Column Image



Figure 6. Isbell et al.
2 Column Image

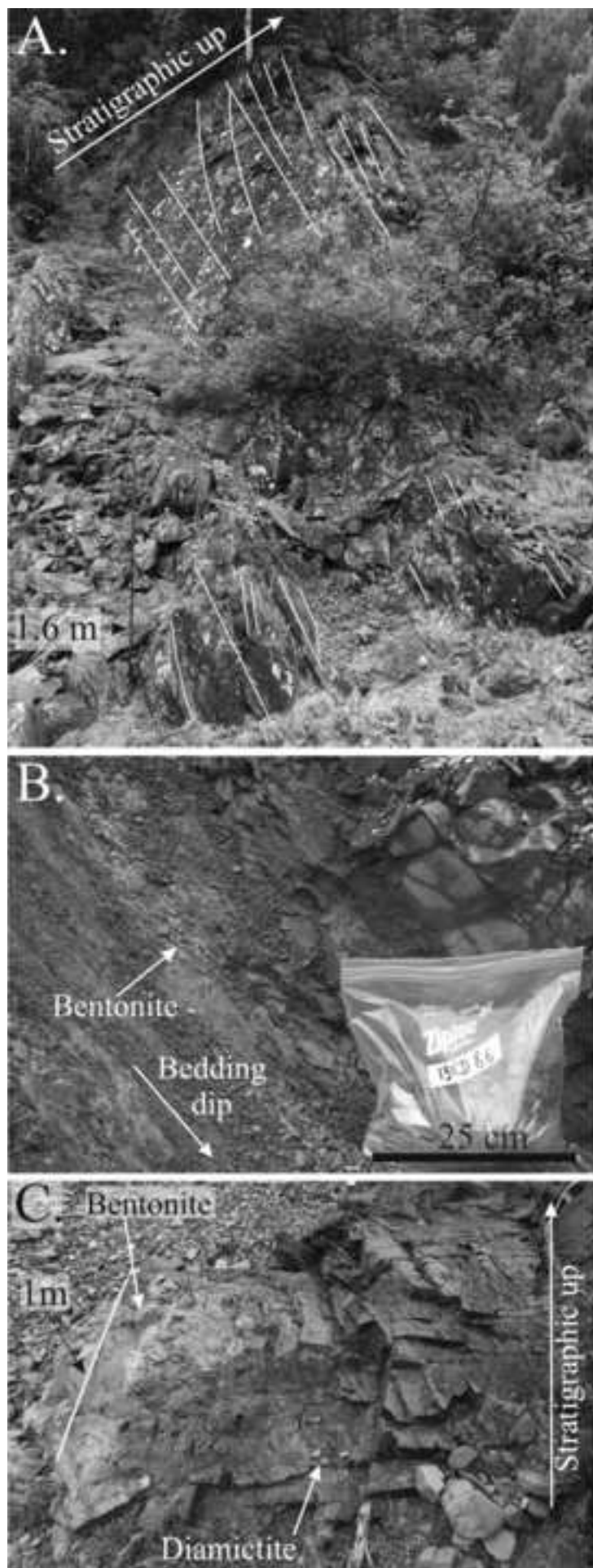


Figure 7. Isbell et al.
1 Column Image

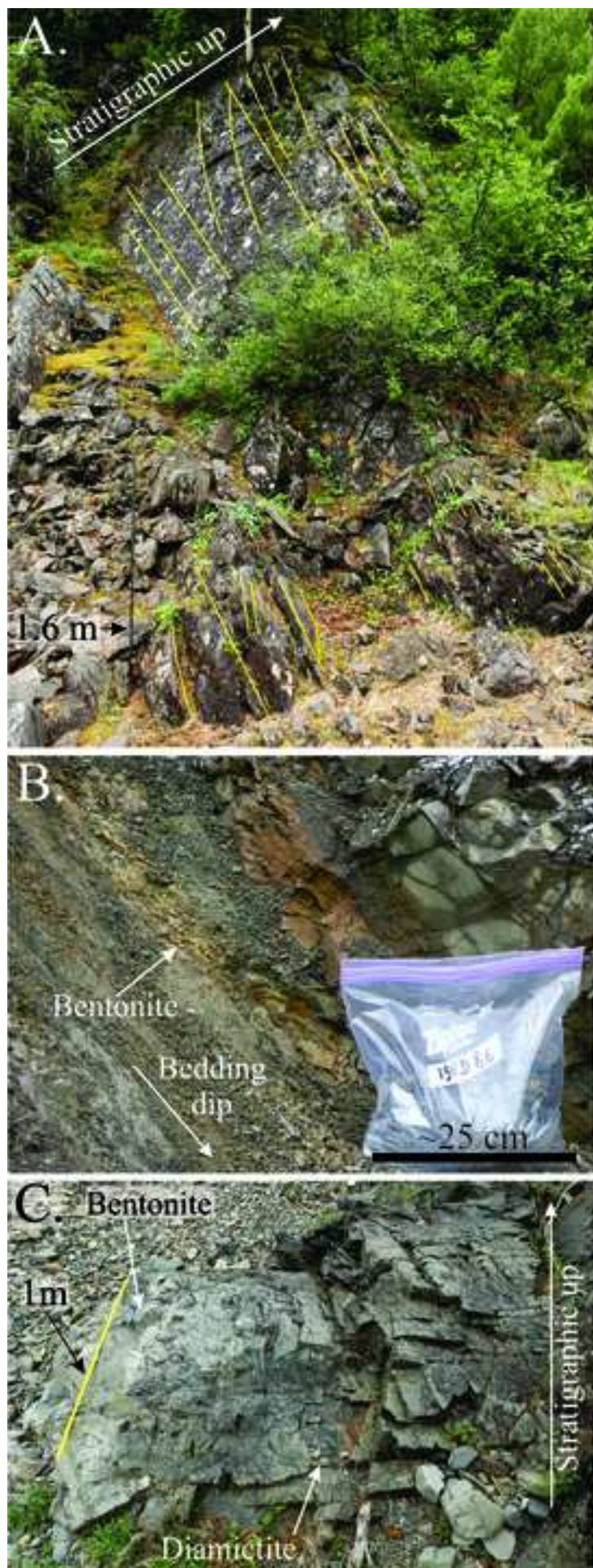


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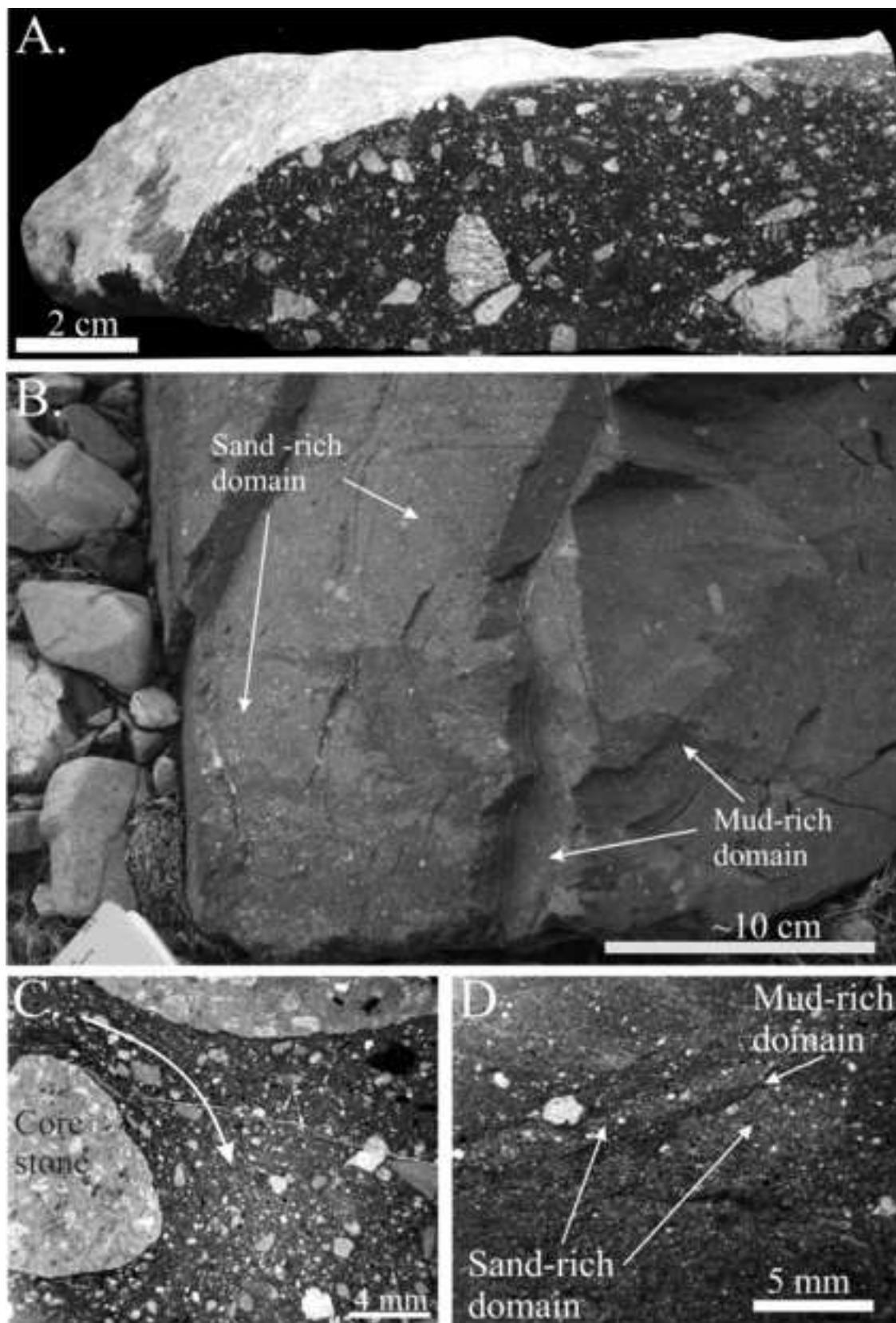


Figure 8. Isbell et al.
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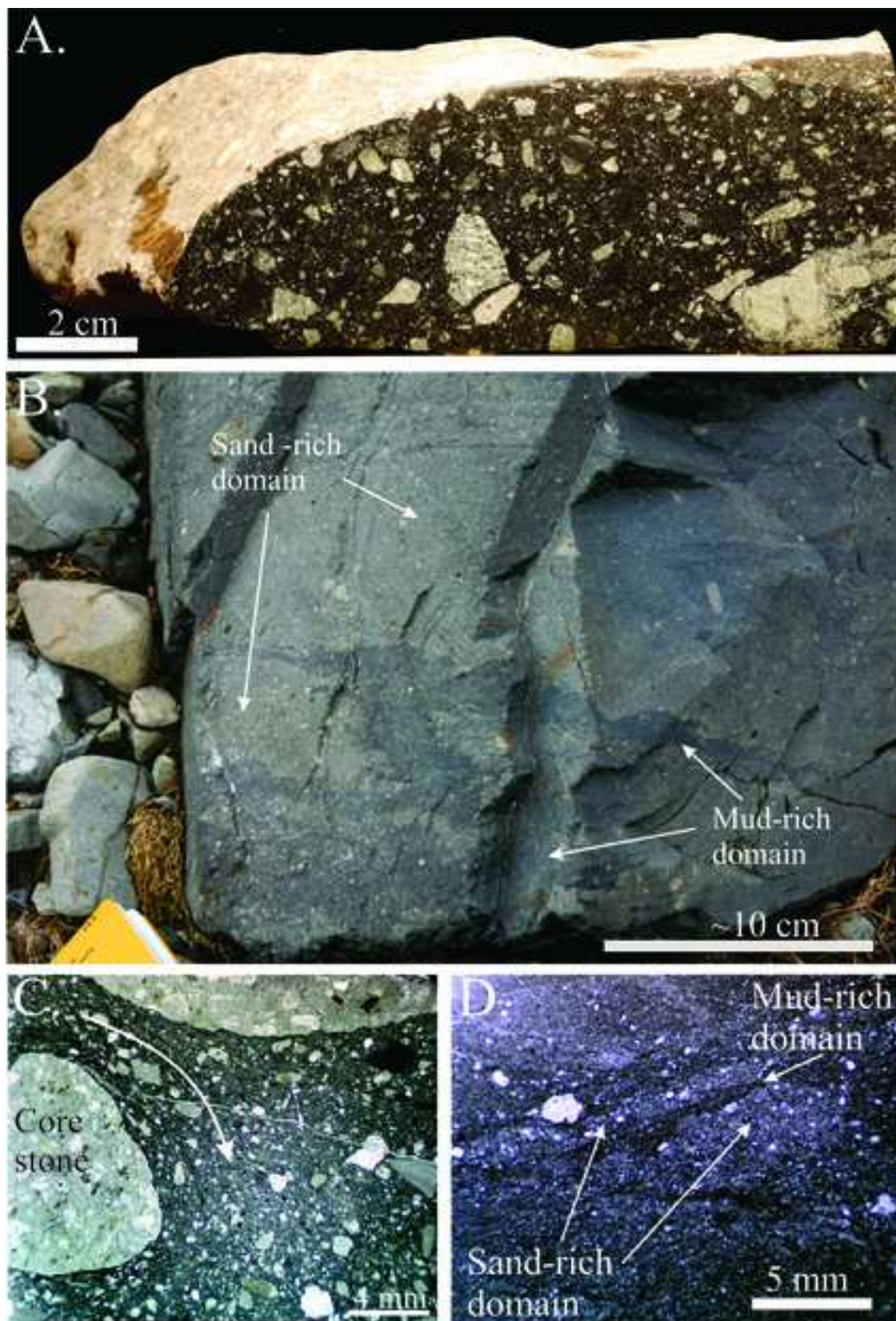


Figure 8. Isbell et al.
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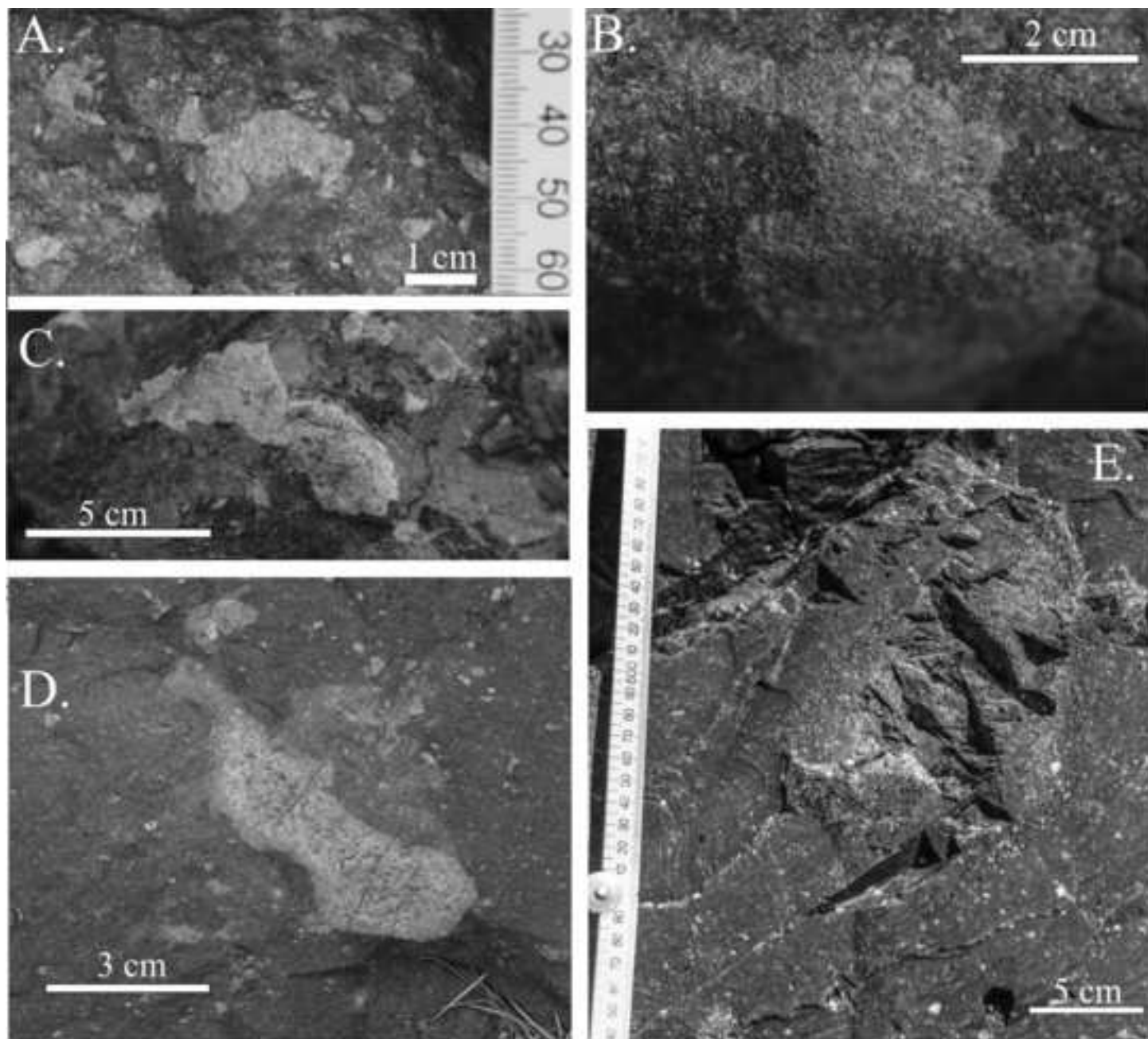


Figure 9. Isbell et al.
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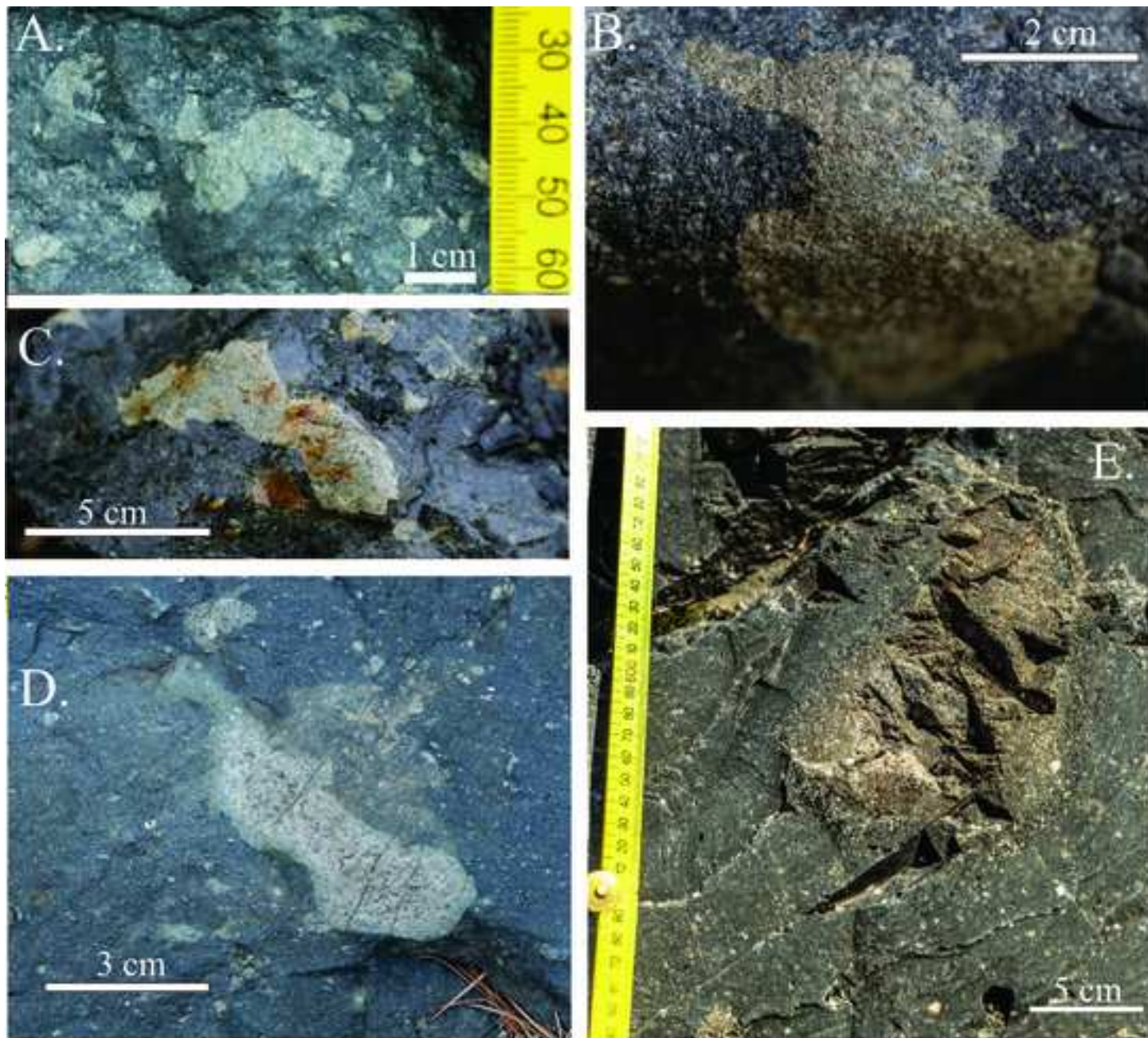


Figure 9. Isbell et al.
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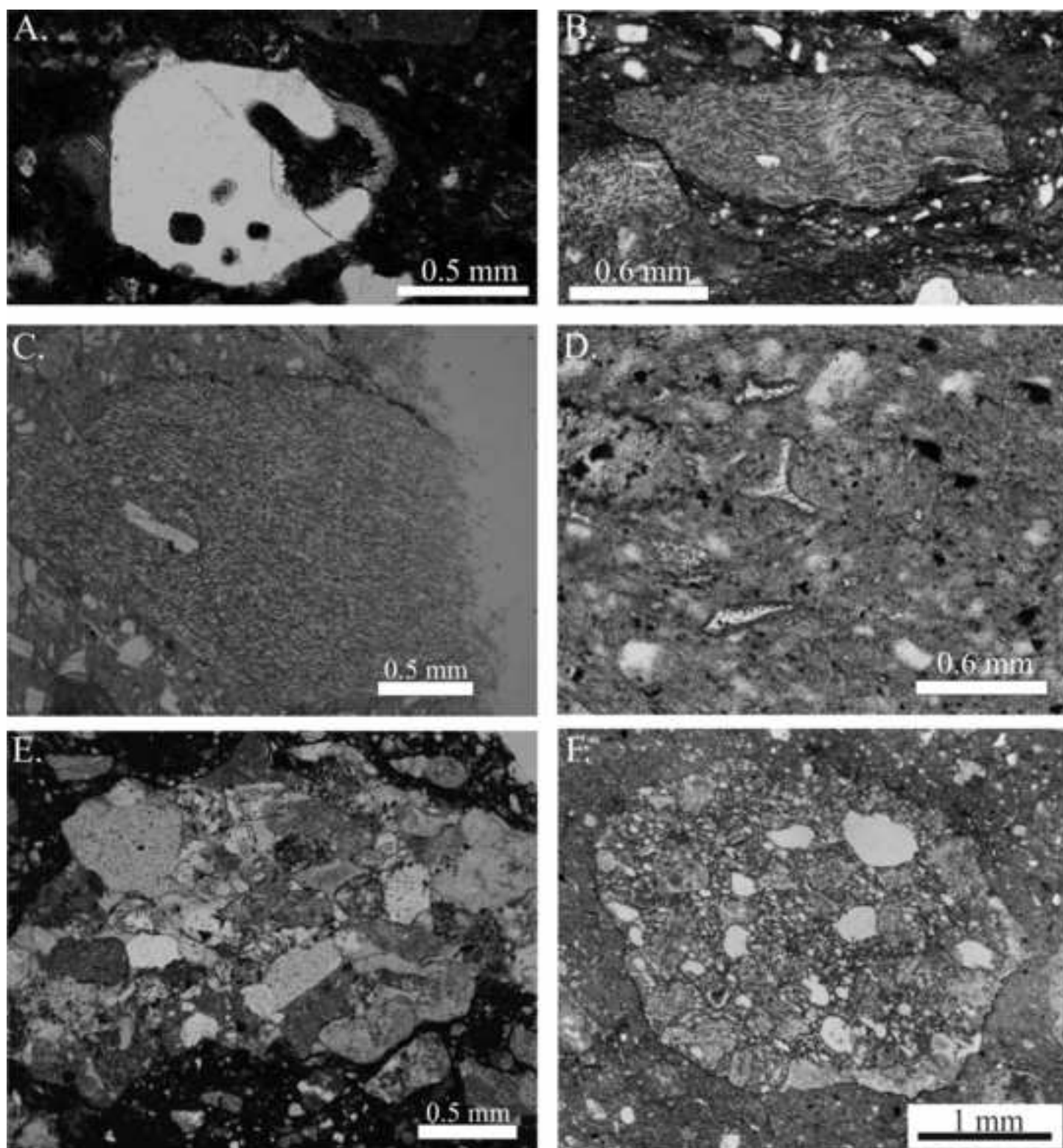


Figure 10. Isbell et al.
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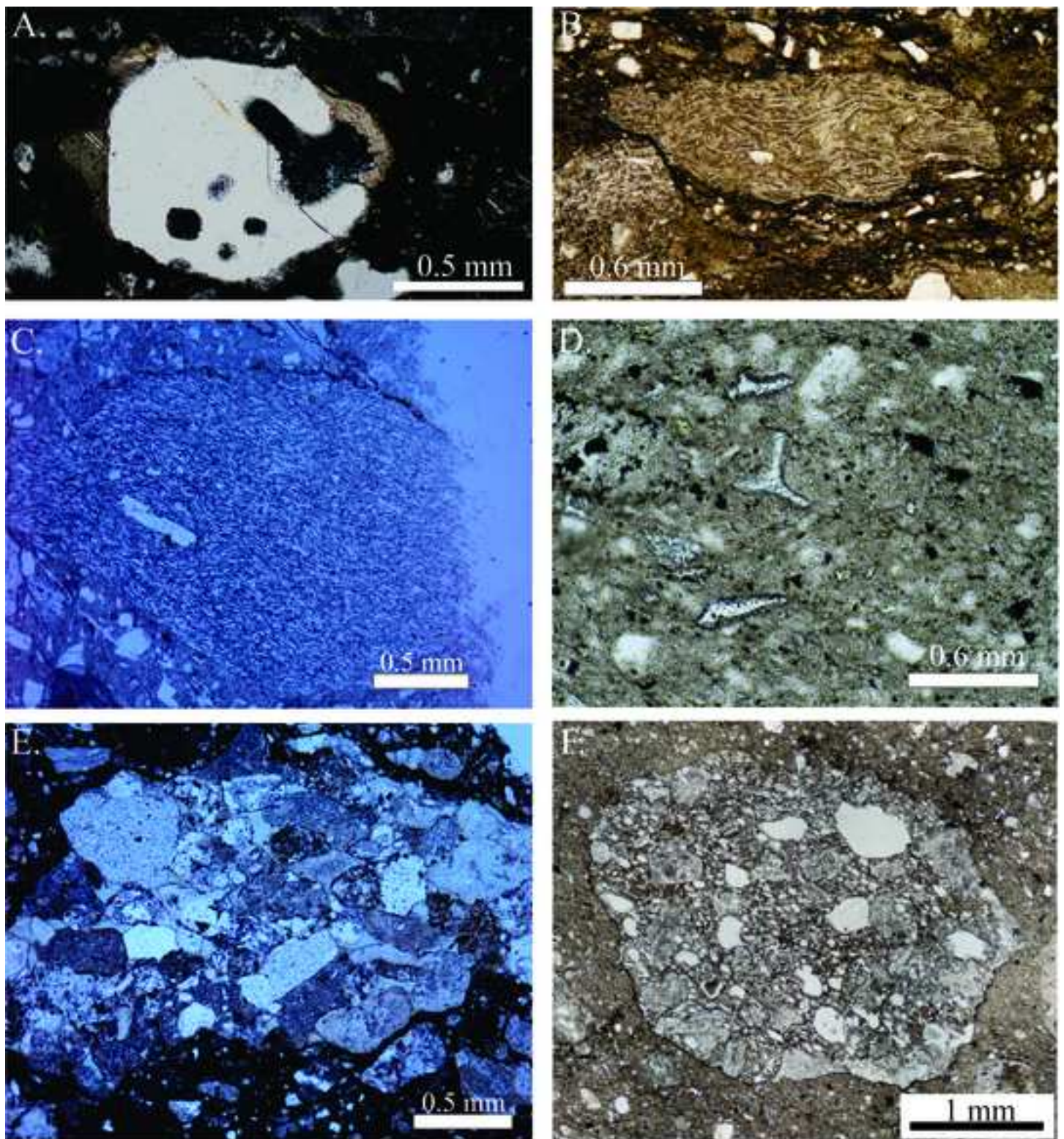


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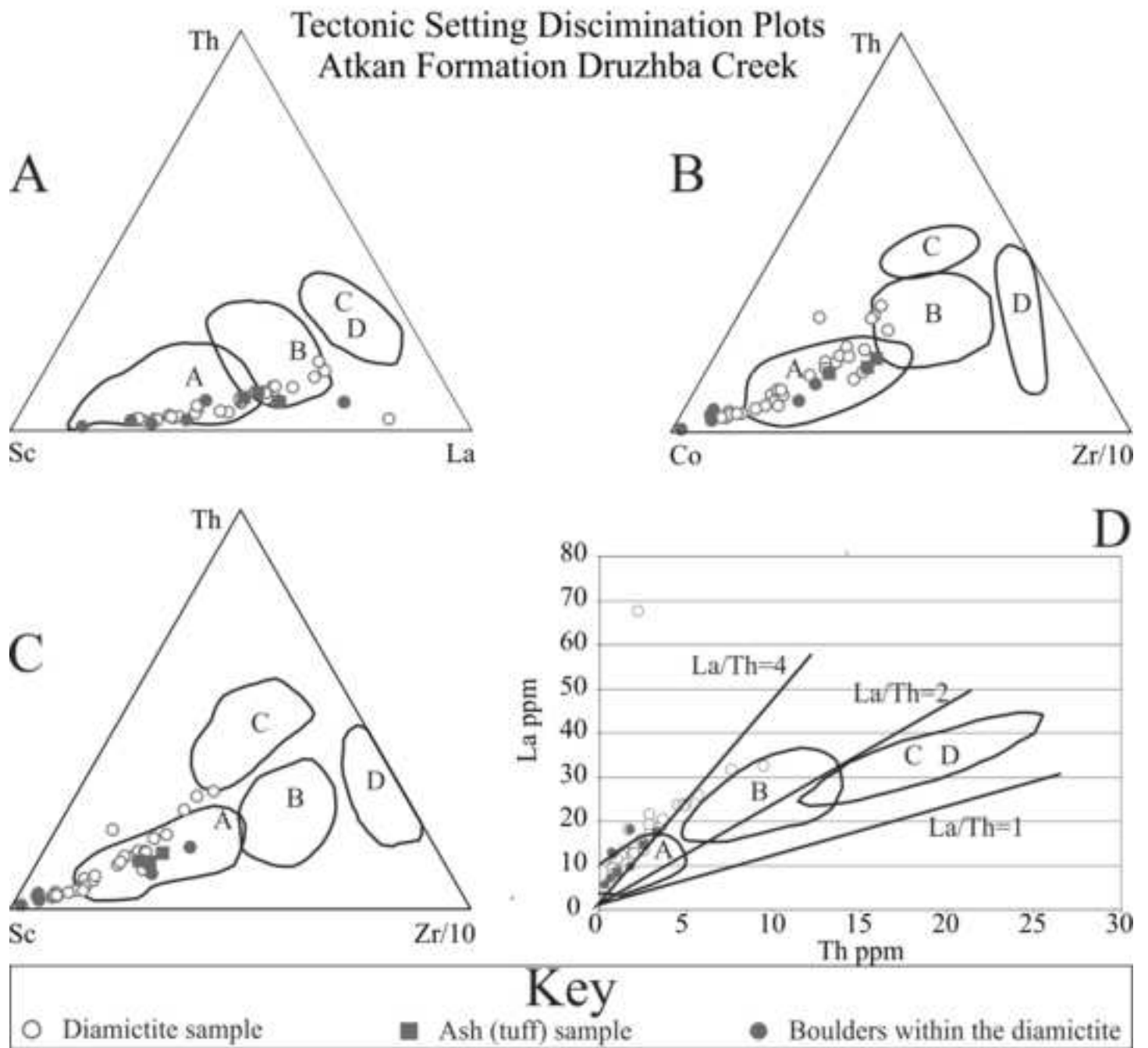


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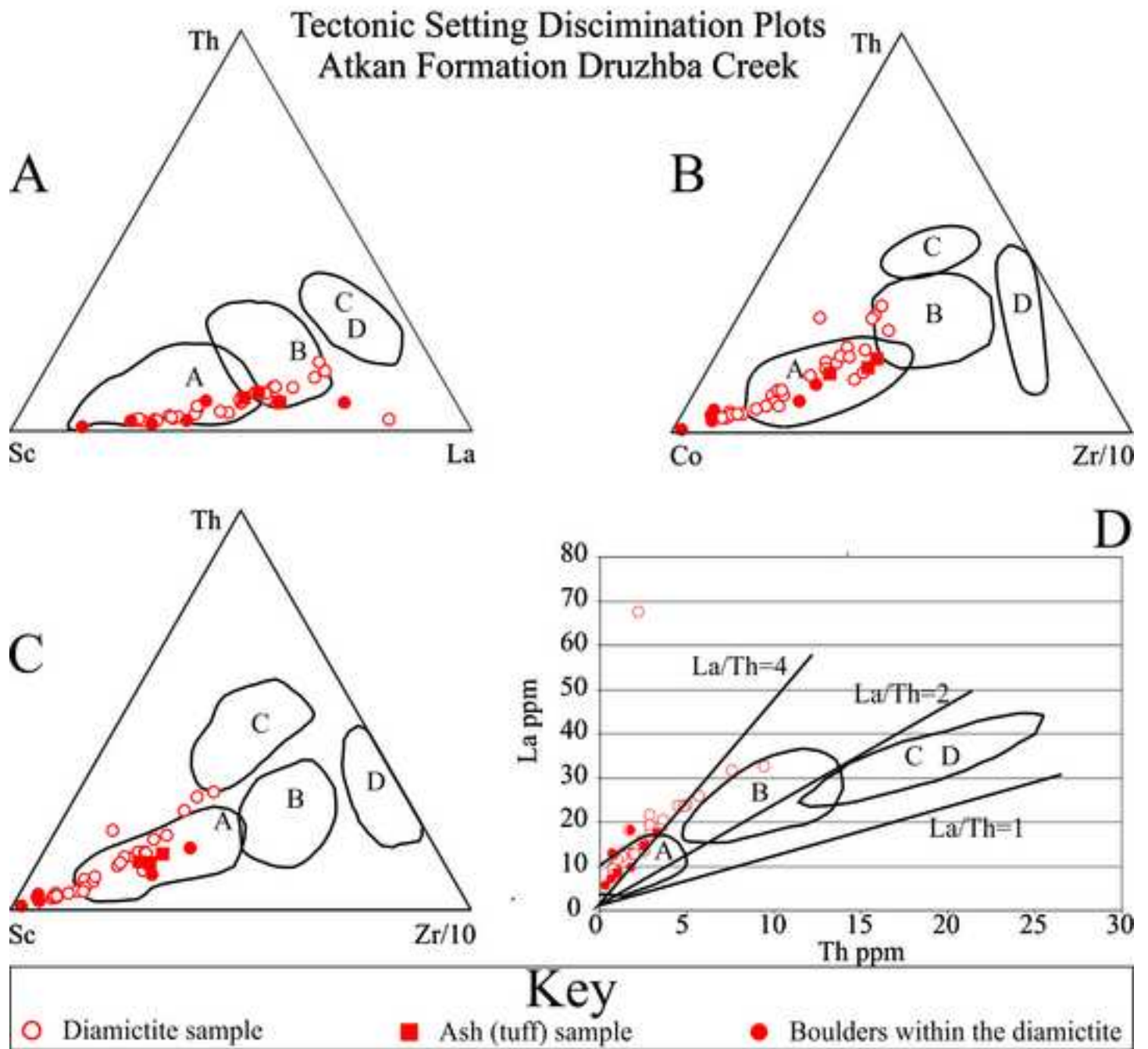


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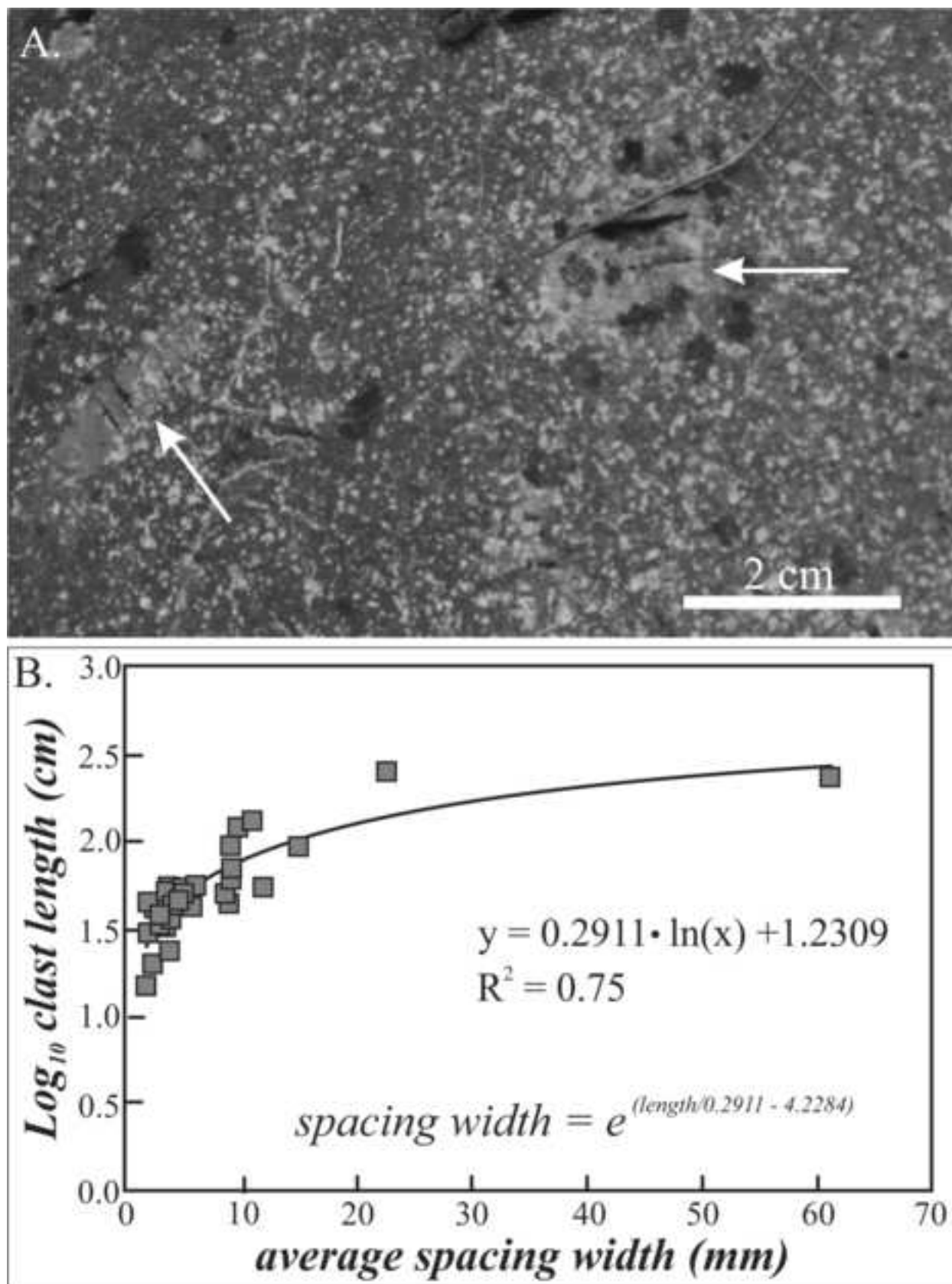


Figure 12. Isbell et al.
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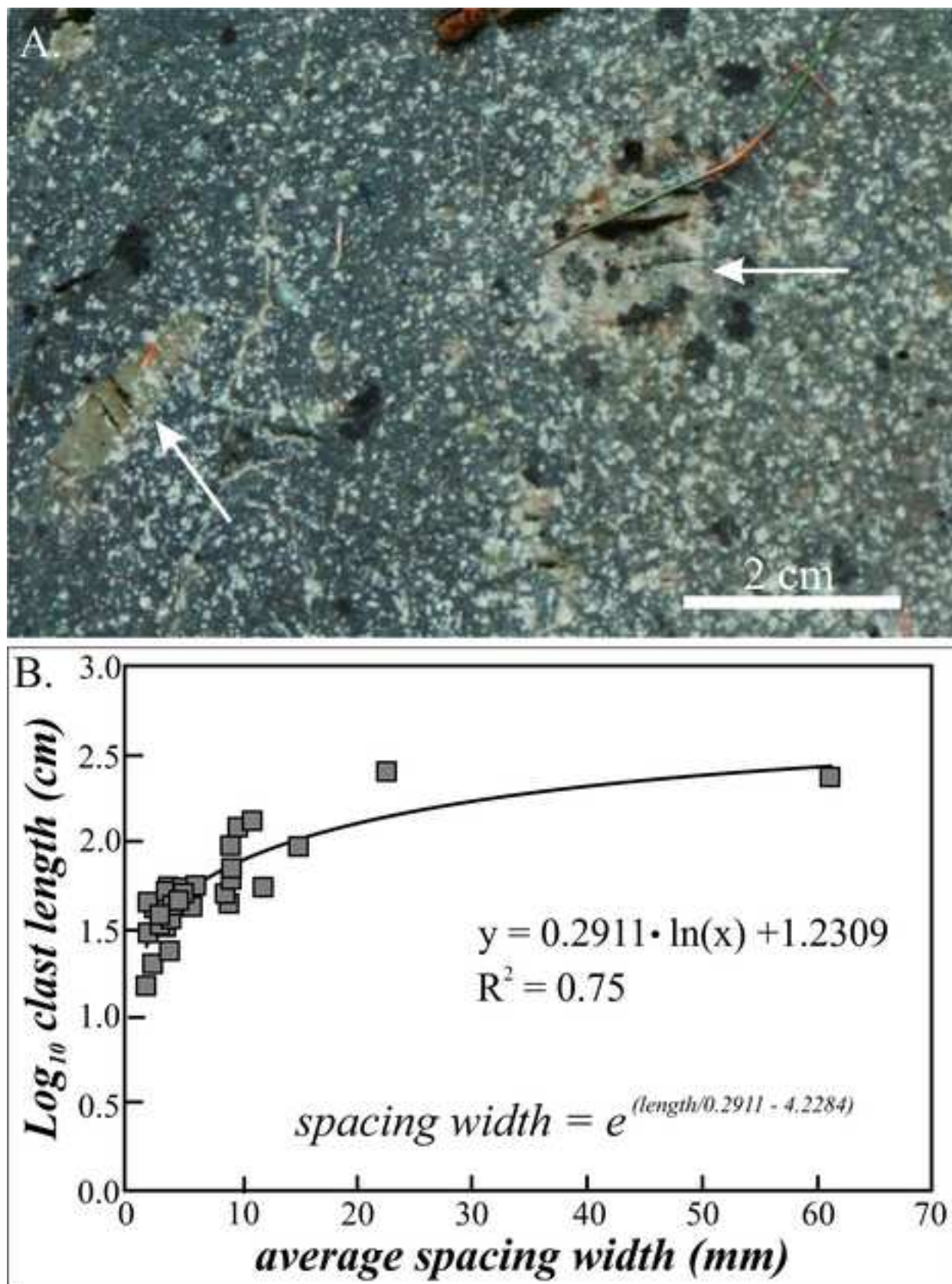


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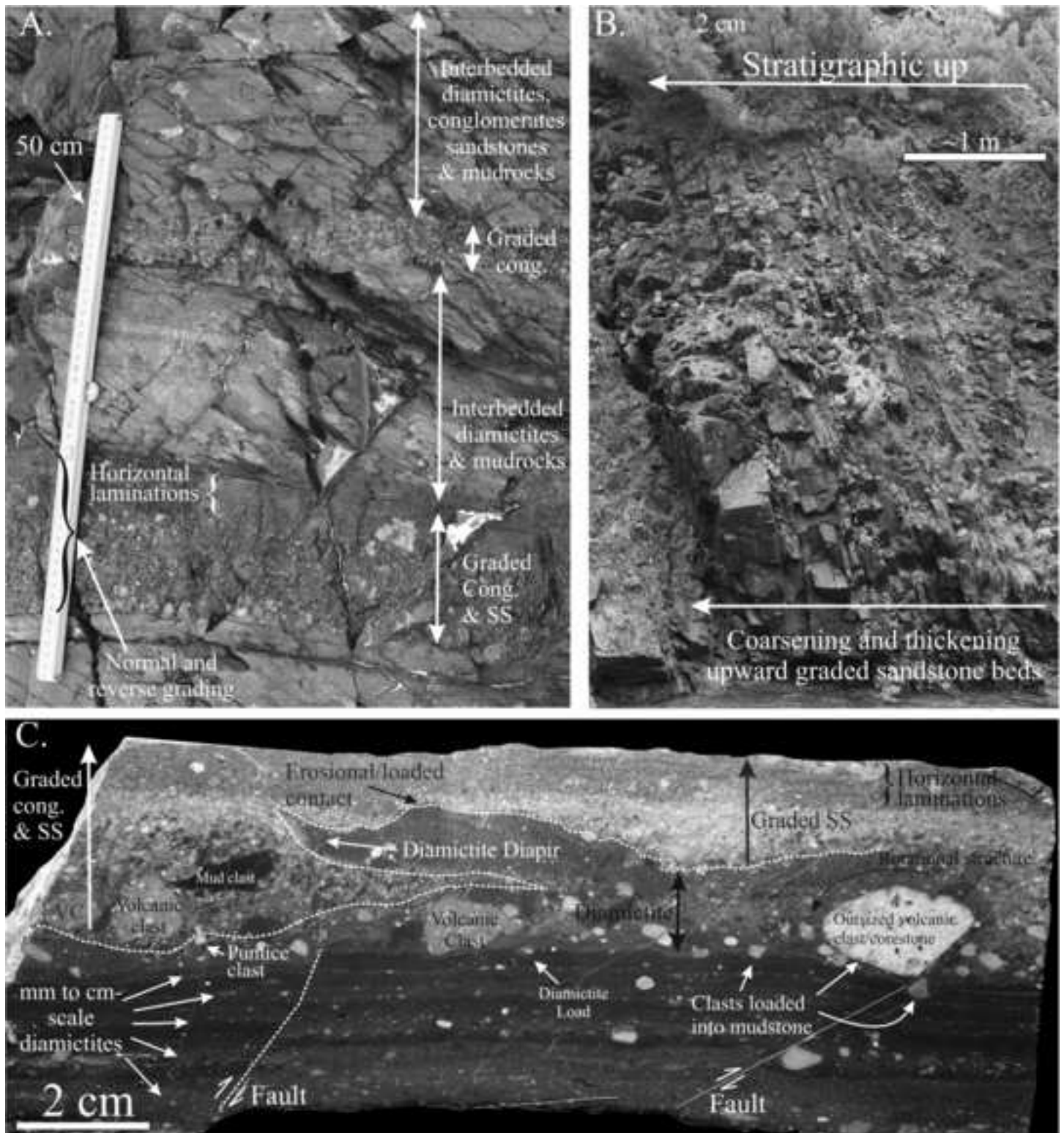


Figure 13. Isbell et al.
2 Column Image

Figure

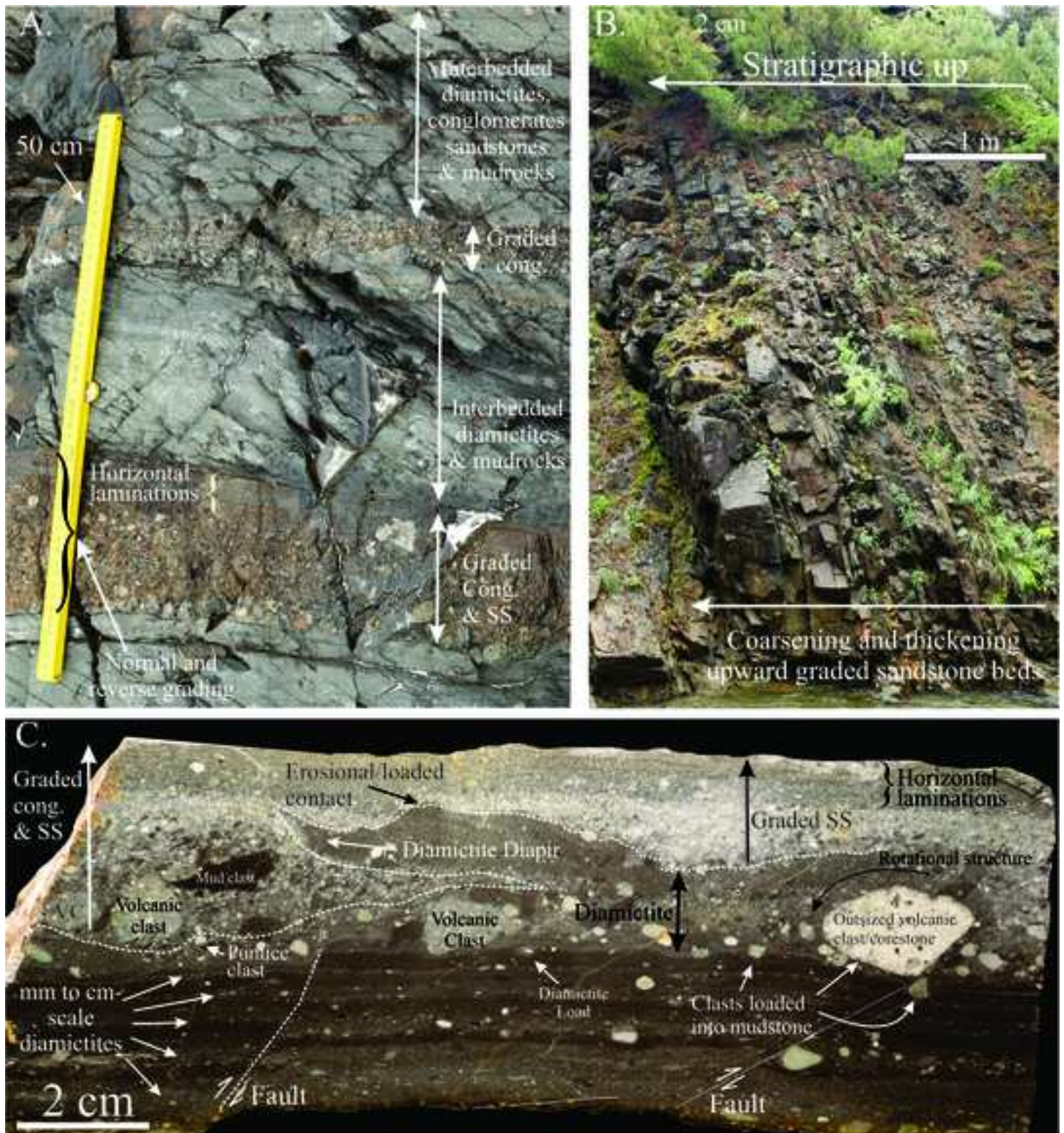


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2 Column Image

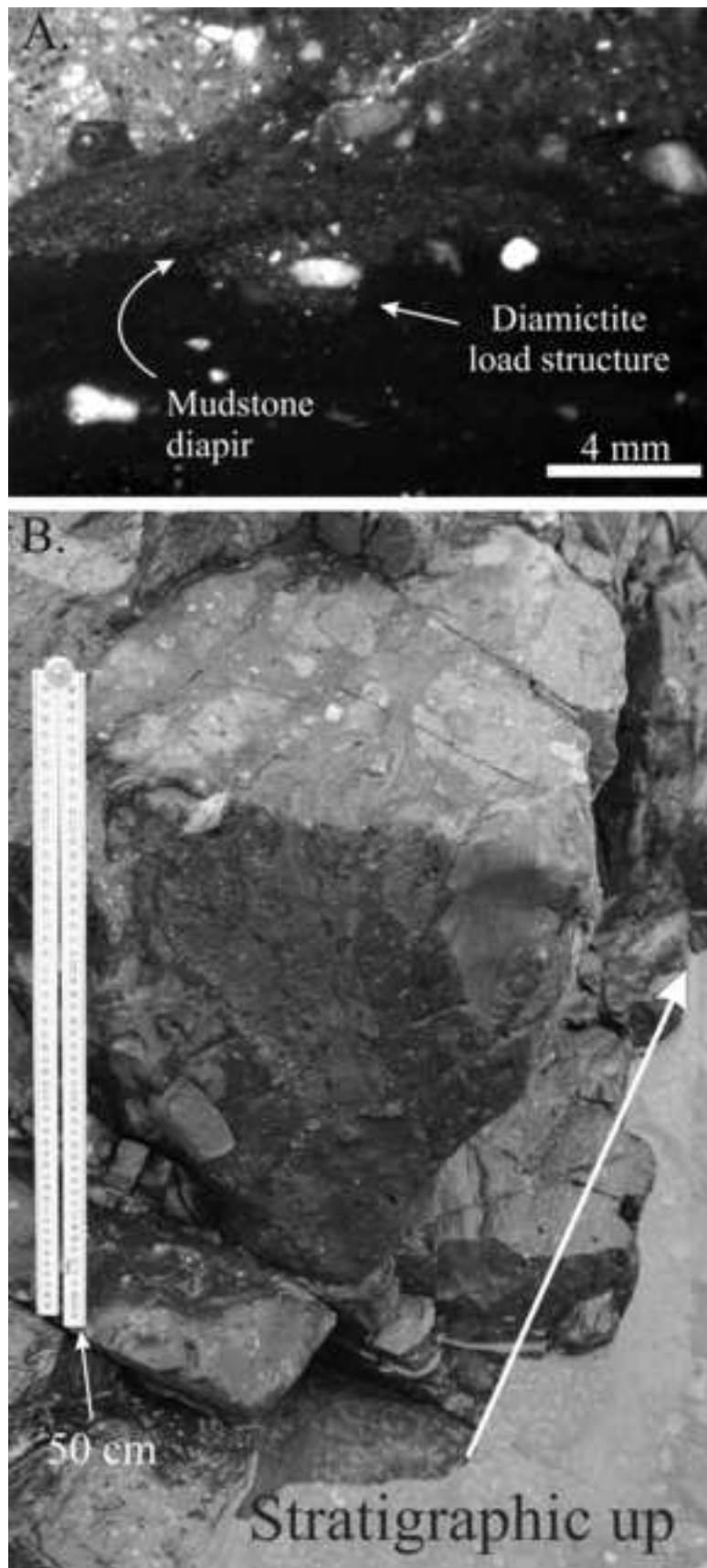


Figure 14. Isbell et al.
1 Column Image

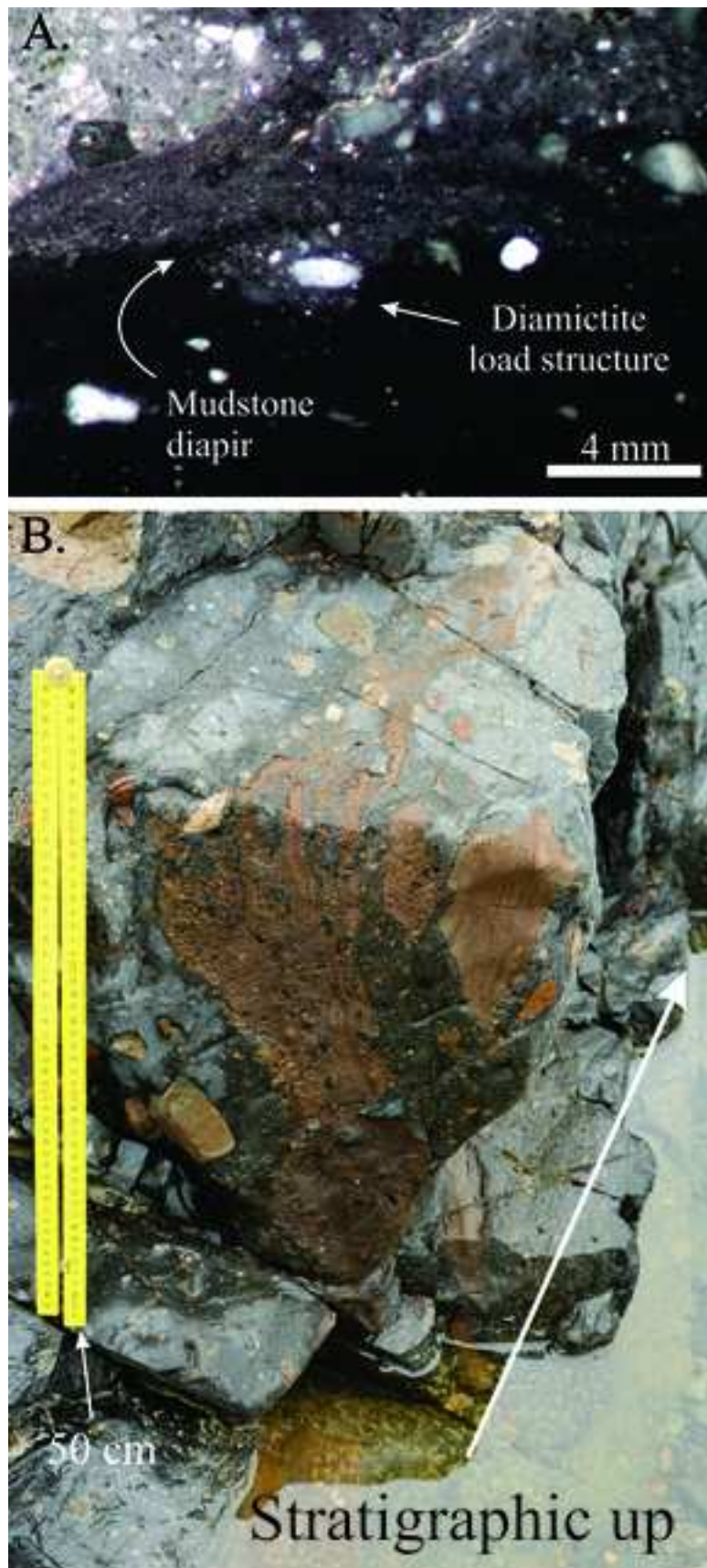


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1 Column Image

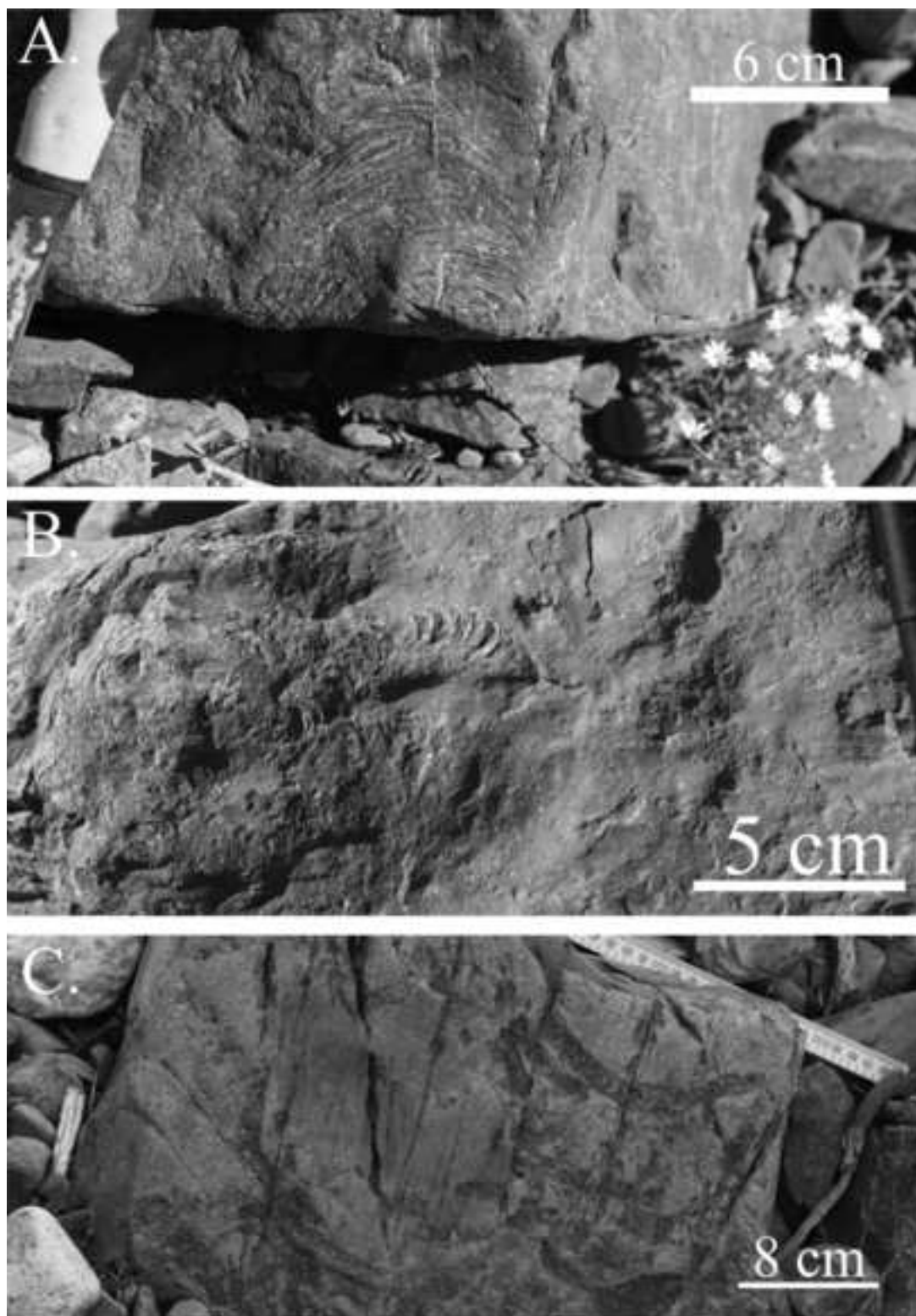


Figure 15. Isbell et al.
1 Column Image



Figure 15. Isbell et al.
1 Column Image

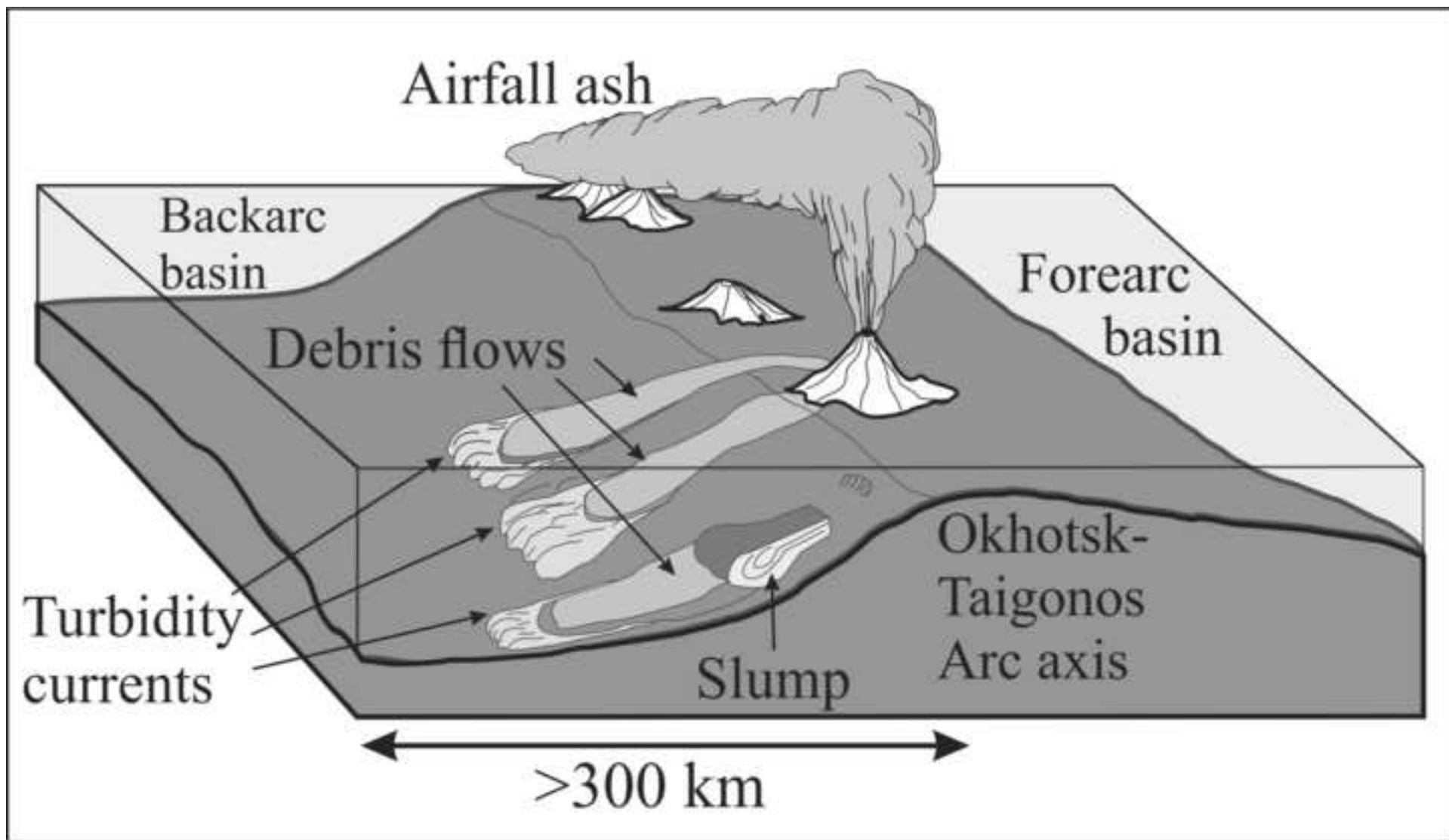


Figure 16. Isbell et al.
2 Column Image

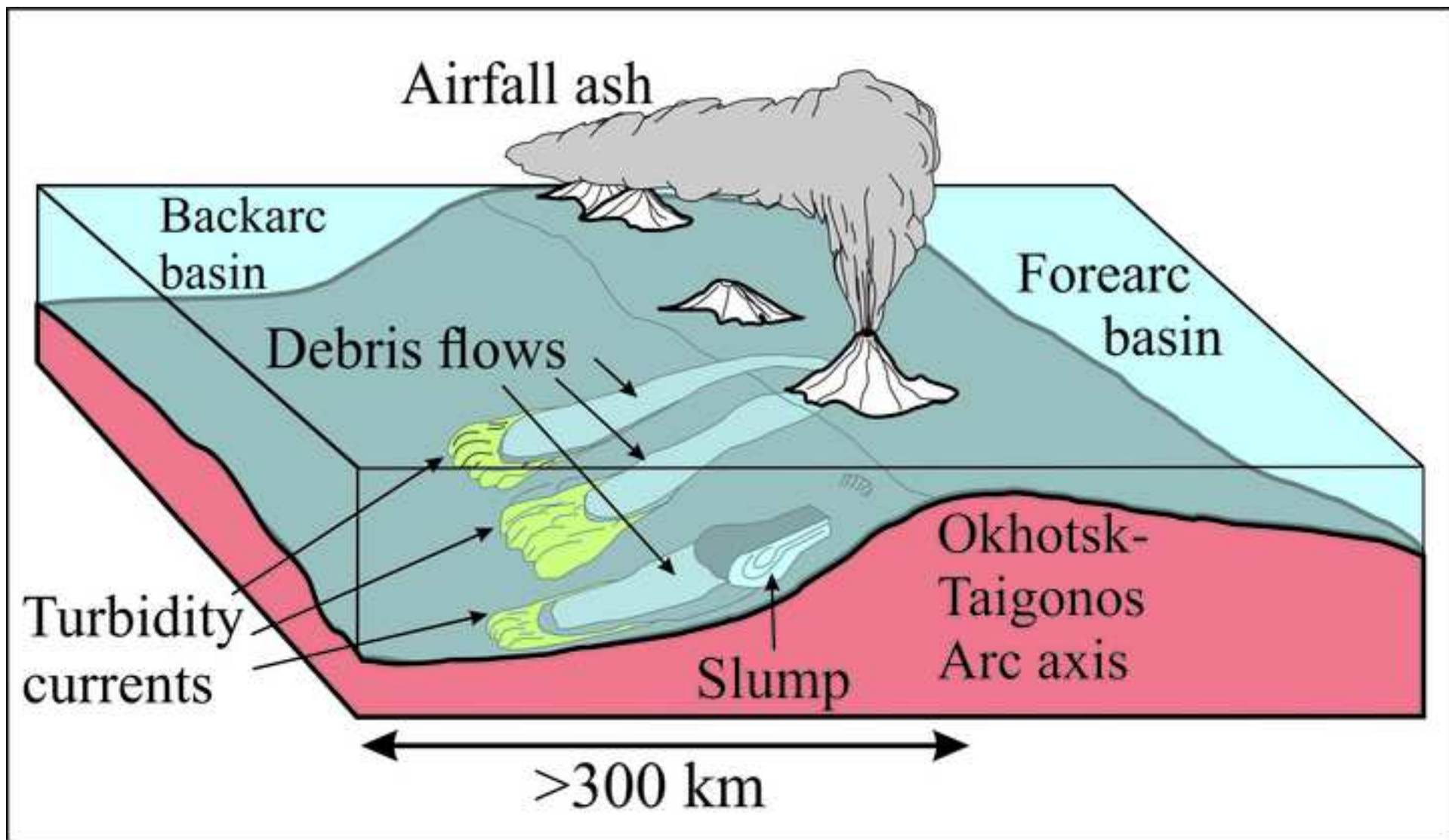


Figure 16. Isbell et al.
2 Column Image

Table 1. Facies Associations of Capitanian Strata in North East Russia

Facies Assemblage	Lithology and Bed Thickness	Sedimentary Features	Clast Shape and Composition	Interpretation
Bedded Diamictites	Cm- to m-scale thick beds of matrix-supported, clast-rich and clast-poor diamictites. Facies also contains chaotic bodies (up to 10 m thick) of folded diamictite, sandstone and mudstone. Rare tuff beds also occur	Diamictites occur as massive beds with sharp, non-erosive basal and sharp to gradational upper contacts. Units occur in thickening upward overlain by thinning upward successions. Downlapping diamictite beds also occur. Micromorphology of the diamictites are characterized by clast rotational structures, necking of matrix between grains, multiple diamictite domains, water escape structures, and an absence of oriented plasma fabric.	Diamictites contains very angular to rounded volcanic clasts up to boulder scale in diameter (average clasts are granule to pebble sized) with 71% occurring as subangular to very angular clasts. Clast with irregular shapes displaying protrusion and embayments are common. Clasts are composed of dacite, andesite, pumice/altered glass, and rhyolite. Some clast display columnar-like jointing while the matrix surrounding some clasts display alteration halos.	Subaqueous, deep-water volcanic debris flows with slump deposits and thin volcanic ash beds.
Interstratified diamictites, conglomerates, sandstones and mudrocks	Interstratified clast-rich diamictite, conglomerate, sandstone, and/or mudrock occur as coarse- and fine-grained couplets and triplets. Coarse members are mm to m scale in thickness and alternate with approximately equal thicknesses of mudrock, or in some cases, clast-poor diamictite.	Diamictite and conglomerates rest on sharp to erosional basal contacts and have erosional, sharp, and gradational upper contacts. Diamictites are massive with rare normal and inverse grading. Conglomerates are both normal and inversely graded. Sandstones are normally graded. Rotational structures, loading and water escape structures occur in the diamictites. Deep water trace fossils also occur.	Clast shapes and compositions are the same as clasts in the Bedded Diamictite Facies Assemblage. Individual clasts in the diamictites may have diameters larger than the thickness of individual units	Linked, co-genetic debris flows and turbidity currents.
Fossil-bearing mudrocks	Mudrocks are cm to several tens of m in thickness. Thin mudrocks are interstratified with graded sandstone, graded conglomerate, and diamictites. Thicker mudrocks are interstratified with thick diamictites successions.	Mudrocks occur as massive and thin bedded deposits. Thick successions are highly cleaved and poorly exposed.	Marine invertebrate fossils are common. Some mudstone contained dispersed clasts (<1%)	Deposited by settling from suspension of hemipelagic material, mudflows, and low-density turbidity currents

Table 2. Micromorphology of Atkan Diamictites

Sample	Rotational Structures	Grain Coatings	Necking Structures	Tile Structures	Multiple Plasma Domains	Water Escape Structures	Crushed Grains	Lineations including Stacked Grains
DC1-2	+		+					
DC1-153				+	+	+		
DC1-169	+	+	+		+			
DC1-206	+		+		+	+		
DC1-242	+		+		+			
DC1-269	+				+			
DC-6	+				+			

Table 3. Glacioeustatic Changes Resulting from Volcanic Island Arc Glaciers

Modern Volcanic Arcs	Total Exposed Land Area	Sea Level Equivalent with Isostasy	
		Assuming area is a Single Land Mass and a single glacier completely covers the land mass	Calculation for multiple glaciers completely covering an area that was equally divided among the islands
Scotia Arc (South Sandwich Islands)	310.3 km ² (11 islands)	0.00012 m	0.000069 m
Lesser Antilles	4665 km ² (19 islands)	0.0034 m	0.0017 m
Aleutian Islands	17,666 km ² (69 islands)	0.0173 m	0.0065
Aleutian Islands and Alaskan Peninsula	~84,416 km ² (69 islands + peninsula)	0.118 m	0.0951 m*

* Total area for the Aleutian Islands was split equally between the 69 islands. The total sea level equivalency with isostasy (SLEI) for these islands was then added to the SLEI for a single glacier completely covering the Alaskan Peninsula.